**FILED** 

## **2001 UNIFORM BUSINESS REPORT (UBR)**

## May 18, 2001 8:00 am Secretary of State **DOCUMENT # P20779** 05-18-2001 91221 036 \*\*\*150.00 C.R.I. SECURITIES, INC. Principal Place of Business Mailing Address 400 N. ROBERT STREET 400 N. ROBERT STREET 551416 ST. PAUL MN 55101 ST. PAUL MN 55101 2. Principal Place of Business 3. Mailing Address Suite, Apt. #, etc. Suite, Apt. #, etc. DO NOT WRITE IN THIS SPACE City & State City & State 4. FEI Number Applied For 41-1612506 Not Applicable Zip . . Country Country \$8.75 Additional 5. Certificate of Status Desired Fee Required 6. Name and Address of Current Registered Agent 7. Name and Address of New Registered Agent C T CORPORATION SYSTEM Street Address (P.O. Box Number is Not Acceptable) 1200 S. PINE ISLAND RD. PLANTATION FL 33324 City Zip Code 8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. SIGNATURE Signature, typed or printed name of registered agent and title if applicable. (NOTE: Registered Agent signature required when reinstating) DATE 9. This corporation is eligible to satisfy its Intangible FILE NOW!!! FEE IS \$150.00 Tax filing requirement and elects to do so. 10. Election Campaign Financing After MAY 1, 2001 Fee will be \$550.00 \$5.00 May Be Trust Fund Contribution. (See critería on back) Added to Fees Make Check Payable to Department of State 11, OFFICERS AND DIRECTORS 12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11 TITLE ☐ Delete TITLE ☐ Change Addition CR2E034 (10/00) RICHARDS, PHILLIP C. NAME NAME STREET ADDRESS 8301 N GOLF DRIVE STREET ADDRESS SEE ATTACHED LISTING CITY-ST-ZIP PARADISE VALLEY AZ CITY-ST-ZIP TITLE ☐ Delete TITLE ☐ Change Addition VASOS, DAVID NAME NAME STREET ADDRESS 2500 OVERLOOK DRIVE STREET ADDRESS CITY-ST. ZIP **BLOOMINGTON MN** CITY-ST-ZIP VAS TITLE ☐ Delete TITLE ☐ Change ☐ Addition NAME CONNOLLY, GEORGE I. STREET ADDRESS 1193 ROCKSTONE LANE STREET ADDRESS CITY-ST-ZIP **NEW BRIGHTON MN** CITY-ST-ZIP TITLE ☐ Delete TITLE ☐ Change ☐ Addition NAME ELLIOTT, ANN C. NAME STREET ADDRESS 625 W. WILKINS STREET STREET ADDRESS CITY-ST-ZIP STILLWATER MN CITY-ST-ZIP TITLE ☐ Delete TITLE ☐ Change Addition NAME HUNSTAD, ROBERT E NAME STREET ADDRESS 1650 BLACKHAWK COVE STREET ADDRESS CITY-ST-7IP SAINT PAUL MN 55122 CITY-ST-ZIP MAY10.2001 PM12:20:14 TITLE □ Detete TITLE ☐ Addition NAME KELLETT, MICHAEL T. NAME STREET ADDRESS 1479 CLARMAR AVE. STREET ADDRESS CITY-ST-ZIP ROSEVILLE MN CITY-ST-ZIP 13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Thomas L. Clark

3/30/2001

Date

651-665-4306

Alfachment

George I. Connolly 02
President and Chief
Executive Officer Loyall E. Wilson Vice President and

Chief Compliance Officer 024-50-6251

481-70-3321

David Vasos

Vice President

482-68-4173

474-82-1463

473-66-3760

Eric J. Bentley
Assistant Secretary

472-54-1754

Thomas L. Clark

Assistant Secretary

174-30-8631

375618

8301 N. Golf Drive Paradise Valley, AZ 85253

**Directors** 

Phillip C. Richards

Robert E. Hunstad

Director

470-74-9153 474-48-1377

1907215

Shorewood, MN 55331 5380 Howards Point Road

Scott H. Richards

469-42-9796

1480417

1650 Blackhawk Cove Eagan, MN 55122

بر

1300726

1479 Clarmar Ave.

Roseville, MN 55113

Michael T. Kellett

Name and Title

380-58-7264

392-70-8864

1493467

Margaret P. Milosevich

Secretary and Treasurer

Ann C. Elliott Vice President

Carol M. Arel

Assistant Treasurer

3274516

4061997

W10546 880th Avenue River Falls, WI 54022

CRI SECURITIES, INC. Officers

1457599

1368670

2500 Overlook Drive

1060243

625 W. Wilkins Street Bloomington, MN 55431

Stillwater, MN 55082

1304730

7750 Greenwood Dr. Moundsview, MN 55112

1655 Eleanor Avenue St. Paul, MN 55116

400 Robert Street North St. Paul, MN 55101

St. Paul, MN 55101 400 Robert Street North Minneapolis, MN 55414 2701 University Avenue SE

47905

Residence Address

**Business Address** 1/11/2001

Social Security #

CRD#

9860 Indigo Trail Grant, MN 55115

7065 Unity Avenue North Brooklyn Center, MN 55429

2601 Wexford Hghts Lane New Brighton, MN 55112

St. Paul, MN 55101

400 Robert Street North
St. Paul, MN 55101

400 Robert Street North
St. Paul, MN 55101

2701 University Avenue
Minneapolis, MN 55414

400 Robert Street North

400 Robert Street North St. Paul, MN 55101

400 Robert Street North St. Paul, MN 55101

Minneapolis, MN 55414

2701 University Avenue SE

St. Paul, MN 55101 400 Robert Street North

2701 University Avenue SE Minneapolis, MN 55414