

# 2007 FOR PROFIT CORPORATION ANNUAL REPORT

DOCUMENT# P20874

Entity Name: J.P. MORGAN SECURITIES INC.

FILED  
May 17, 2007  
Secretary of State

## Current Principal Place of Business:

270 PARK AVE  
CORP. SEC'Y OFFICE, 35TH FLOOR  
NEW YORK, NY 10017 US

## Current Mailing Address:

270 PARK AVE  
CORP. SEC'Y OFFICE, 35TH FLOOR  
NEW YORK, NY 10017 US

## New Principal Place of Business:

270 PARK AVE  
CORP. SEC'Y OFFICE, 39TH FLOOR  
NEW YORK, NY 10017 US

## New Mailing Address:

4 CHASE METROTECH CENTER  
CORP. SEC'Y OFFICE, 22ND FLOOR  
BROOKLYN, NY 11245 US

FEI Number: 13-3379014

FEI Number Applied For ( )

FEI Number Not Applicable ( )

Certificate of Status Desired ( )

## Name and Address of Current Registered Agent:

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION, FL 33324 US

## Name and Address of New Registered Agent:

The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE:

Electronic Signature of Registered Agent

Date

Election Campaign Financing Trust Fund Contribution ( ).

## OFFICERS AND DIRECTORS:

Title: PCEO ( ) Delete  
Name: HERNANDEZ, CARLOS M  
Address: 277 PARK AVENUE  
City-St-Zip: NEW YORK, NY 10172 US

Title: ASMD ( ) Delete  
Name: OLIVA, JOHN  
Address: 270 PARK AVENUE  
City-St-Zip: NEW YORK, NY

Title: VC ( ) Delete  
Name: EDSPARR, PATRIK L  
Address: 125 LONDON WALL  
City-St-Zip: LONDON EC24 5AJ, UK, XX

Title: T ( ) Delete  
Name: FITZGERALD, LISA J  
Address: 270 PARK AVENUE  
City-St-Zip: NEW YORK, NY 10017 US

Title: S ( ) Delete  
Name: BERRY, JAMES C  
Address: 270 PARK AVE.  
City-St-Zip: NEW YORK, NY 10017

Title: CFO (X) Delete  
Name: MOHAN, ADITYA  
Address: 575 WASHINGTON BLVD.  
City-St-Zip: JERSEY CITY, NJ 07510

## ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS:

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: MDCC (X) Change ( ) Addition  
Name: JOHNSON, GREGORY J  
Address: 270 PARK AVENUE, 38TH  
City-St-Zip: NEW YORK, NY 10017 US

Title: VC (X) Change ( ) Addition  
Name: MCCREE, DONALD H  
Address: 270 PARK AVENUE, 5TH FLOOR  
City-St-Zip: NEW YORK, NY 10017 US

Title: CFO (X) Change ( ) Addition  
Name: ZACK, KATHLEEN  
Address: 575 WASHINGTON BLVD., 22ND FLOOR  
City-St-Zip: JERSEY CITY, NJ 07310 US

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

Title: ( ) Change ( ) Addition  
Name:  
Address:  
City-St-Zip:

I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Chapter 119, Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my electronic signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears above, or on an attachment with an address, with all other like empowered.

SIGNATURE: JAMES C.P. BERRY

SECY

05/17/2007

Electronic Signature of Signing Officer or Director

Date