## **APPLICATION** FOR REINSTATEMENT



#### FLORIDA DEPARTMENT OF STATE Katherine Harris

Secretary of State

#### P22111 **DOCUMENT#**

1. Corporation Name

### ESSEX FINANCIAL SERVICES, INC.

DIVISION OF CORPORATIONS

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SECRETARY OF STATE TALLAHASSEE, FLORIDA

Principal Place of Business Mailing Address 825 THIRD AVENUE 825 THIRD AVENUE 37TH FLOOR 37TH FLOOR NEW YORK NY 10022 NEW YORK NY 10022 RENSTATEMENT If above addresses are incorrect in any way, line through incorrect information and enter correction below 2. New Principal Office Address, If Applicable 3. New Mailing Office Address, If Applicable To Do Business in Florida 12/14/1988 Suite, Apt. #, etc. Suite, Apt. #, etc. 5. FEI Number Applied For 13-3283420 City & State City & State Not Applicable \$8.75 Additional Fee required Country Zíp Country CERTIFICATE OF STATUS DESIRED for a Certificate of Status 7. Names and Street Addresses of Each Officer and/or Director (Florida nonprofit corporations must list at least 3 directors) Name of Officers Street Address of Each City / State / Zip and/or Directors Officer and/or Director Title(s) **NEW YORK NY 10022** 825 THIRD AVE 37TH FLR **CCEO** Crowe, Kevin E **BOSTON MA 02117** istudley, Michael H 200 CLARENDON ST **NEW YORK NY 10022** SVP ZYTKOWICZ, GREGORY G |825 THIRD AVE., 37TH FL. NEW YORK NY 10022 825 THIRD AVENUE, 37TH FL TC LANTHIER, ELISA M **BOSTON MA 02117** 200 CLARENDON ST van leer, Michelle G **EVP** WADE, WILLIAM N 101 BRADFORD RD., STE. 200 WEXFORD PA 15090 8. Name and Address of Current Registered Agent 9. Name and Address of New Registered Agent NATIONAL CORPORATE RESEARCH, LTD., INC. Street Address (P.O. Box Number of No Absolute (P.O. Box Numbe -11/14/00--01095--005 1406 HAYS STREET, SUITE 2 \*\*\*\*750.00 \*\*\*\*750.00 Suite, Apt. #, Etc. TALLAHASSEE FL 32301 State | Zip Code City 10. I, being appointed the registered agent of the above named corporation, am familiar with and accept the obligations of Section 607.0505, F.S. Signature of Registered Agent REGISTERED AGENT MUST SIGN Asst.

11. Lentify that I am an officer or director or the receiver or trustee empowered to execute this application as provided for in chapter 607 or 617, F.S. I further certify that when filing this reinstatement application, the reason for dissolution has been eliminated, the corporate name satisfies the requirements of section 607.0401 or 617.0401, F.S., that all fees owed by the corporation have been paid and the names of individuals listed on this form do not qualify for an exemption under section 119.07(3)(i), F.S. The information indicated

SIGNATURE: \_

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SIGNATURE AND	ED OR PRIN	TED NAME O	F SIGNING OFFI	ER OR DIRECTOR

on this application is true and accurate, and my signature shall have the same legal effect as if made under oath.

2012

# Essex Corporation List of Officers & Directors

Name	Address	Title
Kathleen M. Graveline	200 Clarendon Street Boston, MA 02117	Chairman
Kevin E. Crowe	825 Third Avenue New York, NY 10022	Chief Executive Officer
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	President
William N. Wade	101 Bradford Road, Suite 200 Wexford, PA 15090	Executive Vice President - New Business Development
Gregory G. Zytkowicz	825 Third Avenue New York, NY 10022	Senior Vice President - Operations/ Assistant Secretary
Scott K. Davis	215 Gateway Road West Napa, CA 94558	Vice President
Thomas L. Wetmore	215 Gateway Road West Napa, CA 94558	Vice President / Chief Compliance Officer
Timothy M. Stoddart	825 Third Avenue New York, NY 10022	Vice President
Bruce C. McCulloch	215 Gateway Road West Napa, CA 94558	Vice President
George R. Daoust	825 Third Avenue New York, NY 10022	Vice President / Treasurer/Controller
Kathy L. Glass	215 Gateway Road West Napa, CA 94558	Vice President
Antoinette Ricci	200 Clarendon Street Boston, MA 02117	Secretary
Elizabeth A. Clark	200 Clarendon Street Boston, MA 02117	Assistant Secretary

#### Directors

Kevin E. Crowe	825 Third Avenue New York, NY 10022	Director	
John T. Farady	200 Clarendon Street Boston, MA 02117	Director	
Kathleen M. Graveline	200 Clarendon Street Boston, MA 02117	Director	
Peter Mawn	200 Clarendon Street Boston, MA 02117	Director	
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Director	