# 2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P28329

1. Entity Name

~~EVEREN SECURITIES, INC.~~ First Union Securitus, Inc.

FILED

00 MAR -6 AM 8:38

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DO NOT WRITE IN THIS SPACE

| Principal Place of Business | Mailing Address |
|---|---|
| 77 W WACKER DRIVE<br>CHICAGO IL 60601<br>US | 77 W WACKER DRIVE<br>LEGAL DEPT.<br>CHICAGO IL 60601-1604<br>US |

| 2. Principal Place of Business | 3. Mailing Address |
|---|---|
| 301 S. College St. | 1201 Hays St. |
| Suite, Apt. #, etc. | Suite, Apt. #, etc. |
| City & State: Charlotte, NC | City & State: Tallahassee FL |
| Zip: 28288-0630 Country: USA | Zip: 32301 Country: USA |

4. FEI Number 34-1542819 — Applied For / Not Applicable

5. Certificate of Status Desired ☐ **$8.75** Additional Fee Required

| 6. Name and Address of Current Registered Agent | 7. Name and Address of New Registered Agent |
|---|---|
| CORPORATION SERVICE COMPANY<br>1201 HAYS STREET<br>TALLAHASSEE FL 32301 | Name<br>Street Address (P.O. Box Number is Not Acceptable)<br>City FL Zip Code |

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE _____
Signature, typed or printed name of registered agent and title if applicable. (NOTE. Registered Agent signature required when reinstating) DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

**FILE NOW!!! FEE IS $150.00**
**After MAY 1, 2000 Fee will be $550.00**
**Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐ **$5.00** May Be Added to Fees

| 11. OFFICERS AND DIRECTORS | | 12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 | |
|---|---|---|---|
| TITLE: T<br>NAME: MCGIVERN, ARTHUR<br>STREET ADDRESS: 77 W WACKER DRIVE<br>CITY-ST-ZIP: CHICAGO IL 60601 | ☒ Delete | TITLE: T<br>NAME: Alan L. maxwell, Jr.<br>STREET ADDRESS: 301 S. College St<br>CITY-ST-ZIP: Charlotte, NC 28288 | ☐ Change ☒ Addition |
| TITLE: D<br>NAME: BORIS, JAMES R.<br>STREET ADDRESS: 77 W WACKER DRIVE<br>CITY-ST-ZIP: CHICAGO IL 60601-1994 | ☒ Delete | TITLE: P/D<br>NAME: Scott L. Ilario<br>STREET ADDRESS: 301 S. College St.<br>CITY-ST-ZIP: Charlotte, NC 28288 | ☐ Change ☒ Addition |
| TITLE: DS<br>NAME: REALI, JANET L<br>STREET ADDRESS: 77 W WACKER DRIVE<br>CITY-ST-ZIP: CHICAGO IL | ☒ Delete | TITLE: S<br>NAME: James F. Powers<br>STREET ADDRESS: 301 S. College St.<br>CITY-ST-ZIP: Charlotte, NC 28288 | ☐ Change ☒ Addition |
| TITLE: D<br>NAME: FALLIS, STANLEY R.<br>STREET ADDRESS: 77 W. WACKER DR.<br>CITY-ST-ZIP: CHICAGO IL | ☒ Delete | TITLE: D<br>NAME: Stephen E. Cummings<br>STREET ADDRESS: 301 S. College St.<br>CITY-ST-ZIP: Charlotte, NC 28288 | ☐ Change ☒ Addition |
| TITLE: VD<br>NAME: MCGIVERN, ARTHUR J<br>STREET ADDRESS: 77 W WACKER DRIVE<br>CITY-ST-ZIP: CHICAGO IL 60601-1994 | ☒ Delete | TITLE: D<br>NAME: W. Barnes Hauptfuhrer<br>STREET ADDRESS: 301 S. College St.<br>CITY-ST-ZIP: Charlotte, NC 28288 | ☐ Change ☒ Addition |
| TITLE: PD<br>NAME: MCCONAHEY, STEPHEN G.<br>STREET ADDRESS: 77 W. WACKER DRIVE<br>CITY-ST-ZIP: CHICAGO IL | ☒ Delete | TITLE: D<br>NAME: Steven W. Kohlhagen<br>STREET ADDRESS: 301 S. College St.<br>CITY-ST-ZIP: Charlotte, NC 28288 | ☐ Change ☒ Addition<br>SP |

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

**SIGNATURE:** James F. Powers — 3/2/00 — 704-374-6611
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR — Date — Daytime Phone #

CR2E034 (9/99)

300003159653--5

CSC THE UNITED STATES CORPORATION COMPANY

ACCOUNT NO. : 072100000032

REFERENCE : 611650 167868A

AUTHORIZATION : Patricia Pizzuto

COST LIMIT : $ 150.00

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ORDER DATE : March 3, 2000

ORDER TIME : 3:52 PM

ORDER NO. : 611650-010

CUSTOMER NO: 167868A

CUSTOMER: Lisa P. Clontz, Legal Asst
First Union Corporation
One First Union Ctr
Legal Dept. - 31st Floor
Charlotte, NC 28288

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ANNUAL REPORT FILING

NAME: FIRST UNION SECURITIES, INC.

RECEIVED
00 MAR -6 PM 4:34
DEPARTMENT OF STATE
DIVISION OF CORPORATIONS
TALLAHASSEE, FLORIDA

XX ANNUAL REPORT

PLEASE RETURN THE FOLLOWING AS PROOF OF FILING:

_____ CERTIFIED COPY
XX PLAIN STAMPED COPY
_____ CERTIFICATE OF GOOD STANDING

CONTACT PERSON: Tamara Odom

EXAMINER'S INITIALS: _____