

PLEASE READ ALL INSTRUCTIONS BEFORE COMPLETING THIS FORM.

194

APPLICATION FOR



FLORIDA DEPARTMENT OF STATE  
 Jim Smith  
 Secretary of State  
 DIVISION OF CORPORATIONS

FILED  
 02 NOV -6 PM 3:44  
 SECRETARY OF STATE  
 TALLAHASSEE, FLORIDA

DOCUMENT # P22111

1. Corporation Name

ESSEX FINANCIAL SERVICES, INC.

900008829459  
 11/06/02--01073--008 \*\*150.00

Principal Place of Business

Mailing Address

825 THIRD AVENUE  
 37TH FLOOR  
 NEW YORK NY 10022  
 US

825 THIRD AVENUE  
 37TH FLOOR  
 NEW YORK NY 10022  
 US

If above addresses are incorrect in any way, line through incorrect information and enter correction below.

2002 UBR

2. New Principal Office Address, If Applicable

3. New Mailing Office Address, If Applicable

4. Date Incorporated or Qualified To Do Business in Florida

12/14/1988

Suite, Apt. #, etc.

Suite, Apt. #, etc.

5. FEI Number

13-3283420

Applied-For

Not Applicable

City & State

City & State

Zip

Country

Zip

Country

6. CERTIFICATE OF STATUS DESIRED

\$8.75 Additional Fee required for a Certificate of Status

7. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors)

1 Title(s)	2 Name of Officers and/or Directors	3 Street Address of Each Officer and/or Director	4 City / State / Zip
CCEO	CROWE, KEVIN E	825 THIRD AVE 37TH FLR	NEW YORK NY 10022
D	STUDLEY, MICHAEL H	200 CLARENDON ST	BOSTON MA 02117
SVP	ZYTKOWICZ, GREGORY G	825 THIRD AVE., 37TH FL.	NEW YORK NY 10022
C	GRAVELINE, KATHLEEN M	200 CLARENDON STREET	BOSTON MA 02117
D	VAN LEER, MICHELLE G	200 CLARENDON ST	BOSTON MA 02117
EVP	WADE, WILLIAM N	101 BRADFORD RD., STE. 200	WEXFORD PA 15090

SEE ATTACHED

8. Name and Address of Current Registered Agent

9. Name and Address of New Registered Agent

NATIONAL CORPORATE RESEARCH, LTD., INC.  
 103 N. MERIDIAN STREET  
 TALLAHASSEE FL 32301

Name

Street Address (P.O. Box Number is Not Acceptable)

Suite, Apt. #, Etc.

City

State  
 FL

Zip Code

CR2E040 (8/02)

10. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of Section 607.0505, F.S. or 617.0505, F.S.

Signature of Registered Agent

SIGNATURE REQUIRED

Date

REGISTERED AGENT MUST SIGN

11. I certify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption under section 119.07(3)(i), F.S. The information indicated on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

SIGNATURE:

SIGNATURE REQUIRED  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

10-28-02 252-371-0303

Date

Daytime Phone #

2004

**Essex Corporation  
List of Officers & Directors**

<i>Name</i>	<i>Address</i>	<i>Title</i>
James M. Morris II	200 Clarendon Street Boston, MA 02117	Chairman of the Board
Kevin E. Crowe	825 Third Avenue New York, NY 10022	Chief Executive Officer
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	President
William N. Wade	101 Bradford Road, Suite 200 Wexford, PA 15090	Executive Vice President - New Business Development
Gregory G. Zytkowicz	825 Third Avenue New York, NY 10022	Senior Vice President - Operations/ Assistant Secretary
Scott K. Davis	215 Gateway Road West Napa, CA 94558	Senior Vice President / Chief Compliance Officer
Timothy M. Stoddart	825 Third Avenue New York, NY 10022	Senior Vice President
Bruce C. McCulloch	215 Gateway Road West Napa, CA 94558	Senior Vice President
Scott Westgate	825 Third Avenue New York, NY 10022	Assistant Treasurer
Kathy L. Glass	215 Gateway Road West Napa, CA 94558	Senior Vice President
Robert Karl	215 Gateway Road West Napa, CA 94558	Senior Vice President
Margaret K. Raupp	12201 Gowanda State Road Lawtons, NY 14091	Senior Vice President
Michael Blumenthal	825 Third Avenue New York, NY 10022	First Vice President
Michael O'Brien	215 Gateway Road West Napa, CA 94558	First Vice President
Ella Sankisov	215 Gateway Road West Napa, CA 94558	Vice President
John M. Cooney	215 Gateway Road West Napa, CA 94558	Vice President
Daniel P. Butterfield	215 Gateway Road West Napa, CA 94558	Vice President
Antoinette Ricci	200 Clarendon Street Boston, MA 02117	Secretary

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**Essex Corporation**  
**List of Officers & Directors**

<i>Name</i>	<i>Address</i>	<i>Title</i>
Elizabeth A. Clark	200 Clarendon Street Boston, MA 02117	Assistant Secretary

**Directors**

Kevin E. Crowe	825 Third Avenue New York, NY 10022	Director
John T. Farady	200 Clarendon Street Boston, MA 02117	Director
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Director
Eric A. Simonson	200 Clarendon Street Boston, MA 02117	Director
Michael H. Studley	200 Clarendon Street Boston, MA 02117	Director
Michelle G. Van Leer	200 Clarendon Street Boston, MA 02117	Director
Robert F. Walters	200 Clarendon Street Boston, MA 02117	Director
James Schmidt	200 Clarendon Street Boston, MA 02117	Director
Bruce M. Jones	200 Clarendon Street Boston, MA 02117	Director

# Essex Corporation

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825 THIRD AVENUE  
NEW YORK, NY 10022  
(212) 371-0303

October 23, 2002

Department of State  
Divisions of Corporations  
Annual Reports/Reinstatements Section  
PO Box 6327  
Tallahassee, FL 32314-6327

**Re: Essex Financial Services, Inc**

To Whom It May Concern:

Please be advised that the 2002 Annual Report was not received for Essex Financial Services, Inc. According to your instructions we do not have to go through the process of reinstatement, nor would we receive any late fees. Enclosed you will find the appropriate forms along with a check for the appropriate fees.

Should you have any questions please feel free to contact me at 212-371-0303.

Sincerely,



Gregory Zytkowicz  
Senior Vice President