

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**Feb 19, 2002 8:00 am**  
**Secretary of State**  
 02-19-2002 90111 009 \*\*\*150.00

**DOCUMENT # P23603**

1. Entity Name  
**EAGLE STRATEGIES CORP.**

Principal Place of Business  
**1180 AVENUE OF AMERICAS**  
**3RD FLOOR**  
**NEW YORK NY 10036**  
**US**

Mailing Address  
**51 MADISON AVE**  
**ROOM 2212**  
**NEW YORK NY 10010**  
**US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number  
**13-3475906**

Applied For

Not Applicable

5. Certificate of Status Desired ☐ **\$8.75** Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**C T CORPORATION SYSTEM**  
**1200 SOUTH PINE ISLAND RD.**  
**PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE \_\_\_\_\_ (NOTE: Registered Agent signature required when reinstating) DATE \_\_\_\_\_

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00**  
**After May 1, 2002 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing Trust Fund Contribution. ☐ **\$5.00** May Be Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PD MATHAS, THEODORE 51 MADISON AVE NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V ELISS, MITCHELL 335 MADISON AVENUE NEW YORK NY 10017	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V O'BYRNE, JOHN H 51 MADISON AVENUE NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	T CALHOUN, JAY S 51 MADISON AVENUE NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	AS MARRION, CATHERINE 51 MADISON AVE NEW YORK NY 10010	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	V WARGA, THOMAS 51 MADISON AVENUE NEW YORK NY 10010	<input type="checkbox"/> Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: SIGNATURE 2/6/02 (212)576-3957  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR Date Daytime Phone #

CR2E034 (9/01)

*Attachment 822342 / #P23603*  
**Organization Direct Owners and Executive Officers**

<b>Organization CRD#: 110826</b>	<b>Primary Business Name: EAGLE STRATEGIES CORP</b>
<b>Organization SEC#: 801-32987</b>	<b>Full Legal Name: EAGLE STRATEGIES CORP</b>
<b>No BD Record</b>	<b>Electronic Filer</b>

Full Legal Name	Domestic, Foreign, Individual	Title or Status	Date Title or Status Acquired	Ownership Code	Control person	Public Reporting Company	CRD#, EIN, IRS#, SSN	Ir D
NEW YORK LIFE INSURANCE COMPANY	DE	INDIRECT STOCKHOLDER	07/1988	E	Y	N	13-5582869	N
NYLIFE LLC	DE	STOCKHOLDER	07/1988	E	Y	N	13-3198392	N
ABDELFATTAH, YASSER, M	I	CORPORATE VICE PRESIDENT	12/2000	NA	N	N	<u>2588220</u>	N
BRENNAN, STEPHEN, WILLIAM	I	ASSISTANT SECRETARY	09/2000	NA	N	N	<u>3169077</u>	N
BROWN, LINDA, KAY	I	ASSISTANT VICE PRESIDENT & CHIEF MARKETING OFFICER	12/2000	NA	N	N	<u>1672472</u>	N
CALHOUN, JAY, STEELE	I	SENIOR VICE PRESIDENT & TREASURER	11/1992	NA	N	N	<u>1538409</u>	N
EISS, MITCHELL, HOWARD	I	VICE PRESIDENT & CHIEF OPERATING OFFICER	03/1997	NA	Y	N	<u>1498919</u>	N
FRANKI, NICHOLAS, MATTHEW	I	CORPORATE VICE PRESIDENT	12/2000	NA	N	N	<u>2332548</u>	N
GOLDFINGER, SOLOMON	I	DIRECTOR	06/1998	NA	Y	N	<u>2353192</u>	N
GOMEZ, MARK, ANDREW	I	VICE PRESIDENT AND SECRETARY	11/2001	NA	N	N	<u>1797349</u>	N
HERSCH, JOSEPH, SAM	I	ASSISTANT VICE PRESIDENT	12/2000	NA	N	N	<u>1508671</u>	N

