

# 2001 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**May 15, 2001 8:00 am**  
**Secretary of State**

04-2037

05-15-2001 90040 044 \*\*\*150.00

**DOCUMENT # P34801**

1. Entity Name

**WHALE SECURITIES CORP.**

Principal Place of Business

**650 FIFTH AVENUE  
 NEW YORK NY 10019**

Mailing Address

**650 FIFTH AVENUE  
 NEW YORK NY 10019**

2. Principal Place of Business

Suite, Apt. #, etc.

City & State

Zip

Country

3. Mailing Address

Suite, Apt. #, etc.

City & State

Zip

Country

4. FEI Number **13-3165196**

Applied For  
 Not Applicable

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

**THE PRENTICE-HALL CORPORATION SYSTEM INC.  
 1201 HAYS STREET  
 TALLAHASSEE FL 32301**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. ☐  
 (See criteria on back)

**FILE NOW!!! FEE IS \$150.00  
 After MAY 1, 2001 Fee will be \$550.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

11. OFFICERS AND DIRECTORS

TITLE **T** ☐ Delete  
 NAME **MCSHERRY, JOSEPH**  
 STREET ADDRESS **650 FIFTH AVENUE**  
 CITY-ST-ZIP **NEW YORK NY 10019**

TITLE **CD** ☐ Delete  
 NAME **WALTERS, WILLIAM G.**  
 STREET ADDRESS **650 FIFTH AVENUE**  
 CITY-ST-ZIP **NEW YORK NY 10019**

TITLE ☐ Delete  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Delete  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
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 STREET ADDRESS  
 CITY-ST-ZIP

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/00)

*Attachments 975307*

**WHALE SECURITIES CO., L.P.**

INVESTMENT BANKERS  
**650 FIFTH AVENUE**  
**NEW YORK, NY 10019**  
(212) 484-2008 *#P3 4801*

April 18, 2001

Dear Sir / Madam,

Effective as of the close of business Friday, April 20, 2001, Whale Securities Co., L.P. will be changing its name to BlueStone Capital Corp. All correspondence, products and services relating to Whale Securities Co., L.P. should properly reflect the firm's new name, BlueStone Capital Corp. as of the above date.

If you have any questions, please contact me at 212 484-5328.

Sincerely, ^



Branko Belusic  
Chief Financial Officer