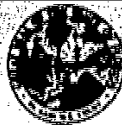


FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

CORPORATION
ANNUAL REPORT
1995



FLORIDA DEPARTMENT OF STATE
Sandra B. Northam
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # P31963 (2)

1. Corporation Name

CHATFIELD DEAN & CO., INC.

Principal Place of Business

**7935 E. PRENTICE AVE. #200
GREENWOOD VILLAGE CO 80111
US**

Mailing Address

**7935 E. PRENTICE AVE. #200
GREENWOOD VILLAGE CO 80111
US**

**APPROVED
AND
FILED**

95 MAY -1 PM 8:22

**SECRETARY OF STATE
TALLAHASSEE, FLORIDA**

DO NOT WRITE IN THIS SPACE.

3. Date Incorporated or Qualified 11/30/1990	3a. Date of Last Report 04/18/1994
4. FEI Number 84-0927217	Applied For ☐ Not Applicable
5. Certificate of Status Desired ☒ \$8.75 Additional Fee Required	
6. Election Campaign Financing Trust Fund Contribution ☐	\$5.00 May Be Added to Fees
8. This corporation has liability for intangible tax under S. 196.032, Florida Statutes ☐ Yes ☐ No	

2. Principal Place of Business	2a. Mailing Address
21 Suite, Apt. #, etc.	26 Suite, Apt. #, etc.
22 City & State	27 City & State
23 Zip	28 Zip
24 Country	29 Country
25	30

9. Name and Address of Current Registered Agent

**C T CORPORATION SYSTEM
1200 S. PINE ISLAND RD.
PLANTATION FL 33324**

10. Name and Address of New Registered Agent

81 Name	
82 Street Address (P.O. Box Number is Not Acceptable)	
83	
84 City	FL
85 Zip Code	

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reappointing)

DATE

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	VP	1.1 TITLE	VP ☒ Change ☐ Addition
NAME	HARTH, JOHN H See attachmen	1.2 NAME	S. Cheryl Bauman
STREET ADDRESS	7935 E PRENTICE AVE #200	1.3 STREET ADDRESS	7935 E. Prentice Ave #200
CITY - ST - ZIP	ENGLEWOOD CO	1.4 CITY - ST - ZIP	Greenwood Village, CO ☒ Change ☐ Addition
TITLE	VSD	2.1 TITLE	VS ☒ Change ☐ Addition
NAME	KAMERLING, RICHARD	2.2 NAME	Bernard R. O'Donnell
STREET ADDRESS	7935 E PRENTICE AVE #200	2.3 STREET ADDRESS	7935 E. Prentice Ave #200
CITY - ST - ZIP	GREENWOOD VILLAGE CO	2.4 CITY - ST - ZIP	Greenwood Village, CO ☒ Change ☐ Addition
TITLE	VD	3.1 TITLE	VD Russell Greenberg
NAME	BERNSTEIN, KENNETH	3.2 NAME	7935 E. Prentice Ave #200
STREET ADDRESS	7935 E PRENTICE AVE, #200	3.3 STREET ADDRESS	Greenwood Village, CO ☒ Change ☐ Addition
CITY - ST - ZIP	GREENWOOD VILLAGE CO	3.4 CITY - ST - ZIP	80111
TITLE	VD	4.1 TITLE	VD Russell Greenberg
NAME	MORROW, HARVEY	4.2 NAME	7935 E. Prentice Ave #200
STREET ADDRESS	7935 E PRENTICE AVE #200	4.3 STREET ADDRESS	Greenwood Village, CO ☒ Change ☐ Addition
CITY - ST - ZIP	GREENWOOD VILLAGE CO	4.4 CITY - ST - ZIP	80111
TITLE	CEPT	5.1 TITLE	VDT ☒ Change ☐ Addition
NAME	LEMON, ROBERT	5.2 NAME	PD
STREET ADDRESS	7935 E PRENTICE AVE STE 200	5.3 STREET ADDRESS	
CITY - ST - ZIP	GREENWOOD VILLAGE CO	5.4 CITY - ST - ZIP	
TITLE	V	6.1 TITLE	☐ Change ☐ Addition
NAME	CAROTHERS, SCOTT	6.2 NAME	
STREET ADDRESS	7935 E PRENTICE AVE, #200	6.3 STREET ADDRESS	
CITY - ST - ZIP	GREENWOOD VILLAGE CO	6.4 CITY - ST - ZIP	

