

SECOND NOTICE: CORPORATION WILL BE DISSOLVED ON OR AFTER SEPTEMBER 15, 1999.
AMOUNT DUE ON OR BEFORE 09/15/99: \$550 (IF DISSOLVED, MINIMUM AMOUNT DUE TO REINSTATE: \$750).

PROFIT
CORPORATION
ANNUAL REPORT
1999



FLORIDA DEPARTMENT OF STATE
Katherine Harris
Secretary of State
DIVISION OF CORPORATIONS

FILED
Jul 26, 1999 8:00 am
Secretary of State

07-26-1999 90004 048 ***550.00

DOCUMENT # P22111

1. Corporation Name

ESSEX FINANCIAL SERVICES, INC.



Principal Place of Business

825 THIRD AVENUE
37TH FLOOR
NEW YORK NY 10022
US

Mailing Address

825 THIRD AVENUE
37TH FLOOR
NEW YORK NY 10022
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

12/14/1988

2. Principal Place of Business

21

2a. Mailing Address

26

4. FEI Number

13-3283420

Applied For

Not Applicable

Suite, Apt. #, etc.

22

Suite, Apt. #, etc.

27

5. Certificate of Status Desired



\$8.75 Additional
Fee Required

City & State

23

City & State

28

6. Election Campaign Financing
Trust Fund Contribution



\$5.00 May Be
Added to Fees

Zip

24

Country

25

Zip

29

Country

30

8. This corporation owes the current year
Intangible Personal Property.

☐ Yes

☐ No

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

NATIONAL CORPORATE RESEARCH, LTD., INC.
1406 HAYS STREET, SUITE 2
TALLAHASSEE FL 32301

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE **CCEO** ☐ DELETE

NAME **CROWE, KEVIN E**
STREET ADDRESS **825 THIRD AVE 37TH FLR**
CITY-ST-ZIP **NEW YORK NY 10022**

1.1 TITLE ☐ Change ☐ Addition

TITLE **P** ☒ DELETE

NAME **CROWE, KEVIN E**
STREET ADDRESS **825 THIRD AVE 37TH FLR**
CITY-ST-ZIP **NEW YORK NY 10022**

2.1 TITLE ☐ Change ☐ Addition

TITLE **SVP** ☐ DELETE

NAME **ZYTKOWICZ, GREGORY G**
STREET ADDRESS **825 THIRD AVE., 37TH FL.**
CITY-ST-ZIP **NEW YORK NY 10022**

3.1 TITLE ☐ Change ☐ Addition

TITLE **TC** ☐ DELETE

NAME **LANTHIER, ELISA M**
STREET ADDRESS **825 THIRD AVENUE, 37TH FL**
CITY-ST-ZIP **NEW YORK NY 10022**

4.1 TITLE ☐ Change ☐ Addition

TITLE **EVPC** ☒ DELETE

NAME **ALBRIGHT, THOMAS E**
STREET ADDRESS **825 THIRD AVE, 37TH FL**
CITY-ST-ZIP **NEW YORK NY 10022**

5.1 TITLE ☐ Change ☐ Addition

TITLE **EVP** ☐ DELETE

NAME **WADE, WILLIAM N**
STREET ADDRESS **101 BRADFORD RD., STE. 200**
CITY-ST-ZIP **WEXFORD PA 15090**

6.1 TITLE ☐ Change ☐ Addition

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

SIGNATURE REQUIRED

7-13-99

(212)371-0303

CR2E034 (5/99)

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595092-90004-48

Essex Corporation
List of Officers & Directors

<i>Name</i>	<i>Address</i>	<i>Title</i>
Kathleen M. Graveline	200 Clarendon Street Boston, MA 02117	Chairman
Kevin E. Crowe	825 Third Avenue New York, NY 10022	Chief Executive Officer
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	President
William N. Wade	101 Bradford Road, Suite 200 Wexford, PA 15090	Executive Vice President - New Business Development
Gregory G. Zytowicz	825 Third Avenue New York, NY 10022	Senior Vice President - Operations/ Assistant Secretary
Tim Stoddart	825 Third Avenue New York, NY 10022	Vice President
Craig McCulloch	215 Gateway Road West Napa, CA 94558	Vice President
Jeffery Powell	215 Gateway Road West Napa, CA 94558	Vice President - Corporate Compliance Manager
Elisa Lanthier	825 Third Avenue New York, NY 10022	Treasurer/Controller
Melissa Romano	825 Third Avenue New York, NY 10022	Assistant Treasurer
Antoniette Ricci	200 Clarendon Street Boston, MA 02117	Secretary
Corine Weber	200 Clarendon Street Boston, MA 02117	Assistant Secretary

Directors

Kevin E. Crowe	825 Third Avenue New York, NY 10022	Director
John T. Farady	200 Clarendon Street Boston, MA 02117	Director
Kathleen M. Graveline	200 Clarendon Street Boston, MA 02117	Director
Peter Mawn	200 Clarendon Street Boston, MA 02117	Director
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Director
Eric A. Simonson	200 Clarendon Street Boston, MA 02117	Director

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Essex Corporation
List of Officers & Directors

Michael H. Studley	200 Clarendon Street Boston, MA 02117	Director
Michelle G. Van Leer	200 Clarendon Street Boston, MA 02117	Director
Robert F. Walters	200 Clarendon Street Boston, MA 02117	Director