FILED .. 2002 UNIFORM BUSINESS-REPORT (UBR) Apr 17, 2002 8:00 am § Secretary of State DOCUMENT # P27668 1. Entity Name COMMONWEALTH EQUITY SERVICES. INC. 04-17-2002 90120 015 ***150.00 Mailing Address Principal Place of Business ONE UNIVERSITY OFFICE PARK ONE UNIVERSITY OFFICE PARK 26 SAWYER ROAD 26 SAWYER ROAD WALTHAM MA 02453 WALTHAM MA 02453 2. Principal Place of Business 3. Mailing Address DO NOT WRITE IN THIS SPACE Suite, Apt. #, etc. Suite, Apt. #, etc. 4. FEI Number Applied For City & State City & State 04-2675571 Not Applicable Country \$8.75 Additional Zip Country Zip 5. Certificate of Status Desired Fee Required 7. Name and Address of New Registered Agent 6. Name and Address of Current Registered Agent CT CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 SOUTH PINE ISLAND RD. PLANTATION FL 33324 Zip Code City 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. DATE (NOTE: Registered Agent signature required when reinstating) FILE NOW!!! FEE IS \$150.00 9. This corporation is eligible to satisfy its Intangible 10. Election Campaign Financing \$5.00 May Be After May 1, 2002 Fee will be \$550.00 Tax filing requirement and elects to do so. Trust Fund Contribution. Added to Fees Make Check Payable to Department of State (See criteria on back) ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 11. OFFICERS AND DIRECTORS ☐ Addition TITLE ☐ Change CTD ☐ Delete TITLE DEITCH, JOSEPH S NAME NAME STREET ADDRESS STREET ADDRESS ONE UNIVERSITY OFFICE PARK, 29 SAWYER RD. CITY-ST-ZIP WALTHAM MA 02453 CITY-ST-ZIP ☐ Change ☐ Addition ☐ Delete TITLE TITLE NAME NAME WHEELER, PETER T STREET ADDRESS ONE UNIVERSITY OFFICE PARK, 29 SAWYER RD. STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP WALTHAM MA 02453 ☐ Change ☐ Addition ☐ Delete TITLE TITLE NAME DEITCH, ROBBIE LACRITZ NAME STREET ADDRESS ONE UNIVERSITY OFFICE PARK, 29 SAWYER RD. STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP WALTHAM MA 02453 ☐ Change Addition TITLE Delete TITLE D NAME BRENMAN, ERIC NAME STREET ADDRESS ONE UNIVERSITY OFFICE PARK, 29 SAWYER RD. STREET ADDRESS WALTHAM MA 02453 CITY-ST-ZIP CITY-ST-ZIP TITLE ☐ Change ☐ Addition ☐ Delete TITLE NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP ☐ Change ☐ Addition TITLE ☐ Delete NAME NAME STREET ADDRESS STREET ADDRESS CITY-ST-ZIP CITY-ST-ZIP

13. Thereby certify that the information supplied with this filling does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee employered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if

Daytime Phone #

, with all other like empowered.

changed, or on an attachment with an addres

SIGNATURE: