

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

PROFIT
CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # P28105 (5)

1. Corporation Name

NATIONSBANC CAPITAL MARKETS, INC.

Principal Place of Business

CHARLOTTE
NCI 007-19-03 CORPORATE TAX
CHARLOTTE NC 28255
US

Mailing Address

101 S. TRYON ST.
NCI-002-20-18
CHARLOTTE NC 28255
US

3. Date Incorporated or Qualified
02/14/1990

3a. Date of Last Report
05/01/1995

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt. #, etc

26 101 S. Tryon St.
27 NC1-002-20-18
28 c/o Corporate Tax
Charlotte NC 28255

22 City & State

23 Zip Country

29 Zip Country

4. FEI Number
56-1684171

Applied For
Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Election Campaign Financing Trust Fund Contribution ☐ \$5.00 May Be Added to Fees

8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☒ Yes ☐ No

9. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature typed or printed name of registered agent and the applicable

(NOTE: Registered Agent Signature required when removing)

DATE

12. OFFICERS AND DIRECTORS

TITLE PD ☐ DELETE
NAME HAYES, ROGER G.
STREET ADDRESS NATIONSBANK PLAZA T20-15
CITY-ST-ZIP CHARLOTTE NC

TITLE DC ☐ DELETE
NAME LADD, A. EARL
STREET ADDRESS NATIONSBANK PLAZA T20-15
CITY-ST-ZIP DALLAS TX

TITLE AS ☐ DELETE
NAME SIMPSON, DAVE A.
STREET ADDRESS NATIONSBANK PLAZA T20-15
CITY-ST-ZIP CHARLOTTE NC

TITLE D ☐ DELETE
NAME SCHENCK, EDMUND H.
STREET ADDRESS NATIONSBANK PLAZA T20-15
CITY-ST-ZIP CHARLOTTE NC

TITLE SD ☐ DELETE
NAME HUNTLEY, J STEPHEN
STREET ADDRESS NATIONSBANK PLAZA T20-15
CITY-ST-ZIP CHARLOTTE NC

TITLE VP ☐ DELETE
NAME SWANSON, JOHN P. JR
STREET ADDRESS NATIONSBANK PLAZA T20-15
CITY-ST-ZIP CHARLOTTE NC

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP ☐ Change ☐ Addition

2.1 TITLE ☐ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP ☐ Change ☐ Addition

3.1 TITLE ☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP ☐ Change ☐ Addition

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP ☐ Change ☐ Addition

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP ☐ Change ☐ Addition

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

SEE SCHEDULE ATTACHED

400001831444
-05/21/96--01034--043
***225.00

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

Gary S. Williams

Gary S. Williams
Tax Officer

5-14-96

704.386.5956

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Telephone Number

CR2E034 (12/95)

12/19/95

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Active Officer & Director Report

NationsBanc Capital Markets, Inc.

Directors

William Maxwell
Stewart Boswell
Richard Downen
John Griff
Richard Gross
Michael Gunther
Raleigh Hortenstine III
Ronald Newth
Mark Seals

Title

Chairman of the Board
Director
Director
Director
Director
Director
Director
Director
Director

Last El.

08/31/95
08/31/95
08/31/95
08/31/95
08/31/95
08/31/95
08/31/95
08/31/95
08/31/95

Officers

4 TEXT MESSAGE AA--GO 2 CORP RCRDS

William Maxwell
John Burrus
Richard Gross
Ronald Newth
George Carp
Michael Gunther
Theodore Bowden
Rebecca Dooley
J. Huntley
A. Ladd
Scheline Moore
Dan Shelton
Donald St. Clair
Mark Seals

Title

Very Important Message
Chairman of the Board
President, Government Securities
President
Chief Operating Officer/Secretary
FINOP/Chief Accounting Officer
Senior Vice President
Assistant Secretary
Compliance Officer/Assistant Secretary
Assistant Secretary
Assistant Secretary
Credit Officer
Treasury Officer
Finance Officer/Operations Officer
Managing Director

Last El.

06/14/95
08/31/95
09/14/94
09/14/94
04/12/95
09/14/94
09/14/94
04/20/94
04/20/94
09/14/94
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09/14/94
09/14/94