

2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P22019

1. Entity Name

MERRILL LYNCH & CO., INC.

FILED
May 04, 2000 8:00 am
Secretary of State

05-04-2000 90103 038 ***150.00

Principal Place of Business Mailing Address
 WORLD FINANCIAL CTR., NO. TOWER 222 Broadway
 250 VESEY STREET 12TH FLOOR 17th floor
 NEW YORK NY 10281 NEW YORK NY 10005-0001
 US US 10038

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

4. FEI Number 13-2740599

Applied For
 Not Applicable

Zip

Country

Zip

Country

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible Tax filing requirement and elects to do so. (See criteria on back) ☐

FILE NOW!!! FEE IS \$150.00
After MAY 1, 2000 Fee will be \$550.00
Make Check Payable to Department of State

10. Election Campaign Financing Trust Fund Contribution. ☐ \$5.00 May Be Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE ~~POD~~ ☒ Delete
 NAME ALLISON, HERBERT M JR
 STREET ADDRESS 250 VESEY STREET
 CITY-ST-ZIP NEW YORK NY 10281-1332

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP See Exhibit A

TITLE ~~VCD~~ ☐ Delete
 NAME HAMMERMAN, STEPHEN L
 STREET ADDRESS 250 VESEY STREET
 CITY-ST-ZIP NEW YORK NY 10281-1332

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ~~DSVP~~ ☒ Delete
 NAME SCHIEREN, GEORGE A
 STREET ADDRESS 222 BROADWAY
 CITY-ST-ZIP NEW YORK NY 10080

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ~~EVP~~ ☐ Delete
 NAME PATRICK, THOMAS H
 STREET ADDRESS 250 VESEY STREET
 CITY-ST-ZIP NEW YORK NY 10281-1332

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ~~EVP~~ ☐ Delete
 NAME FRIEDBERG, BARRY S
 STREET ADDRESS 250 VESEY STREET
 CITY-ST-ZIP NEW YORK NY 10281-1332

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE ~~EVP~~ ☐ Delete
 NAME KENNEY, JEROME P
 STREET ADDRESS 250 VESEY STREET
 CITY-ST-ZIP NEW YORK NY 10281-1332

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Andrea L. Dulberg, Secretary 4/25/00 (212) 670-0420

Date

Daytime Phone #

CR2E034 (9/99)

MERRILL LYNCH & CO., INC.
OFFICERS AND DIRECTORS
(As of: APRIL 24, 2000)

CONFIDENTIAL

Attachment
00081443
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Directors and Officers

Business Address

Mr. David H. Komansky
Chairman of the Board
Chief Executive Officer
Director

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1332

Mr. Stephen L. Hammerman
Vice Chairman of the Board
General Counsel
Director

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1332

Mr. Worley "H". Clark
Director

C/o Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1332

Mrs. Jill K. Conway
Director

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1332

Mr. George B. Harvey
Director

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1332

David K. Newbigging
Director

Merrill Lynch
Friends' Provident Life Office
15 Old Bailey
London EC4M 7EF

Mr. William R. Hoover
Director

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1332

**Merrill Lynch & Co., Inc.
Officers and Directors
(As of: APRIL 24, 2000)**

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Officers and Directors

Mr. Robert P. Luciano
Director

Mrs. Aulana L. Peters
Director

Mr. John J. Phelan, Jr.
Director

E. Stanley O'Neal
Executive Vice President

Mr. Barry S. Friedberg
Executive Vice President

Thomas W. Davis
Executive Vice President

Mr. Edward L. Goldberg
Executive Vice President

Business Address

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World Financial Center
250 Vesey Street
New York, NY 10281-1332

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**Merrill Lynch & Co., Inc.
Officers and Directors
(As of: APRIL 24, 2000)**

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Officers and Directors

James P. Gorman
Executive Vice President

Mr. Jerome P. Kenney
Executive Vice President

Mr. Thomas H. Patrick
Executive Vice President
Chief Financial Officer

Jeffrey M. Peek
Executive Vice President

Mr. Winthrop H. Smith, Jr.
Executive Vice President

Mr. John L. Steffens
Vice Chairman of the Board

Michael R. Cowan
Senior Vice President

Carol Galley
Senior Vice President

Business Address

North Tower
World Financial Center
250 Vesey Street
New York, NY 10281

North Tower
World Financial Center
250 Vesey Street
New York, NY 10281-1332

Merrill Lynch
5500 Sears Tower
Suite 5500
Chicago, IL 60606

North Tower
World Financial Center
250 Vesey St
New York, NY 10281-1332

North Tower
World Financial Center
250 Vesey Street
New York, NY 10281-1334

North Tower
World Financial Center
250 Vesey Street
New York, NY 10281-1332

Merrill Lynch
World Financial Center
225 Liberty Street
New York, NY 10080

Merrill Lynch
33 King Williams Street
London EC4R 9AS
England

**Merrill Lynch & Co., Inc.
Officers and Directors
(As of: APRIL 24, 2000)**

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Officers and Directors

John A. McKinley, Jr.
Executive Vice President

Richard A. Dunn
Senior Vice President

Ahmass L. Fakahany
Senior VP and Controller

John C. Stomber
Senior VP and Treasurer

Mr. Paul W. Critchlow
Senior Vice President

Mary E. Taylor
Senior Vice President

Stephen A. Zimmerman
Senior Vice President

Stanley Schaefer
Vice President

Business Address

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1332

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1308

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1331

Merrill Lynch
World Financial Center
225 Liberty Street
New York, NY 10080

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1331

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1331

Merrill Lynch
33 King Williams Street
London EC4R 9AS
England

Merrill Lynch
World Financial Center
225 Liberty Street
New York, NY 10080

**Merrill Lynch & Co.Inc.
Officers and Directors
(As of: APRIL 24, 2000)**

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Officers and Directors

Andrew M. Sieg
Vice President

Bruce E. Thompson, Jr.
Vice President

Andrea L. Dulberg
Secretary

Mr. Darryl W. Colletti
Assistant Secretary

Lawrence M. Egan, Jr.
Assistant Secretary

Andrea Lowenthal
Assistant Secretary

Margaret E. Nelson
Assistant Secretary

Andrew J. Quigley
Assistant Secretary

Patrick Romain
Assistant Secretary

Business Address

Merrill Lynch
World Financial Center
250 Vesey Street
New York, NY 10281-1332

Merrill Lynch
1455 Pennsylvania Ave.,
N.W. Suite 950
Washington, DC 20004

Merrill Lynch
222 Broadway – 17th Floor
New York, N.Y. 10038
Merrill Lynch
100 Church Street
New York, NY 10080-6512

Merrill Lynch
222 Broadway – 17th Floor
New York, NY 10038

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222 Broadway – 17th Floor
New York, NY 10038

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222 Broadway – 17th Floor
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New York, NY 10038

Merrill Lynch
222 Broadway – 17th Floor
New York, NY 10038