*Attachment 822342 #P23603*

HILDEBRAND, PHILLIP, JOHN	I . . .	DIRECTOR	03/1997	NA	Y	N	<u>826888</u>	N
KERNAN, RICHARD, MICHAEL	I	DIRECTOR	10/1997	NA	Y	N	<u>1417712</u>	N
LEAHY, MICHAEL, DENNIS	I	CORPORATE VICE PRESIDENT	12/2000	NA	N	N	<u>2412761</u>	N
LEVY, RICHARD, DAVID	I	DIRECTOR	06/1998	NA	Y	N	<u>2409784</u>	N
MAGNAN, ROBERT, JOSEPH	I	ASSISTANT VICE PRESIDENT	12/2000	NA	N	N	<u>1008296</u>	N
MATHAS, THEODORE, ALEXANDER	I	DIRECTOR	09/1996	NA	Y	N	<u>2747626</u>	N
MCINERNEY, BARBARA, JOAN	I	SENIOR VICE PRESIDENT & CHIEF COMPLIANCE OFFICER	05/2000	NA	N	N	<u>1903614</u>	N
MEIROWITZ, MARK, JOHN	I	ASSISTANT SECRETARY	09/2000	NA	N	N	<u>4323135</u>	N
MURRAY, THOMAS, JOSEPH	I	CORPORATE VICE PRESIDENT	12/2000	NA	N	N	<u>1894655</u>	N
ONEILL, JOSEPH, WILLIAM	I	CORPORATE VICE PRESIDENT & CHIEF FINANCIAL OFFICER	06/1998	NA	N	N	<u>2098884</u>	N
OSULLIVAN, JEAN, MARY	I	DIRECTOR & VICE PRESIDENT	06/1998	NA	Y	N	<u>3098478</u>	N
ROCCHI, GERARD, ANTHONY	I	CHAIRMAN, DIRECTOR, PRESIDENT AND CHIEF EXECUTIVE OFFICER	08/1999	NA	Y	N	<u>1867122</u>	N
ROUSSIN, STEPHEN, CRAIG	I	DIRECTOR	08/1997	NA	Y	N	<u>1441872</u>	N
SIEVERT, FREDERICK, JAMES	I	DIRECTOR	10/1997	NA	Y	N	<u>2746844</u>	N

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ZUCCARO, RICHARD, WILLIAM	I	VICE PRESIDENT	06/1998	NA	N	N	<u>1795104</u>	N
HATCHMAN, JEFFREY, WILLIAM	I	VICE PRESIDENT	05/2001	NA	N	N	<u>1100574</u>	N
HERRERA, RAFAELA, MARIA	I	CORPORATE VICE PRESIDENT	05/2001	NA	N	N	<u>1394502</u>	N
YOUNG, DANIEL, JONATHAN	I	CORPORATE VICE PRESIDENT	05/2001	NA	N	N	<u>4311062</u>	N
ECKARDT, CATHERINE, LYNN	I	VICE PRESIDENT	11/2001	NA	N	N	<u>863606</u>	N
KEATING, MAURYA	I	ASSISTANT SECRETARY	11/2001	NA	N	N	<u>4484330</u>	N



The Company You Keep®

Attachment  
822342

# P23603

**New York Life Insurance Company**

**Lisa J. Verlizzo**  
Senior Compliance Associate  
Bus: 212-576-4559  
Fax: 212-447-4196  
E-Mail: [Lisa.Verlizzo@newyorklife.com](mailto:Lisa.Verlizzo@newyorklife.com)  
[www.newyorklife.com](http://www.newyorklife.com)

February 12 2002

Via Overnight Mail

Florida – Division of Corporations  
Uniform Business Report Filings  
409 East Gaines Street  
Tallahassee, FL 32399

Re: Eagle Strategies Corp.

To whom this may concern:

Enclosed please find Eagle Strategies Corp.'s Uniform Business Report, a current listing of officers and directors, and the required fee of \$150.00.

If you have any questions or require any further information, please contact me.

Sincerely,

  
Lisa J. Verlizzo