

2001 UNIFORM BUSINESS REPORT (UBR)

28192

007350

DOCUMENT # P28329

1. Entity Name

FIRST UNION SECURITIES, INC.

FILED

01 JAN 26 PM 1:22

SECRETARY OF STATE
TALLAHASSEE, FLORIDA



DO NOT WRITE IN THIS SPACE

Principal Place of Business

301 S. COLLEGE ST
CHARLOTTE NC 28288-0630
US

Mailing Address

1201 HAYS STREET
TALLAHASSEE FL 32301
US

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number 34-1542819

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CORPORATION SERVICE COMPANY
1201 HAYS STREET
TALLAHASSEE FL 32301

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ☐ Delete
NAME MAXWELL, ALAN L JR
STREET ADDRESS 301 S. COLLEGE ST
CITY-ST-ZIP CHARLOTTE NC 28288-0630

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME PD
STREET ADDRESS ILARIO, SCOTT L
CITY-ST-ZIP 301 S. COLLEGE ST
CHARLOTTE NC 28288-0630

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS 400003582424--8
CITY-ST-ZIP

TITLE ☐ Delete
NAME S
STREET ADDRESS POWERS, JAMES F
CITY-ST-ZIP 301 S. COLLEGE ST
CHARLOTTE NC 28288-0630

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME D
STREET ADDRESS CUMMINGS, STEPHEN E
CITY-ST-ZIP 301 S. COLLEGE ST
CHARLOTTE NC 28288-0630

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME D
STREET ADDRESS HAMPTFUHRER, BARNES W
CITY-ST-ZIP 301 S. COLLEGE ST
CHARLOTTE NC 28288-0630

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

TITLE ☐ Delete
NAME D
STREET ADDRESS KOLHAGEN, STEVEN W
CITY-ST-ZIP 301 S. COLLEGE ST
CHARLOTTE NC 28288-0630

TITLE ☐ Change ☐ Addition
NAME
STREET ADDRESS
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

1/17/01

Date

704-374-3021

Daytime Phone #

CR2E034 (10/00)

pg 2 of 2



ACCOUNT NO. : 072100000032

REFERENCE : 979158 167868A

AUTHORIZATION :

Patricia Figueira

COST LIMIT : \$ 150.00

ORDER DATE : January 26, 2001

ORDER TIME : 11:35 AM

ORDER NO. : 979158-005

CUSTOMER NO: 167868A

CUSTOMER: Lisa P. Clontz, Legal Asst
First Union Corporation
One First Union Center, Nc0630
Legal Division-31st Floor
Charlotte, NC 28288-0630

ANNUAL REPORT FILING

NAME: FIRST UNION SECURITIES, INC.

XX ANNUAL REPORT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

XX PLAIN STAMPED COPY

CONTACT PERSON: Darlene Ward - Ext. 1135

EXAMINER'S INITIALS: _____

RECEIVED
01 JAN 26 PM 12:17
DEPARTMENT OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA