

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 30, 2003 8:00 am
Secretary of State

04-30-2003 90131 010 ***150.00

0616474 AT

DOCUMENT # P33564

1. Entity Name
BEAR, STEARNS SECURITIES CORP.



Principal Place of Business
**ONE METROTECH CENTER NORTH
ATTN: JEFFREY BERNSTEIN
BROOKLYN NY 11201-3859
US**

Mailing Address
**115 S JEFFERSON RD
ATTENTION: NANCY LOPEZ, COMPLIANCE DEPT
WHIPPANY NJ 07988
US**



2. Principal Place of Business
One Metrotech Ctr. North

3. Mailing Address
115 s. Jefferson Rd. Bldg. C2

Suite, Apt. #, etc.

Suite, Apt. #, etc.

☐ CHECK HERE IF MAKING CHANGES

City & State
Brooklyn, NY

City & State
Whippany, NJ

4. FEI Number **13-3604093**

Applied For
Not Applicable

Zip
11201-3859

Country
U.S.A.

Zip
07981

Country
U.s.a.

5. Certificate of Status Desired ☐ **\$8.75 Additional Fee Required**

6. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2003 Fee will be \$550.00
Make Check Payable to Florida Department of State**

9. Election Campaign Financing
Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	CPD LINDSEY, RICHARD R ONE METROTECH CTR. N. BROOKLYN NY 11201 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VDGC LEHMAN, MARK E. 383 MADISON AVENUE NEW YORK NY 10179 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DCOO BERNSTEIN, JEFFREY C. ONE METROTECH CENTER BROOKLYN NY <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S EDLOW, KENNETH L. 383 MADISON AVE. NEW YORK NY 10179 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CPTD MINIKES, MICHAEL 383 MADISON AVE. NEW YORK NY 10179 <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	AS LIPMAN, JEFFREY M 383 MADISON AVE. NEW YORK NY 10179 <input type="checkbox"/> Delete

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
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TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

**PLEASE SEE ATTACHED LIST FOR
ADDITIONAL OFFICERS AND DIRECTORS**

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Kenneth L. Edlow,
Secretary

04/11/03

Date

(212)272-2000

Daytime Phone #

CR2E034 (10/02)

Bear, Stearns Securities Corp.

Officers and Directors

April 10, 2003

attachment P33564-11009541

NAME	TITLE	BUSINESS ADDRESS
James E. Cayne	Chairman of the Board Chief Executive Officer Director	A
Michael Minikes	Co-President Treasurer Director	A
Richard R. Lindsey	Co-President Director	A
Bruce E. Geismar	Chief Operating Officer Director	B
Jeffrey C. Bernstein	Chief Operations Officer Director	B
Kenneth L. Edlow	Secretary	A
Samuel L. Molinaro Jr.	Chief Financial Officer Executive Vice President Director	A
Mark E. Lehman	Executive Vice President General Counsel Chief Legal Officer Director	A
Ronald M. Hersch	Executive Vice President	A
Jeffrey M. Lipman	Assistant Secretary	A
Raymond L. Aronson	Chief Compliance Officer	B
Michael J. Abatemarco	Controller/ Assistant Secretary	B
Alan C. Greenberg	Director	A
Warren J. Spector	Director	A
Alan D. Schwartz	Director	A

A: 383 Madison Avenue,
New York, NY 10179-0024

B: One Metrotech Ctr. North,
Brooklyn, NY 11201