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 110.07(3)(b), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *S. Cheryl Bauman*
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR
S. Cheryl Bauman

April 25, 1995 (303) 740-0006

P31963

SCHEDULE "A"

Sanford D. Greenberg
Business Address:
7935 E. Prentice Ave. #200
Greenwood Village, CO 80111

CEO, Chairman of Board
and Director

Robert L. Lemon
Business Address:
7935 E. Prentice Ave. #200
Greenwood Village, CO 80111

President, Director

Harvey S. Morrow
Business Address:
7935 E. Prentice Ave. #200
Greenwood Village, CO 80111

Executive Vice President
Human Resources/Marketing
Director and Treasurer

Scott W. Carothers
Business Address:
7935 E. Prentice Ave. #200
Greenwood Village, CO 80111

Vice President/
Financial Principal

S. Cheryl Bauman
Business Address:
7935 E. Prentice Ave. #200
Greenwood Village, CO 80111

Vice President/
Compliance

Steven H. Carolus
Business Address:
7935 E. Prentice Ave. #200
Greenwood Village, CO 80111

Vice President/
Trading

Kenneth L. Greenberg
Business Address:
7935 E. Prentice Ave. #200
Greenwood Village, CO 80111

Vice President/
Mergers & Acquisitions

Richard A. Frueh
Business Address:
1511 N. Westshore Blvd. #350
Tampa, FL 33607-4537

Sr. Vice President
Sales

John W. Dubrule
Business Address:
201 S. Orange Ave. #770
Orlando, FL 32801-3413

Vice President
Sales

Donald J. Gunn, Jr.
Business Address:
1511 N. Westshore Blvd. #350
Tampa, FL 33607-4537

Vice President
Sales

P31963

Kevin Brucher
Business Address:
680 N. Lakeshore Dr. #1028
Chicago, IL 60611-3109

Vice President
Sales

Darryl Byrd
Business Address:
680 N. Lakeshore Dr. #1028
Chicago, IL 60611-3109

Vice President
Sales

John Dabal
Business Address:
250 West 34th St., Ste. 2420
New York, NY 10119

Vice President
Sales

Michael Speer
Business Address:
One Park Plaza #340
Irvine, CA 92714-5910

Vice President
Sales

Bruce E. Wiegand
Business Address:
4660 LaJolla Village Drive #400
San Diego, CA 92122-4605

Vice President
Sales

Daniel D. Aguilar
Business Address:
One Capital City Plaza
3350 Peachtree Road #1450
Atlanta, GA 30326

Vice President
Sales

Russell J. Greenberg
Business Address:
250 West 34th St., Ste. 3525
New York, NY 10119

Sr. Exec. Vice President
Corporate Finance/Director

Shail Sheth
Business Address:
250 West 34th St., Ste. 3525
New York, NY 10119

Vice President
Corporate Finance

John A. DuPriest
Business Address:
7935 E. Prentice Ave., Ste. 200
Greenwood Village, CO 80111

Vice President/Research

Bernard O'Donnell
Business Address:
7935 E. Prentice Ave., Ste. 200
Greenwood Village, CO 80111

Executive Vice President/
Sales; Nat'l. Sales Mgr;
Secretary

DBP 163

Scott Gross
Business Address:
7935 E. Prentice Ave. #300
Greenwood Village, CO 80111

Vice President
Sales

Steven L. Karno
Business Address:
680 Lakeshore Dr., Ste. 1028
Chicago, IL 60611

Director/Branch Compliance

Crystal West
Business Address:
7935 E. Prentice Ave. #200
Greenwood Village, CO 80111

Vice President/Operations