

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
May 06, 2002 8:00 am
Secretary of State

05-06-2002 90143 035 ***150.00

DOCUMENT # P33564

1. Entity Name

BEAR, STEARNS SECURITIES CORP.

Principal Place of Business

**ONE METROTECH CENTER NORTH
ATTN: JEFFREY BERNSTEIN
BROOKLYN NY 11201-3859
US**

Mailing Address

**115 S JEFFERSON RD
ATTENTION: NANCY LOPEZ, COMPLIANCE DEPT
WHIPPANY NJ 07968
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-3604093

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
1200 SOUTH PINE ISLAND ROAD
PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☒

**FILE NOW!!! FEE IS \$150.00
After May 1, 2002 Fee will be \$550.00
Make Check Payable to Department of State**

10. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PDCO HARRITON, RICHARD 245 PARK AVENUE NEW YORK NY <input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VDSC LEHMAN, MARK E. 245 PARK AVENUE NEW YORK NY <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DCOO BERNSTEIN, JEFFREY C. ONE METROTECH CENTER BROOKLYN NY <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	S EDLOW, KENNETH L. 245 PARK AVENUE NEW YORK NY <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	TD MINIKES, MICHAEL 245 PARK AVENUE NEW YORK NY <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	D MONTGORIS, WILLIAM J 245 PARK AVENUE NEW YORK NY 10167 <input checked="" type="checkbox"/> Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	Co-Pres., D Richard R. Lindsey One Metrotech Ctr. N. Brooklyn, NY 11201 <input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	VD& General Counsel <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	383 Madison Avenue, New York, NY 10179 <input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	383 Madison Avenue New York, NY 10179 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Co-Pres., TD <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	383 Madison Avenue New York, NY 10179 <input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SEE ATTACHED LIST <input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

Kenneth L. Edlow

04/26/02

(212) 272-2000

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/01)

ATTACH # P38564/648015

Bear, Stearns Securities Corp.

Officers and Directors

April 16, 2002

NAME	TITLE	BUSINESS ADDRESS
James E. Cayne	Chairman of the Board/ Chief Executive Officer/ Director	A
Michael Minikes	Co-President/ Treasurer/ Director	A
Richard R. Lindsey	Co-President/ Director	B
Bruce E. Geismar	Chief Operating Officer/ Director	B
Jeffrey C. Bernstein	Chief Operations Officer/ Director	B
Kenneth L. Edlow	Secretary	A
Samuel L. Molinaro Jr.	Chief Financial Officer/ Executive Vice President / Director	A
Mark E. Lehman	Executive Vice President / General Counsel / Chief Legal Officer / Director	A
Ronald M. Hersch	Executive Vice President	A
Jeffrey M. Lipman	Assistant Secretary	A
Raymond L. Aronson	Chief Compliance Officer	B
Michael J. Abatemarco	Controller/ Assistant Secretary	B
Alan C. Greenberg	Director	A
Alan D. Schwartz	Director	A
Warren J. Spector	Director	A

A.
383 Madison Avenue
New York, NY 10179

B.
One Metrotech Center North
Brooklyn, NY 11201-3859