

# 2000 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Apr 27, 2000 8:00 am**  
**Secretary of State**

04-27-2000 90098 023 \*\*\*150.00

**DOCUMENT # P33564**  
 1. Entity Name  
**BEAR, STEARNS SECURITIES CORP.**

Principal Place of Business <b>ONE METROTECH CENTER NORTH ATTN: JEFFREY BERNSTEIN BROOKLYN NY 11201-3859 US</b>	Mailing Address <b>115 S JEFFERSON RD ATTENTION: NANCY LOPEZ. COMPLIANCE DEPT WHIPPANY NJ 07981-1029 US</b>
--	--



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business		3. Mailing Address	
Suite, Apt. #, etc.		Suite, Apt. #, etc.	
City & State		City & State	
Zip	Country	Zip	Country

4. FEI Number <b>13-3604093</b>	Applied For <input type="checkbox"/>	Not Applicable <input type="checkbox"/>
5. Certificate of Status Desired <input type="checkbox"/> <b>\$8.75</b> Additional Fee Required		

**6. Name and Address of Current Registered Agent**

**CT CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION FL 33324**

**7. Name and Address of New Registered Agent**

Name \_\_\_\_\_  
 Street Address (P.O. Box Number is Not Acceptable) \_\_\_\_\_  
 City **FL** Zip Code \_\_\_\_\_

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) DATE \_\_\_\_\_

9. This corporation is eligible to satisfy its intangible Tax filing requirement and elects to do so.  (See criteria on back)

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2000 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution.  **\$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>PDCO HARRITON, RICHARD 245 PARK AVENUE NEW YORK NY</b> <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>VDSC LEHMAN, MARK E. 245 PARK AVENUE NEW YORK NY</b> <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>DCOO BERNSTEIN, JEFFREY C. ONE METROTECH CENTER BROOKLYN NY</b> <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>S EDLOW, KENNETH L. 245 PARK AVENUE NEW YORK NY</b> <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>TD MINIKES, MICHAEL 245 PARK AVENUE NEW YORK NY</b> <input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>D MONTGORIS, WILLIAM J 245 PARK AVENUE NEW YORK NY 10167</b> <input type="checkbox"/> Delete

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>PLEASE SEE ATTACHED LIST</b> <input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address with all other like empowered.

**SIGNATURE:** \_\_\_\_\_ **Michael Minikes, Treasurer** **Co-President, April 24, 2000**  
 (212) 272-2000  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

#P33564

D0040328

**Bear, Stearns Securities Corp.****Officers and Directors**

<b>Name</b>	<b>Title</b>	<b>Business Address</b>
Alan C. Greenberg	Chairman of the Board/ <b>Director</b>	A
James E. Cayne	Vice Chairperson of the Board/ Chief Executive Officer/ <b>Director</b>	A
Michael Minikes	Co-President/ Treasurer/ <b>Director</b>	A
Richard Lindsey	Co-President/ <b>Director</b>	A
Bruce E. Geismar	Chief Operating Officer/ <b>Director</b>	
Jeffrey C. Bernstein	Chief Operations Officer/ <b>Director</b>	B
Kenneth L. Edlow	Secretary	A
Samuel L. Molinaro Jr.	Chief Financial Officer/ Senior Vice President- Finance/ <b>Director</b>	A
Mark E. Lehman	General Counsel/ Chief Legal Officer/ Executive Vice President/ <b>Director</b>	A
Ronald M. Hersch	Executive Vice President	A
Jeffrey M. Lipman	Assistant Secretary	A
Raymond L. Aronson	Chief Compliance Officer	A
Michael J. Abatemarco	Controller/ Assistant Secretary	B
John L. Knight	<b>Director</b>	C
Alan D. Schwartz	<b>Director</b>	A
Warren J. Spector	<b>Director</b>	A

**A.**  
245 Park Avenue  
New York, NY 10167

**B.**  
One Metrotech Center North  
Brooklyn, NY 11201-3859

**C.**  
One Canada Square  
London, England  
E14 5AD