

FILE NOW: FILING FEE AFTER MAY 1 IS \$550.00

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Feb 25 1997 8:00am  
Secretary of State

• PROFIT CORPORATION ✓ ANNUAL REPORT <b>1997</b>		FLORIDA DEPARTMENT OF STATE • <b>Sandra B. Mortham</b> Secretary of State DIVISION OF CORPORATIONS
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DOCUMENT # **P27373** (0)

1. Corporation Name  
**IBM INVESTMENTS, INC.**

Principal Place of Business  
**1133 WESTCHESTER AVE.  
WHITE PLAINS NY 10604  
US**

Mailing Address  
**1133 WESTCHESTER AVE.  
WHITE PLAINS NY 10604-3505  
US**



3. Date Incorporated or Qualified **12/15/1989** 3a. Date of Last Report **05/01/1996**

2. Principal Place of Business 21 Suite, Apt. #, etc. 22 City & State 23 Zip 24 Country	2a. Mailing Address 26 Suite, Apt. #, etc. 27 City & State 28 Zip 29 Country	4. FEI Number <b>06-1277952</b> ✓ 5. Certificate of Status Desired <input type="checkbox"/> <b>\$8.75</b> Additional Fee Required 6. Election Campaign Financing Trust Fund Contribution <input type="checkbox"/> <b>\$5.00</b> May Be Added to Fees 8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes <input type="checkbox"/> Yes <input type="checkbox"/> No
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9. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324** ✓

10. Name and Address of New Registered Agent

81 Name	82 Street Address (P.O. Box Number is Not Acceptable)	83	84 City	85 Zip Code
			<b>FL</b>	

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) DATE \_\_\_\_\_

12. OFFICERS AND DIRECTORS		13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12	
TITLE	<b>VGCS</b> <input type="checkbox"/> DELETE	1.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>SHAY, JOHN J. JR.</b>	1.2 NAME	
STREET ADDRESS	<b>290 HARBOR DR.</b>	1.3 STREET ADDRESS	
CITY-ST-ZIP	<b>STAMFORD CT</b>	1.4 CITY-ST-ZIP	
TITLE	<b>VD</b> <input type="checkbox"/> DELETE	2.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>NEUHOFFER, CHRISTIAN</b>	2.2 NAME	
STREET ADDRESS	<b>290 HARBOR DR.</b>	2.3 STREET ADDRESS	
CITY-ST-ZIP	<b>STAMFORD CT</b>	2.4 CITY-ST-ZIP	
TITLE	<b>TC</b> <input type="checkbox"/> DELETE	3.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>COOPER, NANCY E</b>	3.2 NAME	
STREET ADDRESS	<b>290 HARBOR DR</b>	3.3 STREET ADDRESS	
CITY-ST-ZIP	<b>STAMFORD CT</b>	3.4 CITY-ST-ZIP	
TITLE	<b>DVPC</b> <input type="checkbox"/> DELETE	4.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>SCHLEICHER, ALLISON R</b>	4.2 NAME	
STREET ADDRESS	<b>290 HARBOR DRIVE</b>	4.3 STREET ADDRESS	
CITY-ST-ZIP	<b>STAMFORD CT</b>	4.4 CITY-ST-ZIP	
TITLE	<b>AS</b> <input type="checkbox"/> DELETE	5.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	<b>GOULET, JEANNE P</b>	5.2 NAME	
STREET ADDRESS	<b>290 HARBOR DRIVE</b>	5.3 STREET ADDRESS	
CITY-ST-ZIP	<b>STAMFORD CT</b>	5.4 CITY-ST-ZIP	
TITLE	<input type="checkbox"/> DELETE	6.1 TITLE	<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		6.2 NAME	
STREET ADDRESS		6.3 STREET ADDRESS	
CITY-ST-ZIP		6.4 CITY-ST-ZIP	

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 12 or Block 13 if changed, or in an attachment with an address.

SIGNATURE: (X)

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

02/24/97 (914) 642-4800

CR2E034 (9/96)

IBM INVESTMENTS INC.

DIRECTORS:

KIMBERLY A. KISPERT  
CHRISTIAN NEUHOFFER  
ALLISON R. SCHLEICHER

OFFICERS:

KIMBERLY A. KISPERT	PRESIDENT
ALLISON R. SCHLEICHER	VICE PRESIDENT AND CHIEF FINANCIAL OFFICER
JOHN J. SHAY, JR.	VICE PRESIDENT, GENERAL COUNSEL AND SECRETARY
CHRISTIAN NEUHOFFER	VICE PRESIDENT
KEVIN P. GALLAGHER	COMPTROLLER AND TREASURER
JEANNE P. GOULET	ASSISTANT SECRETARY

IBM INVESTMENTS, INC.

All Directors and Officers of this Corporation, except for Mr. Neuhofer, have the following business address:

1133 Westchester Avenue  
White Plains, NY 10604

Mr. Neuhofer's business address is:

IBM Deutschland  
Pascalstrasse 100  
70569 Stuttgart  
GERMANY