

**2004 FOR PROFIT CORPORATION
ANNUAL REPORT**

FILED
May 04, 2004 8:00 am
Secretary of State

05-04-2004 90126 005 ***150.00

DOCUMENT # P29276

1. Entity Name
CAREY FINANCIAL CORPORATION



Principal Place of Business
**50 ROCKEFELLER PLAZA
NEW YORK, NY 10020 US**

Mailing Address
**50 ROCKEFELLER PLAZA
NEW YORK, NY 10020 US**

94083996



03152004 No Chg-P CR2E034 (10/03)

DO NOT WRITE IN THIS SPACE

4. FEI Number
13-3213550

Applied For
Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

**THE PRENTICE-HALL CORPORATION SYSTEM INC.
1201 HAYS STREET
SUITE 105
TALLAHASSEE, FL 32301**

**DO NOT WRITE
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

**FILE NOW!!! FEE IS \$150.00
After May 1, 2004 Fee will be \$550.00**

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**V
BIGLER, DEBRA E
50 ROCKEFELLER PLAZA
NEW YORK, NY**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**V
FERNANDEZ, CLAUDE
50 ROCKEFELLER PLAZA
NEW YORK, NY**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**D
CAREY, WILLIAM P.
50 ROCKEFELLER PLAZA
NEW YORK, NY**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CD
HAMRICK, STEPHEN H
50 ROCKEFELLER PLAZA
NEW YORK, NY**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**FVP
TERMINE, DAVID G
50 ROCKEFELLER PLAZA
NEW YORK, NY 10020**

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP

**DO NOT WRITE
IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

DAVID TERMINE

4/28/04

Date

6125492-1167

Daytime Phone #

Attachment

CAREY FINANCIAL CORPORATION

P29276

Address for all Officers and Directors:

50 Rockefeller Plaza, 2nd Floor, New York, NY 10020

NAME

TITLE

William P. Carey

Director

Stephen H. Hamrick (24) (7)

Chairman and Director; Chief
Compliance Officer

Claude Fernandez

Executive Vice President,
Chief Financial Officer and
Treasurer

Debra E. Bigler (7)

Senior Vice President

Ted G. Lagreid (6) (22) (62) (63)

Senior Vice President

Susan C. Hyde (7) (62) (63)

First Vice President and Secretary

David W. Marvin (7) (24)

First Vice President

David Termine

First Vice President

Samuel W. Byram

Vice President

Anne R. Coolidge (7) (62) (63)

Vice President,
and Assistant Secretary

- Series 24 - General Securities Principal Certificate
- Series 7 - General Securities Registered Representative
- Series 63 - Uniform Securities Agent State Law Exam
- Series 62 - Corporate Securities
- Series 22 - Direct Participation Programs
- Series 6 - Investment Company/Variable Contract Programs