

*SECOND NOTICE: CORPORATION WILL BE DISSOLVED ON OR AFTER SEPTEMBER 30, 1998.
AMOUNT DUE ON OR BEFORE 09/30/98: \$550 (IF DISSOLVED, MINIMUM AMOUNT DUE TO REINSTATE: \$750).

APPROVED
AND
FILED

10/2

0000617

PROFIT
CORPORATION
ANNUAL REPORT
1998



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # P22111

(9)

1. Corporation Name

ESSEX FINANCIAL SERVICES, INC.

98 JUL -6 AM 11:25

SECRETARY OF STATE
TALLAHASSEE, FLORIDA



Principal Place of Business

825 THIRD AVENUE
37TH FLOOR
NEW YORK NY 10022
US

Mailing Address

825 THIRD AVENUE
37TH FLOOR
NEW YORK NY 10022
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

12/14/1988

4. FEI Number
13-3283420

Applied For
Not Applicable

5. Certificate of Status Desired

☒

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation owes or has paid the current year Intangible
Personal Property Tax due June 30.

☐ Yes ☐ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

2a. Mailing Address

26 Suite, Apt. #, etc.

23 City & State

28 City & State

24 Zip 25 Country

29 Zip 30 Country

9. Name and Address of Current Registered Agent

UNITED CORPORATE SERVICES, INC.
801 NORTHEAST 167 STREET
SUITE 305
NORTH MIAMI BEACH FL 33162

10. Name and Address of New Registered Agent

81 Name National Corporate Research, Ltd, Inc.
82 Street Address (P.O. Box Number is Not Acceptable)
1406 Hays Street, Suite #2
83
84 City Tallahassee FL 85 Zip Code 32301

11. Pursuant to the provisions of sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and not applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

John Morrissey, V.P. 7/3/98

12. OFFICERS AND DIRECTORS

TITLE CD
NAME CROWE, KEVIN E.
STREET ADDRESS 825 THIRD AVE 37TH FLR
CITY-ST-ZIP NEW YORK NY 10022 ☐ DELETE

TITLE S
NAME ZYTKOWICZ, GREGORY G
STREET ADDRESS 825 THIRD AVE 37TH FLR
CITY-ST-ZIP NEW YORK NY 10022 ☐ DELETE

TITLE P
NAME CUNNINGHAM, GERALD, G
STREET ADDRESS 215 GATEWAY RD W
CITY-ST-ZIP NAPA CA 94558 ☒ DELETE

TITLE T
NAME LANTHIER, ELISA M
STREET ADDRESS 825 THIRD AVENUE, 37TH FL
CITY-ST-ZIP NEW YORK NY 10022 ☐ DELETE

TITLE VP
NAME ALBRIGHT, THOMAS E
STREET ADDRESS 825 THIRD AVE, 37TH FL
CITY-ST-ZIP NEW YORK NY 10022 ☐ DELETE

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ DELETE

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY-ST-ZIP
900002588479-3
-07/14/98--01059--020
*****8.75 *****8.75

2.1 TITLE
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP
☐ Change ☐ Addition

3.1 TITLE
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP
☐ Change ☐ Addition

4.1 TITLE
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP
900002588479-3
-07/14/98--01059--021
****550.00 ****550.00

5.1 TITLE
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP
☐ Change ☐ Addition

6.1 TITLE
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP
☐ Change ☐ Addition

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE Gregory Zytowicz Secretary 7/1/98 (212) 371-0303

CR2E034 (5/98)

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Question # 12 & 13**Essex Corporation
d/b/a Essex Financial Services, Inc.****Officer Listing**

<u>NAME</u>	<u>ADDRESS</u>	<u>TITLE</u>
Kevin E. Crowe	825 Third Avenue New York, NY 10022	Chairman, CEO, President
Thomas E. Albright	825 Third Avenue New York, NY 10022	Executive Vice President / Chief Operating Officer
William N. Wade	101 Bradford Road, Suite 200 Wexford, PA 15090	Executive Vice President - New Business Development
Christopher K. McLeod	707 Summer Street Stamford, CT 06901	Executive Vice President
Frederick S. Nicholas III	825 Third Avenue New York, NY 10022	Executive Vice President - Sales
Gregory G. Zytkowicz	825 Third Avenue New York, NY 10022	Senior Vice President - Operations / Secretary
Jeffery Powell	215 Gateway Road West Napa, CA 94558	Vice President - Corporate Compliance Manager / Assistant Secretary
Elisa Lanthier	825 Third Avenue New York, NY 10022	Treasurer / Controller

Director Listing

<u>NAME</u>	<u>ADDRESS</u>
Kevin E. Crowe	825 Third Avenue New York, NY 10022
Christopher K. McLeod	707 Summer Street Stamford, CT 06901
Walter A. Forbes	707 Summer Street Stamford, CT 06901