

2009 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P33464

FILED
Mar 28, 2009
Secretary of State

Entity Name: SPENCER-WINSTON SECURITIES CORPORATION

Current Principal Place of Business:

45 WEST 47TH STREET
NEW YORK, NY 10036

New Principal Place of Business:

45 WEST 47TH STREET
SUITE 402
NEW YORK, NY 10036

Current Mailing Address:

45 WEST 47TH STREET
NEW YORK, NY 10036

New Mailing Address:

45 WEST 47TH STREET
SUITE 402
NEW YORK, NY 10036

FEI Number: 13-3034478

FEI Number Applied For ()

FEI Number Not Applicable ()

Certificate of Status Desired (X)

Name and Address of Current Registered Agent:

THE PRENTICE-HALL CORPORATION SYSTEM, INC.
1201 HAYS STREET
SUITE 105
TALLAHASSEE, FL 32301 US

Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ().

OFFICERS AND DIRECTORS:

Title: PT () Delete
Name: ECHMAN, OSCAR,
Address: 588 HIGHLAND AVENUE
City-St-Zip: RIDGEWOOD, NJ 07450 US

Title: VS () Delete
Name: GREENBERG, DAVID,
Address: 49 RUPPERT STREET
City-St-Zip: STATEN ISLAND, NY US

ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

Title: () Change () Addition
Name:
Address:
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: OSCAR ECHMAN

PT

03/28/2009

Electronic Signature of Signing Officer or Director

Date