

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
**1999**



FLORIDA DEPARTMENT OF STATE  
**Katherine Harris**  
Secretary of State  
DIVISION OF CORPORATIONS

**FILED**  
**May 10, 1999 8:00 am**  
**Secretary of State**

05-10-1999 90209 009 \*\*\*150.00

**DOCUMENT # P22713**

1. Corporation Name

**JOSEPHTHAL & CO., INC.**



Principal Place of Business

200 PARK AVE  
25TH FLOOR  
NEW YORK NY 10166  
US

Mailing Address

%ROBERT E. SHEA ASST. CONTROLLER  
45 BROADWAY  
NEW YORK NY 10006  
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

**01/25/1989**

4. FEI Number

**13-1850914**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
Fee Required

6. Election Campaign Financing  
Trust Fund Contribution ☐

**\$5.00** May Be  
Added to Fees

8. This corporation owes the current year Intangible  
Personal Property Tax. ☒ Yes ☐ No

2. Principal Place of Business

2a. Mailing Address

21 Suite, Apt. #, etc.

26 Suite, Apt. #, etc.

22 City & State

27 City & State

23 Zip Country

28 Zip Country

24 25 29 30

9. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324**

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

**FL**

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE ☐ DELETE  
NAME **CD**  
STREET ADDRESS **PURJES, DAN D**  
CITY-ST-ZIP **200 PARK AVENUE 25TH FLOOR**  
**NEW YORK NY**

TITLE ☐ DELETE  
NAME **VD**  
STREET ADDRESS **RICE, LAWRENCE R.**  
CITY-ST-ZIP **200 PARK AVENUE 25TH FLOOR**  
**NEW YORK NY**

TITLE ☐ DELETE  
NAME **PD**  
STREET ADDRESS **FITZGERALD, PAUL**  
CITY-ST-ZIP **200 PARK AVE, 25TH FLOOR**  
**NEW YORK NY**

TITLE ☐ DELETE  
NAME **SD**  
STREET ADDRESS **RODEN, CHARLES E.**  
CITY-ST-ZIP **200 PARK AVENUE 25TH FLOOR**  
**ARMONK NY**

TITLE ☒ DELETE  
NAME **CFOC**  
STREET ADDRESS **LARKIN, SHERWOOD P**  
CITY-ST-ZIP **200 PARK AVE, 25TH FLOOR**  
**NEW YORK NY**

TITLE ☒ DELETE  
NAME **VD**  
STREET ADDRESS **WEISMAN, SCOTT**  
CITY-ST-ZIP **200 PARK AVE, 25TH FLOOR**  
**NEW YORK NY**

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

2.1 TITLE

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

**NEW YORK NY 10166**

**KFO**

**AGOSTA, SALVATORE**

**45 BROADWAY - 20TH FLOOR**

**NEW YORK NY 10006**

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Salvatore Agosta*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

**SALVATORE AGOSTA**

5/1/99

Date

212 859-9508

Daytime Phone #

CR2E034 (1/98)