

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

CORPORATION
ANNUAL REPORT
1995



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

FILED
SECRETARY OF STATE
DIVISION OF CORPORATIONS

95 FEB 20 AM 11:19

DOCUMENT # P32889 (8)

1. Corporation Name

NATWEST SECURITIES CORPORATION

Principal Place of Business

175 WATER STREET, 18TH FLOOR
NEW YORK NY 10038

Mailing Address

175 WATER STREET, 18TH FLOOR
NEW YORK NY 10038

DO NOT WRITE IN THIS SPACE.

3. Date Incorporated or Qualified

02/20/1991

3a. Date of Last Report

10/10/1994

4. FEI Number

13-3312778

Applied For

Not Applicable

5. Certificate of Status Desired

☒

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under S. 199.032,
Florida Statutes ☐ Yes ☐ No

2. Principal Place of Business

21 175 Water Street

2a. Mailing Address

26 175 Water Street

Suite, Apt. #, etc.

22 18th Floor

Suite, Apt. #, etc.

27 18th Floor

City & State

23 New York, NY

City & State

28 New York, NY

Zip

24 10038

Country

25 USA

Zip

29 10038

Country

30 USA

9. Name and Address of Current Registered Agent

THE PRENTICE HALL CORPORATION SYSTEM INC
1201 HAYS STREET, SUITE 105
TALLAHASSEE FL 32301

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85

Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when renewing)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE D
NAME ADAMS, JOSEPH P JR.
STREET ADDRESS 175 WATER STREET, 18TH FLOOR
CITY- ST- ZIP NEW YORK NY 10038

1.1 TITLE ☐ Change ☐ Addition
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY- ST- ZIP

TITLE D
NAME WILKES, ERIC
STREET ADDRESS 175 WATER STREET, 18TH FLOOR
CITY- ST- ZIP NEW YORK NY 10038

2.1 TITLE ☐ Change ☐ Addition
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY- ST- ZIP

TITLE CEO
NAME O'DONNELL, JAMES J JR.
STREET ADDRESS 175 WATER STREET, 18TH FLOOR
CITY- ST- ZIP NEW YORK NY 10038

3.1 TITLE D ☐ Change ☒ Addition
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY- ST- ZIP

TITLE S
NAME LETZLER, JEFFREY T
STREET ADDRESS 175 WATER STREET, 18TH FLOOR
CITY- ST- ZIP NEW YORK NY 10038

4.1 TITLE ☐ Change ☐ Addition
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY- ST- ZIP

TITLE T
NAME GLASER, BRUCE S
STREET ADDRESS 175 WATER STREET, 18TH FLOOR
CITY- ST- ZIP NEW YORK NY 10038

5.1 TITLE ☐ Change ☐ Addition
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY- ST- ZIP

TITLE
NAME
STREET ADDRESS
CITY- ST- ZIP

6.1 TITLE ☐ Change ☐ Addition
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY- ST- ZIP

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 110.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee; and that I am authorized to execute this report as required by Chapter 007, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE

Jeffrey T. Letzler Secretary

February 3, 1995 212/602-4715