2002 UNIFORM BUSINESS REPORT (UBR)

Feb 20, 2002 8:00 am Secretary of State DOCUMENT # P29872 . Entity Name TRANSAMERICA FINANCIAL ADVISORS, INC. 02-20-2002 90168 037 ***150.00 rincipal Place of Business Mailing Address 209 ORANGE STREET 1209 ORANGE STREET WILMINGTON DE 19801 WILMINGTON DE 19801 Principal Place of Business 3. Mailing Address Suite, Apt. #, etc. Suite, Apt. #, etc. DO NOT WRITE IN THIS SPACE 4. FEI Number 95-2565208 City & State City & State Applied For Not Applicable Zip Country Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent CT CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 S. PINE ISLAND ROAD **PLANTATION FL 33324** City Zip Code The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. IGNATURE Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) This corporation is eligible to satisfy its Intangible FILE NOW!!! FEE IS \$150.00 10. Election Campaign Financing \$5.00 May Be Tax filing requirement and elects to do so. After May 1, 2002 Fee will be \$550.00 Trust Fund Contribution. Added to Fees (See criteria on back) Make Check Payable to Department of State OFFICERS AND DIRECTORS 12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 TLE ☐ Delete TITLE ☐ Change Addition BROWN, SANDRA C ₹ME NAME 1150 S OLIVE STREET, STE T-25 REET ADDRESS STREET ADDRESS LOS ANGELES CA 90015 TY-ST-ZIP CITY-ST-ZIP İLE ☐ Delete TITLE Addition ☐ Change TRIVERS, DAN S. ME. NAME 1150 SOUTH OLIVE REET ADDRESS STREET ADDRESS TY-ST-ZIP LOS ANGELES CA CITY-ST-ZIP LE ☐ Delete TITLE Change ☐ Addition CHUANG, GEORGE NAME REET ADDRESS 1150 S OLIVE ST., STE T-25 STREET ADDRESS **LOS ANGELES CA 90015** TY-ST-7IP CITY-ST-7IP İLΕ ☐ Delete TITLE Change ☐ Addition ME NAME REET ADDRESS STREET ADDRESS Y-ST-ZIP CITY-ST-7IP îιε Delete TITLE ☐ Change ☐ Addition ΜE NAME REET ADDRESS STREET ADDRESS Y-ST-ZIP CITY-ST-ZIP LE ☐ Defete TITLE ☐ Change ☐ Addition ME NAME REET ADDRESS STREET ADDRESS Y-ST-ZIP CITY-ST-ZIP

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Mark Re-Pan S. OTrivers 213-741-7702 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119 07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if

changed, or on an attachment with an address, with all other like empowered.

IGNATURE:



Attachment DOC#P29872

Transamerica 50074 Financial Advisors, Inc. A Registered Broker/Dealer 1150 South Olive Street Los Angeles, CA 90015 (213) 741-7702

February 05, 2002

Division of Corporations Uniform Business Report Filings P.O. Box 1500 Tallahassee, FL 32302-1500

Re: 2002 Uniform Business Report

Dear Sir or Madam:

Enclosed please find our 2002 Uniform Business Report (UBR) and a check for the fee due.

An extra copy of this letter and a prepaid envelope are enclosed for your convenience in acknowledging receipt.

Sincerely,

Anna-Marie Chavez-Rey

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Senior Licensing Specialist



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February 05, 2002



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Uniform Business Report Filings
P.O. Box 1500
Tallahassee, FL 32302-1500

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