

**FOR PROFIT CORPORATION
UNIFORM BUSINESS REPORT (UBR)**

FILED

03 DEC 23 PM 4:55

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

DOCUMENT # P28151

1. Entity Name

New England Securities Corporation



DO NOT WRITE IN THIS SPACE

2. Principal Place of Business
501 Boylston Street

Suite, Apt. #, etc.

3. Mailing Address
501 Boylston Street

Suite, Apt. #, etc.

City & State
Boston, MA 02116

City & State
Boston, MA 02116

Zip Country
02116 USA

Zip Country
02116 USA

4. FEI Number
04-2436412

Applied For
Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional
Fee Required

REINSTATEMENT 03
DO NOT WRITE IN THIS SPACE

**DO NOT WRITE
IN THIS SPACE**

7. Name and Address of Current Registered Agent

Name
CT Corporation

Street Address (P.O. Box Number is Not Acceptable)

1200 S. Pine Island Road

600024949166

City Plantation 11/24/03--01019--034 FL 33324

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Kristen Letzger

**KRISTEN LETZGER
VICE PRESIDENT**

10/3/03

(NOTE: Registered Agent signature required when reinstating)

DATE

January 1 - May 1 Fee is \$150.00
After May 1, Fee is \$550.00
Amended UBR is \$61.25
Make Check Payable to Florida Department of State

9. Election Campaign Financing
Trust Fund Contribution. ☐ \$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

TITLE NAME STREET ADDRESS CITY-ST-ZIP	Please see attachment for complete list of Officers & Directors.
TITLE NAME STREET ADDRESS CITY-ST-ZIP	
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TITLE NAME STREET ADDRESS CITY-ST-ZIP	600024949166 12/23/03--01033--008 **200.00
TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DO NOT WRITE IN THIS SPACE
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<i>12/26</i>
TITLE NAME STREET ADDRESS CITY-ST-ZIP	

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Mary M. Diggins

10/1/03 617-578-3653

Date

Daytime Phone #

CR2E034B (12/02)

New England Securities Corporation
501 Boylston Street, Boston, MA 02116
Directors & Officers List
As of August 25, 2003

Officers:

Michael K. Farrell	President & Chief Executive Officer
Mary M. Diggins	Vice President, General Counsel, Secretary & Clerk
Joanne E. Logue	Vice President & Chief Compliance Officer
Johannes A. Etwaroo	Vice President of Operations
Bette Skandalis	Vice President of Financial Planning
Virgel E. Aquino	Vice President, Operations
David Decker	Vice President
Chuck E. Fuller	Vice President
Laura A. Hutner	Vice President
Mitchell A. Karman	Vice President
Rebecca C. Kovatch	Vice President
Jeffrey A. Wilk	Vice President
Robert J. Costello	Assistant Vice President
Paul D. Hipworth	Assistant Vice President, Chief Financial Officer Officer & Treasurer
Sean G. McNamara	Assistant Vice President
Steven J. Brash	Assistant Treasurer
Leo R. Brown	Assistant Treasurer
Gregory M. Harrison	Assistant Treasurer

Directors:

Eileen McConnell
Anne M. Goggin