

2003 FOR PROFIT CORPORATION UNIFORM BUSINESS REPORT (UBR)

FILED
Feb 04, 2003 8:00 am
Secretary of State

02-04-2003 90114 048 ***150.00

DOCUMENT # P22019

1. Entity Name
MERRILL LYNCH & CO., INC.



Principal Place of Business
**4 WORLD FINANCIAL CENTER
NEW YORK NY 10080
US**

Mailing Address
**222 BROADWAY
17TH FLOOR
NEW YORK NY 10038
US**

22001852



2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number **13-2740599**

Applied For

Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐

\$8.75 Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

FILE NOW!!! FEE IS \$150.00

After May 1, 2003 Fee will be \$550.00

Make Check Payable to Florida Department of State

*See Attachment for
list of officers*

9. Election Campaign Financing
Trust Fund Contribution. ☐

\$5.00 May Be
Added to Fees

10. OFFICERS AND DIRECTORS

11. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CCD
KOMANSKY, DAVID H
4 WORLD FINANCIAL CENTER
NEW YORK NY 10080** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**COO
ONEAL, E. STANLEY
4 WORLD FINANCIAL CENTER
NEW YORK NY 10080** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**DSVP
CLARK, WORLEY H
4 WORLD FINANCIAL CENTER
NEW YORK NY 10080** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**EVP
PATRICK, THOMAS H
4 WORLD FINANCIAL CENTER
NEW YORK NY 10080** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**CS
WITTERSCHEIN, JUDITH A
222 BROADWAY 17 FL
NEW YORK NY 10038** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP
**ACS
LAMANIA, MICHAEL
222 BROADWAY 17 FL
NEW YORK NY 10038** ☐ Delete

TITLE
NAME
STREET ADDRESS
CITY-ST-ZIP ☐ Change ☐ Addition

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowerment.

SIGNATURE:

Michael Lamanian **Assistant Secretary** 1/30/03

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/02)

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Attachment
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Attachment "A"

Name	Title	Business Address
E. Stanley O'Neal	Chairman of the Board and Chief Executive Officer and Director	4 World Financial Center New York, NY 10080
Thomas H. Patrick	Director and Executive Vice President	4 World Financial Center New York, NY 10080
George A. Schieren ¹	Director, Senior Vice President and General Counsel	4 World Financial Center New York, NY 10080
Rosemary T. Berkery	Executive Vice President	4 World Financial Center New York, NY 10080
Barry S. Friedberg ²	Executive Vice President	4 World Financial Center New York, NY 10080
James P. Gorman	Executive Vice President	4 World Financial Center New York, NY 10080
Jerome P. Kenney	Executive Vice President	4 World Financial Center New York, NY 10080
John A. McKinley	Executive Vice President	4 World Financial Center New York, NY 10080
Arshad R. Zakaria	Executive Vice President	4 World Financial Center New York, NY 10080
Judith A. Witterschein	Secretary	222 Broadway New York, NY 10038
John J. Fosina	First Vice President and Chief Financial Officer	4 World Financial Center New York, NY 10080
Samuel R. Chapin	Senior Vice President	4 World Financial Center New York, NY 10080
Daniel C. Cochran	Senior Vice President	2 World Financial Center New York, NY 10281
Michael R. Cowan	Senior Vice President	2 World Financial Center New York, NY 10281
Paul W. Critchlow	Senior Vice President	4 World Financial Center New York, NY 10080
John W. Cummings	Senior Vice President	4 World Financial Center New York, NY 10080
D. Kevin Dolan	Senior Vice President	4 World Financial Center New York, NY 10080
Ahmass L. Fakahany	Senior Vice President	4 World Financial Center New York, NY 10080
H. McIntyre Gardner	Senior Vice President	800 Scudders Mill Road Plainsboro, NJ 08536
Donald Gershuny	Senior Vice President	2 King Edward Street London EC1A 1HQ United Kingdom
Mark B. Goldfus	Senior Vice President	4 World Financial Center New York, NY 10080
Jeffrey L. Humber	Senior Vice President	4 World Financial Center New York, NY 10080
Alfred F. Hurley, Jr.	Senior Vice President	4 World Financial Center New York, NY 10080
Claudia J. Kahn	Senior Vice President	4 World Financial Center New York, NY 10080
Terry Kassel	Senior Vice President	4 World Financial Center New York, NY 10080
Barry J. Mandel	Senior Vice President	222 Broadway New York, NY 10038
G. Kelly Martin	Senior Vice President	2 World Financial Center

¹ will no longer be an employee of Merrill Lynch, Pierce, Fenner Smith Incorporated as of January 30, 2003² will no longer be an employee of Merrill Lynch, Pierce, Fenner Smith Incorporated as of February 1, 2003

