

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

APPROVED
AND
FILED

1033

DOCUMENT # P33464

1. Entity Name
SPENCER-WINSTON SECURITIES CORPORATION



03 AUG -8 AM 8:50

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Principal Place of Business
21 WEST 47TH STREET
NEW YORK NY 10036

Mailing Address
21 WEST 47TH STREET
NEW YORK NY 10036

Handwritten signature



07/21/03 90355 034 \$150.00

☐ CHECK HERE IF MAKING CHANGES

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number 13-3034478

Applied For
Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

THE PRENTICE-HALL CORPORATION SYSTEM, INC.
1201 HAYS STREET
SUITE 105
TALLAHASSEE FL 32301

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$550.00
After September 10, 2003 Fee will be \$750.00
Make Check Payable to Florida Department of State

9. Election Campaign Financing Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
PT
ECHMAN, OSCAR
588 HIGHLAND AVENUE
RIDGEWOOD NJ ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
VS
WEISZ, JASON
19 COLONY
EDGEWATER NJ ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
VS
WEISZ, JASON
337 WESTSHORE DRIVE
WYCKOFF, NJ 07481 ☒ Change ☐ Addition

TITLE
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STREET ADDRESS
CITY-ST-ZIP
☐ Delete

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CITY-ST-ZIP
☐ Change ☐ Addition

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CITY-ST-ZIP
☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address with all other like empowered.

SIGNATURE:

SIGNATURE REQUIRED

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

7/15/03 212-840-0817

CR2E034 (4/03)

283

SPENCER-WINSTON SECURITIES CORP.

**21 West 47 Street
New York, NY 10036**

**Phone 212-840-2444
Facsimile 212-398-6593**

July 28, 2003

Florida Department of State
Division of Corporations
P.O. Box 1500
Tallahassee, Florida 32302-1500

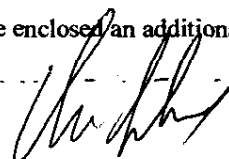
Reference number: P33464

We received your letter dated July 23 2003, in which you claimed an additional payment of \$ 400 to complete our registration for the year 2003.

On July 15 2003, we sent you a check of \$ 150 (which it was cashed) , a completed registration form and a letter attached, in which we request the late charge of \$ 400 should be waived, since this firm didn't receive any previous notice. (Copy of letter 7/15/03 enclosed).

At this time we enclosed an additional check of \$ 8.75 in payment for a certificate of status.

Sincerely,



Oscar Echman, President

Members : NASD , MSRB ; SIPC

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COPY

July 15, 2003

Florida Department of State
Division of Corporations
Uniform Business Report Filing
P.O. Box 1500
Tallahassee, Florida 32302-1500

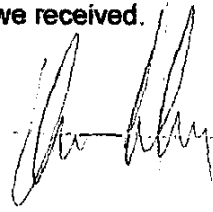
Re: 2003 Uniform Business Report
Document # P33464

Gentlemen:

We request that the late charge of \$ 400 should be waived, since this firm didn't receive the prior notice. The enclosed filing form, is the first notice we received.

Thank you for your cooperation in this matter.

Sincerely,



Oscar Echman
President