

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED
Jan 17, 2002 8:00 am
Secretary of State

01-17-2002 90014 005 ***150.00

DOCUMENT # P28878

1. Entity Name:
Lockton Companies, Inc. ✓

DO NOT WRITE IN THIS SPACE

2. Principal Place of Business
444 W. 47th Street

3. Mailing Address
444 W. 47th Street

Suite, Apt. #, etc.

Suite, Apt. #, etc.

Suite 900

Suite 900

City & State
Kansas City, MO

City & State
Kansas City, MO

4. FEI Number
48-0763803

Applied For
Not Applicable

Zip
64112-1906

Country
Jackson

Zip
64112-1906

Country
Jackson

5. Certificate of Status Desired ☐ \$8.75 Additional
Fee Required

DO NOT WRITE IN THIS SPACE

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IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name
CT Corporation System

Street Address (P.O. Box Number is Not Acceptable)
1200 S. Pine Island Road

City
Plantation FL Zip Code
33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and date if applicable.

(NOTE: Registered Agent signature required when consenting)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐

January 1 - May 1 Fee is \$150.00
After May 1, Fee is \$550.00
Amended UBR is \$61.25
Make Check Payable to: Department of State

10. Election Campaign Financing
Trust Fund Contribution. ☐ \$5.00 May Be
Added to Fees

11. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY - ST - ZIP	SEE ATTACHED LIST	TITLE NAME STREET ADDRESS CITY - ST - ZIP	
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**DO NOT WRITE
IN THIS SPACE**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(b), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 667, Florida Statutes; and that my name appears in Block 11 or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

DATE

Original Filed at

01/02/02

816-960-9007

Attachment *804996*
 List of Officers *Q#* *P28878*

Name	Title	Address 1
John T. Lockton III	Chairman	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9000
David M. Lockton	Vice-Chairman and CEO	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9000
John L. Lumelleau	President	444 West 47th Street, Suite 900 Kansas City, MO 64112 United States Phone: 816-960-9000
Michael C. Frost	Executive Vice President/COO/Secretary	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9007
William W. Humphrey III	Senior Vice President/Assistant Secretary	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9000
Alan L. Salts	Senior Vice President/Chief Financial Officer/Treasurer	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9109
William L. Frick	Senior Executive Vice President	444 West 47th Street, Suite 900 Kansas City, MO 64112 United States Phone: 816-960-9000
Don G. Osborne	Senior Executive Vice President	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9000
Charles W. Wear Jr.	Senior Executive Vice President	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9000
Mark P. Angers	Executive Vice President	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9060
Brian Kawamoto	Executive VP Managing Director	444 W. 47th Street, Suite 900 Kansas City, MO 64112 United States Phone: 816-960-9000
Ronald J. Lockton	Executive Vice President	444 West 47th Street, Suite 600 Kansas City, MO 64112 United States Phone: 816-960-9001
Claudia Mandato	Executive Vice President Corporate Operations	444 West 47th Street, Suite 900 Kansas City, MO 64112-1906 United States Phone: 816-960-9000

Company Name: Lockton Companies, Inc.

Report Date: 12/31/2001