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		New York, NY 10281
Robert J. McCann	Senior Vice President	4 World Financial Center New York, NY 10080
John T. McGowan	Senior Vice President	4 World Financial Center New York, NY 10080
Carlos M. Morales	Senior Vice President	4 World Financial Center New York, NY 10080
Robert E. Mulholland	Senior Vice President	800 Scudders Mill Road Plainsboro, NJ 08536
John C. Qua	Senior Vice President	4 World Financial Center New York, NY 10080
Daniel C. Sontag	Senior Vice President	800 Scudders Mill Road Plainsboro, NJ 08536
John C. Stomber	Senior Vice President and Treasurer	4 World Financial Center New York, NY 10080
Mark D. Alexander	First Vice President	101 Hudson Street Jersey City, NJ 07302
Steven A. Baronoff	First Vice President	4 World Financial Center New York, NY 10080
Andrew Berry	First Vice President	1 Temasek Avenue Singapore 039192 Singapore
I. Scott Bieler	First Vice President	222 Broadway New York, NY 10038
Gary M. Carlin	First Vice President	4 World Financial Center New York, NY 10080
Dominic A. Carone	First Vice President	95 Greene Street Jersey City, NJ 07302-3615
Allan Dinkoff	First Vice President	222 Broadway New York, NY 10038
Andrea L. Dulberg	First Vice President	4 World Financial Center New York, NY 10080
Jonathan N. Eisenberg	First Vice President	4 World Financial Center New York, NY 10080
Anne Flannery	First Vice President	222 Broadway New York, NY 10038
Michael Gaffney	First Vice President	4 World Financial Center New York, NY 10080
Jeffrey A. Gelfand	First Vice President	800 Scudders Mill Road Plainsboro, NJ 08536
Richard K. Gordon	First Vice President	1221 McKinney Street Houston, TX 77010
Daniel N. Kane	First Vice President	4 World Financial Center New York, NY 10080
Thomas J. Kerestes	First Vice President	2 World Financial Center New York, NY 10281
John J. McDermott	First Vice President	2 World Financial Center New York, NY 10281
Robert J. Mooney	First Vice President	800 Scudders Mill Road Plainsboro, NJ 08536
Allan I. Morris	First Vice President	2 World Financial Center New York, NY 10281
Kevin Moynihan	First Vice President	222 Broadway New York, NY 10038
Ronald S. Oppenheimer	First Vice President	4 World Financial Center New York, NY 10080
Richard B. Saltzman	First Vice President	4 World Financial Center New York, NY 10080

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Barry G. Skolnick	First Vice President	1300 Merrill Lynch Drive Pennington, NJ 08534
Laurence A. Tosi	First Vice President	4 World Financial Center New York, NY 10080
James R. Vallone	First Vice President	800 Scudders Mill Road Plainsboro, NJ 08536
Byron Velehr	First Vice President	1300 Merrill Lynch Drive Pennington, NJ 08534
William Wong	First Vice President	4 World Financial Center New York, NY 10080
Catherine Bolz	Vice President	2 World Financial Center New York, NY 10281
John Dye	Vice President	440 South LaSalle Street Chicago, IL 60605
Wendall A. Kapustiak	Vice President	One South Wacker Drive Chicago, IL 60606
Robert A. Manfredo	Vice President	222 Broadway New York, NY 10038
Daniel R. Mayo	Vice President	800 Scudders Mill Road Plainsboro, NJ 08536
Avadhesh K. Nigam	Vice President	800 Scudders Mill Road Plainsboro, NJ 08536
David M. Oller	Vice President	101 Hudson Street Jersey City, NJ 07302
Leonard Pugliese	Vice President	2 World Financial Center New York, NY 10281
Daniel R. Roccato	Vice President	7 Roszel Road Princeton, NJ 08540
George A. Ruth	Vice President	1800 Merrill Lynch Drive Pennington, NJ 08534
John P. Smith	Vice President	1700 Merrill Lynch Drive Pennington, NJ 08534
Gregory R. Krolkowski	Assistant Vice President	350 South Grand Avenue Los Angeles, CA 90071
Edward A. Mallaney, Jr.	Assistant Vice President	100 Campus Drive Florham Park, NJ 07932
Blair M. Duncan	Assistant Secretary	222 Broadway New York, NY 10038
Lawrence M. Egan, Jr.	Assistant Secretary	222 Broadway New York, NY 10038
Bina Kalola	Assistant Secretary	222 Broadway New York, NY 10038
Michael A. LaMaina	Assistant Secretary	222 Broadway New York, NY 10038
Patrick J. Moran	Assistant Secretary	222 Broadway New York, NY 10038
Margaret E. Nelson	Assistant Secretary	222 Broadway
Jonathan N. Santelli	Assistant Secretary	222 Broadway New York, NY 10038

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