

# FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UGR)

DOCUMENT # P29354

FILED

1. Entity Name

Guaranty National Insurance Company

02 JUL -1 PM 3:36

DO NOT WRITE IN THIS SPACE

SECRETARY OF STATE  
TALLAHASSEE, FLORIDA  
869-170

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business  
9300 Arrowpoint Blvd.3. Mailing Address  
9300 Arrowpoint Blvd

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State  
Charlotte, NCCity & State  
Charlotte, NC4. FEI Number  
84-0638259Applied For  
Not Applicable.Zip  
28201Country  
USZip  
28201Country  
US5. Certificate of Status Desired ☐ \$8.75 Additional  
Fee RequiredDO NOT WRITE  
IN THIS SPACE

7. Name and Address of Current Registered Agent

Name  
Corporation Service CompanyStreet Address (P.O. Box Number is Not Acceptable)  
1201 Hays StreetCity  
Tallahassee

FL

Zip Code  
32301

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
Tax filing requirement and elects to do so.  
(See criteria on back) ☐January 1 - May 1 Fee is \$150.00  
After May 1, Fee is \$550.00  
Amended UBR is \$81.25

Make Check Payable to: Department of State

10. Election Campaign Financing  
Trust Fund Contribution. ☐ \$5.00 May Be  
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	President/Chairman Terry Broderick 9300 Arrowpoint Blvd. Charlotte, NC 28273	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DSVP Joseph F. Fisher 9300 Arrowpoint Blvd. Charlotte, NC 28273	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DSVP Ernest C. Frohboese 9300 Arrowpoint Blvd. Charlotte, NC 28273	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DSVP Stephen M. Mulready 9300 Arrowpoint Blvd. Charlotte, NC 28273	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Exec VPD Paul H. Stewman 9300 Arrowpoint Blvd. Charlotte, NC 28273	TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DSVP Joyce W. Wheeler 9300 Arrowpoint Blvd Charlotte, NC 28273	TITLE NAME STREET ADDRESS CITY-ST-ZIP	

DO NOT WRITE  
IN THIS SPACE

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034B (12/01)

gt 7/2/02

Attachment 869170  
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**Directors, Officers Report**

**Guaranty National Insurance Company** as of Sunday, March 31, 2002 Monday, April 22, 2002

**DIRECTORS**

Terry Broderick	Chairman
Joseph F. Fisher	Director
Ernest C. Frohboese	Director
Stephen M. Mulready	Director
Daniel A. Reppert	Director
Paul H. Stewman	Director
John Tighe	Director
Joyce W. Wheeler	Director

**OFFICERS**

Terry Broderick	President and Chief Executive Officer
Paul H. Stewman	Executive Vice President
Joseph F. Fisher	Senior Vice President and Chief Financial Officer
Ernest C. Frohboese	Senior Vice President and Chief Investment Officer
Michael J. McGinley	Senior Vice President and Chief Claim Officer
Stephen M. Mulready	Senior Vice President
Daniel A. Reppert	Senior Vice President
John Tighe	Senior Vice President
Joyce W. Wheeler	Senior Vice President, Chief Corporate Officer and General Counsel
James G. Williams, III	Senior Vice President and Chief Information Officer
John W. Bartlett	Vice President
Sean A. Beatty	Vice President and Finance Officer
Peter J. Brown, III	Vice President
Dennis W. Cahill	Vice President
Douglas M. Campbell	Vice President
James A. Dixon	Vice President
John T. Dummier	Vice President
Donn Eaton	Vice President
Gary R. Garcia	Vice President
David K. Graham	Vice President and Chief Reinsurance Officer
Richard D. Harris	Vice President and Administration Officer
Andrew F. Jacobson	Vice President
Dan Keddie	Vice President
Susan C. Kesselman	Vice President

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Jeffrey M. Klein	Vice President
Laura S. Lawrence	Vice President
John J. Limpert	Vice President and Chief Actuary
Gregory G. Locher	Vice President
Gregory J. Madson	Vice President
Elizabeth J. McLaughlin	Vice President
Thomas McMahon	Vice President
William T. Meisen	Vice President
Joseph J. Mistretta	Vice President
Joseph J. Monteleone	Vice President
Rick J. Morgan	Vice President
Ronald E. Murtlow	Vice President
James Nestheide	Vice President
David North	Vice President
John Pizetoski	Vice President
Vincent M. Pugliese	Vice President
Marvin L. Quinn	Vice President
Jack Reddy	Vice President
Donald L. Rinkert	Vice President
Stephen A. Rozich	Vice President
Robert D. Schultz	Vice President
Dan C. Schuster	Vice President
Charles K. Schuver	Vice President
David D. Shumway	Vice President
Brian C. Stahl	Vice President
Roy Vega	Vice President
Gregory M. Vezzosi	Vice President and Chief Underwriting Officer
Peter M. Vinci	Vice President and Controller
Thomas G. Walsh	Vice President and Financial Information Systems Executive
Stephen H. Ward	Vice President
Robert D. Wilkes	Vice President
Gwyn Fuller	Treasurer
Susan Pachapa	Tax Officer
Judy S. Spitzer	Corporate Secretary
Shelly J. Hengsteler	Assistant Treasurer
Linda Y. Pettigrew	Assistant Corporate Secretary