

2004 FOR PROFIT CORPORATION ANNUAL REPORT

FILED
Apr 29, 2004 8:00 am
Secretary of State

04-29-2004 90214 028 ***150.00

DOCUMENT # P33564	
1. Entity Name BEAR, STEARNS SECURITIES CORP.	

Principal Place of Business ONE METROTECH CENTER NORTH BROOKLYN, NY 11201-3859 US	Mailing Address 115 S JEFFERSON RD., BLDG. ATTENTION: NANCY LOPEZ, COMPLIANCE DEPT WHIPPANY, NJ 07981 US
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94070786



2. Principal Place of Business		3. Mailing Address	
Suite, Apt. #, etc.		Suite, Apt. #, etc.	
City & State		City & State	
Zip	Country	Zip	Country

01062004 Chg-P CR2E034 (10/03)

4. FEI Number 13-3604093	Applied For ☐ Not Applicable
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5. Certificate of Status Desired ☐	\$8.75 Additional Fee Required
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6. Name and Address of Current Registered Agent		7. Name and Address of New Registered Agent	
CT CORPORATION SYSTEM 1200 SOUTH PINE ISLAND ROAD PLANTATION, FL 33324		Name	
		Street Address (P.O. Box Number is Not Acceptable)	
		City	
		FL	Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE _____ (NOTE: Registered Agent signature required when reinstating) DATE _____

**FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00 May Be Added to Fees**

10. OFFICERS AND DIRECTORS			11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11		
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CPD LINDSEY, RICHARD R ONE METROTECH CTR. N. BROOKLYN, NY 11201	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	PLEASE SEE THE ATTACHED LIST FOR ADDITIONAL OFFICERS AND DIRECTORS ☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VDGC LEHMAN, MARK E. 383 MADISON AVENUE NEW YORK, NY 10179	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	VD Mark E. Lehman 383 Madison Avenue New York, NY 10179-0024 ☒ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DCOO BERNSTEIN, JEFFREY C. ONE METROTECH CENTER BROOKLYN, NY	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S EDLOW, KENNETH L. 383 MADISON AVE. NEW YORK, NY 10179	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CPTD MINIKES, MICHAEL 383 MADISON AVE. NEW YORK, NY 10179	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	AS LIPMAN, JEFFREY M 383 MADISON AVE. NEW YORK, NY 10179	☐ Delete	TITLE NAME STREET ADDRESS CITY-ST-ZIP	☐ Change ☐ Addition	

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Kenneth L. Edlow* **Secretary,**
Kenneth L. Edlow **04/19/04** **212-272-2000**
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

Attachment B- P3564
Bear, Stearns Securities Corp.

Officers and Directors

April 16, 2004

NAME	TITLE	BUSINESS ADDRESS
James E. Cayne	Chairman of the Board Chief Executive Officer Director	A
Michael Minikes	Co-President Treasurer Director	A
Richard R. Lindsey	Co-President Director	A
Bruce E. Geismar	Chief Operating Officer Director	
Jeffrey C. Bernstein	Chief Operations Officer Director	B
Kenneth L. Edlow	Secretary	A
Samuel L. Molinaro Jr.	Chief Financial Officer Executive Vice President Director	A
Mark E. Lehman	Executive Vice President Director	A
William H. Freilich	General Counsel Chief Legal Officer	
Ronald M. Hersch	Executive Vice President	A
Jeffrey M. Lipman	Assistant Secretary	A
Dennis J. McCarthy	Chief Compliance Officer	B
Jeffrey M. Farber	Controller / Assistant Secretary	B
Alan C. Greenberg	Director	A
Warren J. Spector	Director	A
Alan D. Schwartz	Director	A

A: 383 Madison Avenue,
New York, NY 10179-0024

B: One Metrotech Ctr. North,
Brooklyn, NY 11201