

P 24373

Florida Department of State
Division of Corporations
Electronic Filing Cover Sheet

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To: Division of Corporations
Fax Number : (850) 617-6380

** Part of 1-2 filing through but didn't go through*

From: Account Name : C T CORPORATION SYSTEM
Account Number : FCA000000023
Phone : (850) 222-1091
Fax Number : (850) 878-5368

RE-SUBMIT

Please retain original filing date of submission 7/12

Enter the email address for this business entity to be used for future annual report mailings. Enter only one email address please.

Email Address: _____

**COR AMND/RESTATE/CORRECT OR O/D RESIGN
PRUDENTIAL BACHE ASSET MANAGEMENT, INC.**

Certificate of Status	0
Certified Copy	0
Page Count	04
Estimated Charge	\$35.00

14 JUL 12 PM 3:05
SECRETARY OF STATE
TALLAHASSEE, FL 32399

APPROVED
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11 JUL 13 AM 9:00

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Handwritten signatures and initials

2

COVER LETTER

TO: Amendment Section
Division of Corporations

SUBJECT: Prudential Bache Asset Management, Inc.
Name of Corporation

DOCUMENT NUMBER: P34373

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Ji Kim
Name of Contact Person

Morgan, Lewis & Bockius LLP
Firm/Company

101 Park Avenue
Address

New York, NY 10178
City/State and Zip Code

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Ji Kim at (212) 309-6737
Name of Contact Person Area Code & Daytime Telephone Number

Enclosed is a check for the following amount:

- \$35.00 Filing Fee
- \$43.75 Filing Fee & Certificate of Status
- \$43.75 Filing Fee & Certified Copy (Additional copy is enclosed)
- \$52.50 Filing Fee, Certificate of Status & Certified Copy (Additional copy is enclosed)

Mailing Address:
Amendment Section
Division of Corporations
P.O. Box 6327
Tallahassee, FL 32314

Street Address:
Amendment Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

PROFIT CORPORATION
APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO
APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA
(Pursuant to s. 607.1504, F.S.)

SECTION I
(1-3 MUST BE COMPLETED)

P34373

(Document number of corporation (if known))

1. Prudential Bache Asset Management, Inc.
(Name of corporation as it appears on the records of the Department of State)
2. New Jersey 3. _____
(Incorporated under laws of) (Date authorized to do business in Florida)

SECTION II
(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 6/30/2011
5. Bache Asset Management, Inc.
(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)

(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

(New jurisdiction)

8. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other officer having custody of corporate records in the jurisdiction under the laws of which it is incorporated.

(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Donald Levine

(Typed or printed name of person signing)

Senior VP & Secretary

(Title of person signing)

M JUL 12 PM 3:05
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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STATE OF NEW JERSEY
DEPARTMENT OF THE TREASURY
CERTIFICATE OF NAME CHANGE

BACHE ASSET MANAGEMENT, INC.
0100229576

I, the Treasurer of the State of New Jersey, do hereby certify,
that on July 1, 2001, a name change certificate
was duly filed in this office, changing the business name from
Prudential Asset Management Inc.

to:

Prudential Bache Asset Management Inc.



Certificate Number: 120940774

Verify this certificate online at

https://www1.state.nj.us/TYTR_StandingsCert/JSP/Verify_Cert.jsp

IN TESTIMONY WHEREOF, I have
hereunto set my hand and
affixed my Official Seal
at Trenton, this
6th day of July, 2011

Andrew P Sidamon-Eristoff
Treasurer