

2002 UNIFORM BUSINESS REPORT (UBR)

FILED
Apr 29, 2002 8:00 am
Secretary of State

04-29-2002 90004 005 ***150.00

DOCUMENT # P21995

1. Entity Name

USAA INVESTMENT MANAGEMENT COMPANY

Principal Place of Business

**10750 MCDERMOTT FWY
 SAN ANTONIO TX 78288**

Mailing Address

**10750 MCDERMOTT FREEWAY
 SAN ANTONIO TX 78288-0227
 US**

2. Principal Place of Business

9800 Fredericksburg Road

Suite, Apt. #, etc.

3. Mailing Address

9800 Fredericksburg Road

Suite, Apt. #, etc.

City & State

San Antonio, Texas

City & State

San Antonio, Texas

Zip

Country

78288-0227

Zip

Country

78288-0227

4. FEI Number

74-1664189

Applied For

Not Applicable

5. Certificate of Status Desired ☐

\$8.75 Additional
 Fee Required

6. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
 1200 S. PINE ISLAND ROAD
 PLANTATION FL 33324**

7. Name and Address of New Registered Agent

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
 Tax filing requirement and elects to do so.
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00
 After May 1, 2002 Fee will be \$550.00
 Make Check Payable to Department of State**

10. Election Campaign Financing
 Trust Fund Contribution. ☐

\$5.00 May Be
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **VD** ☐ Delete
 NAME **WILLMANN, KENNETH E**
 STREET ADDRESS **9800 FREDERICKSBURG ROAD**
 CITY-ST-ZIP **SAN ANTONIO TX 78288-0227**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **PC** ☐ Delete
 NAME **CLAUS, CHRISTOPHER W**
 STREET ADDRESS **9800 FREDERICKSBURG ROAD**
 CITY-ST-ZIP **SAN ANTONIO TX 78288-0227**

TITLE **P/D/C** ☒ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VS** ☐ Delete
 NAME **HOWARD, MARK S**
 STREET ADDRESS **9800 FREDERICKSBURG ROAD**
 CITY-ST-ZIP **SAN ANTONIO TX 78288-0227**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VD** ☐ Delete
 NAME **PEEBLES, DAVID G**
 STREET ADDRESS **9800 FREDERICKSBURG ROAD**
 CITY-ST-ZIP **SAN ANTONIO TX 78288-0227**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **C** ☐ Delete
 NAME **DAVIS, ROBERT G**
 STREET ADDRESS **9800 FREDERICKSBURG ROAD**
 CITY-ST-ZIP **SAN ANTONIO TX 78288-0227**

TITLE ☐ Change ☐ Addition
 NAME
 STREET ADDRESS
 CITY-ST-ZIP

TITLE **VT** ☒ Delete
 NAME **KIRK, SHERRON**
 STREET ADDRESS **9800 FREDERICKSBURG ROAD**
 CITY-ST-ZIP **SAN ANTONIO TX 78288-0227**

TITLE **V/T** ☐ Change ☒ Addition
 NAME **HOLMES, DAVID M**
 STREET ADDRESS **9800 FREDERICKSBURG ROAD**
 CITY-ST-ZIP **SAN ANTONIO TX 78288-0227**

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, without other like empowered.

SIGNATURE:

SIGNATURE REQUIRED

MARK S. HOWARD

4/11/02

(210) 498-0226

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/01)

Attachment
DOC# P21995

USAA INVESTMENT MANAGEMENT COMPANY
NAMES and ADDRESSES
OF OFFICERS AND DIRECTORS
AS OF 1/27/02

<u>TITLE</u>	<u>NAME</u>
V/S	Michael D. Wagner
V	Mark S. Rapp
V	Karl Borgerding
V	Patricia P. Cavazos
V	Clifford A. Gladson
V	Terri L. Luensmann
V	Pamela Bledsoe Noble
V	Margaret Weinblatt
V	Stuart H. Wester
V	Patrick O'Hare
V	Albert C. Sebastian
V	John C. Spear
V	Stephan J. Klaffke
V	Michael L. Feser
V	Donna J. Baggerly

The address for all Officers and Directors listed is:
USAA Investment Management Company
-USAA-Building
9800 Fredericksburg Road
San Antonio, TX 78288-0227