

# 2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P20874

1. Entity Name

CHASE SECURITIES INC.

**FILED**  
**Apr 27, 2000 8:00 am**  
**Secretary of State**

04-27-2000 90090 035 \*\*\*158.75

Principal Place of Business

Mailing Address

270 PARK AVE  
COMPLIANCE DEPT. 10TH FL  
NEW YORK NY 10017  
US

270 PARK AVENUE  
COMPLIANCE DEPT. 10TH FLOOR  
NEW YORK NY 10017-2014  
US

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

41st Floor

Suite, Apt. #, etc.

41st Floor

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

13-3379014

Applied For

Not Applicable

5. Certificate of Status Desired

☒

\$8.75 Additional  
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

C T CORPORATION SYSTEM  
1200 SOUTH PINE ISLAND ROAD  
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
Tax filing requirement and elects to do so.  
(See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2000 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing  
Trust Fund Contribution. ☐

\$5.00 May Be  
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	PBOD FOSS, LAWRENCE W 270 PARK AVENUE NEW YORK NY 10017	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	ASMD OLIVA, JOHN 270 PARK AVENUE NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	CFOM NEUMANN, RUSSELL H 270 PARK AVENUE NEW YORK NY 10017	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	DBOD NEUMANN, RUSSELL H 270 PARK AVENUE NEW YORK NY 10017	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	SMD MCGINNIS, JAMES F 270 PARK AVE, 7TH FLR NEW YORK NY	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	BOD MCGINNIS, JAMES F 270 PARK AVE, 7TH FLR NEW YORK NY	<input type="checkbox"/> Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	President/Board of Director Paul W. Brandow 270 Park Avenue New York, NY 10017	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP		<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	C.F.O./Board of Director James D. Hutter 270 Park Avenue New York, NY 10017	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Treasurer William J. Santangelo 270 Park Avenue New York, NY 10017	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Managing Dir./Board of Dir. James F. McGinnis 270 Park Avenue New York, NY 10017	<input checked="" type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	Sr. VP/Board of Director Nicholas J. Mannarino 270 Park Avenue New York, NY 10017	<input type="checkbox"/> Change <input checked="" type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*[Signature]*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

James F. McGinnis,

Managing Director

4/20/00

(212)270-8934

Date

Daytime Phone #

CR2E034 (9/99)

420874

D0040234

## **CHASE SECURITIES INC.**

### **OFFICERS:**

Paul W. Brandow	President and Managing Director
James B. Lee, Jr.	Managing Director
Nicholas J. Mannarino	Chief Operations Officer and Senior Vice President
James F. McGinnis	Compliance Director, Managing Director and SROP
Anthony J. Horan	Secretary and Senior Vice President
James D. Hutter	Chief Financial Officer
William J. Santangelo	Treasurer and Managing Director
John L. Oliva	Deputy Compliance Director and Managing Director
James M. Callinan	Vice President and CROP

### **BOARD OF DIRECTORS:**

Paul W. Brandow	President and Managing Director
James D. Hutter	Chief Financial Officer
Jorge V. Jasson	Managing Director
James B. Lee, Jr.	Managing Director
Nicholas J. Mannarino	Chief Operations Officer and Vice President
James F. McGinnis	Compliance Director, Managing Director and SROP
John Steinhardt	Managing Director