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FILED
May 05 1997 8:00am
Secretary of State

PROFIT CORPORATION ANNUAL REPORT 1997
P27574
FLORIDA DEPARTMENT OF STATE
Sandra B. Morham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT #
1. Corporation Name **MONY Securities Corp.**
Principal Place of Business **1 Mony Plaza**
Syracuse, NY 13221
Mailing Address **1740 Broadway**
New York, NY 10019

2. Principal Place of Business
21
Suite, Apt. #, etc.
22
City & State
23
Zip
24
Country
25
2a. Mailing Address
26
Suite, Apt. #, etc.
27
City & State
28
Zip
29
Country
30

3. Date Incorporated or Qualified **01/04/90**
3a. Date of Last Report **5/96**
4. FEI Number **13-2645488**
Applied For
Not Applicable
5. Certificate of Status Desired ☐ \$0.75 Additional Fee Required
6. Election Campaign Financing ☐ \$5.00 May Be Added to Fees
Trust Fund Contribution ☐
8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☐ Yes ☒ No

9. Name and Address of Current Registered Agent
CT Corporation Systems
1200 S. Pine Island Road
Plantation, FL 33324

10. Name and Address of New Registered Agent
81 Name
82 Street Address (P.O. Box Number is Not Acceptable)
83
84 City
FL
85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1506, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE
Signature, typed or printed name of registered agent and title if applicable (NOTE: Registered Agent signature required when reinstating) DATE

12. OFFICERS AND DIRECTORS
TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP
See Attached
TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP
TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP
TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP
TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP
TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12
1.1 TITLE ☐ Change ☐ Addition
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY-ST-ZIP
2.1 TITLE ☐ Change ☐ Addition
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP
3.1 TITLE ☐ Change ☐ Addition
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP
4.1 TITLE ☐ Change ☐ Addition
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP
5.1 TITLE ☐ Change ☐ Addition
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP
6.1 TITLE ☐ Change ☐ Addition
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP
500002170345
-05/08/97--01001--033
*****165.00**

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: **Sandra S. Lopez**
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR / Date **4/21/97 (1212) 708-2255**
Daytime Phone #

| Name | SSN | Title | Address |
|---------------------|-------------|----------------------|--|
| Victor Ugolyn | 010-40-0163 | Director & Chairman | 3343 Peachtree Road, Suite 450, Atlanta, GA 30326 |
| J. Keith Baker | 431-04-3560 | Director | 1740 Broadway, New York, NY 10019 |
| Lawrence F. Sherman | 084-40-9560 | Director & President | 1740 Broadway, New York, NY 10019 |
| Phillip D'Ambrisi | 145-46-8472 | Executive V.P. | One MONY Plaza, Syracuse, NY 13221 |
| Edward E. Hill | 144-25-6940 | Executive V.P. | 1740 Broadway, New York, NY 10019 |
| Frank E. Flowers | 137-32-9912 | Vice President | One MONY Plaza, Syracuse, NY 13221 |
| James Gould | 096-34-3892 | Vice President | 1740 Broadway, New York, NY 10019 |
| Terry Howe | 064-42-0541 | Vice President | 1740 Broadway, New York, NY 10019 |
| Robert H. Kramer | 254-74-9317 | Vice President | 1740 Broadway, New York, NY 10019 |
| John M. Purcell | 043-58-8142 | Vice President | 3343 Peachtree Road, NE Suite 700 Atlanta, GA 303263343 |
| John H. Wilson | 509-58-2764 | Vice President | 1740 Broadway, New York, NY 10019 |
| Gerald J. Carroll | 136-58-5058 | Secretary | One MONY Plaza, Syracuse, NY 13221 |
| Tamara L. Bronson | 120-56-3176 | Treasurer | One MONY Plaza, Syracuse, NY 13221 |
| Kathryn B. Polak | 069-44-9418 | Fin. Principal | One MONY Plaza, Syracuse, NY 13221 |
| Linda S. Lopez | 178-40-9895 | Asst. Secretary | 1740 Broadway, New York, NY 10019 |
| Catherine McClellan | 197-48-5107 | Asst. Secretary | 3343 Peachtree Road, Suite 450, Atlanta, GA 30326 |
| Larry Cohen | 125-40-0695 | Asst. Treasurer | 1740 Broadway, New York, NY 10019 |