

# 2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P20779

1. Entity Name

C.R.I. SECURITIES, INC.

**FILED**  
**Mar 27, 2000 8:00 am**  
**Secretary of State**

03-27-2000 90074 012 \*\*\*150.00

Principal Place of Business

Mailing Address

400 N. ROBERT STREET  
ST. PAUL MN 55101

400 N. ROBERT STREET  
ST. PAUL MN 55101-2015

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

41-1612506

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

C T CORPORATION SYSTEM  
1200 S. PINE ISLAND RD.  
PLANTATION FL 33324

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its intangible  
Tax filing requirement and elects to do so.  
(See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2000 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing  
Trust Fund Contribution. ☐

**\$5.00** May Be  
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE PD ☐ Delete  
NAME RICHARDS, PHILLIP C.  
STREET ADDRESS 8301 N GOLF DRIVE  
CITY-ST-ZIP PARADISE VALLEY AZ

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS See Attached Listing  
CITY-ST-ZIP

TITLE S ☐ Delete  
NAME VASOS, DAVID  
STREET ADDRESS 2500 OVERLOOK DRIVE  
CITY-ST-ZIP BLOOMINGTON MN

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE VAS ☐ Delete  
NAME CONNOLLY, GEORGE I.  
STREET ADDRESS 1193 ROCKSTONE LANE  
CITY-ST-ZIP NEW BRIGHTON MN

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE T ☐ Delete  
NAME ELLIOTT, ANN C.  
STREET ADDRESS 625 W. WILKINS STREET  
CITY-ST-ZIP STILLWATER MN

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE D ☒ Delete  
NAME STEVENS, NICHOLAS R.  
STREET ADDRESS 11020 SCHOOLCRAFT RD  
CITY-ST-ZIP BURNSVILLE MN

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

TITLE D ☐ Delete  
NAME KELLETT, MICHAEL T.  
STREET ADDRESS 1479 CLARMAR AVE.  
CITY-ST-ZIP ROSEVILLE MN

TITLE ☐ Change ☐ Addition  
NAME  
STREET ADDRESS  
CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Thomas D. Clark* **THOMAS D. Clark**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

3-20-2000 888-237-1838

Date

Daytime Phone #

CR2E034 (9/99)

# CRI SECURITIES, INC.

## Officers

02/01/2000

<u>Name and Title</u>	<u>Social Security #</u>	<u>CRD#</u>	<u>Residence Address</u>	<u>Business Address</u>
George I. Connolly President and Chief Executive Officer	024-50-6251	1457599	9860 Indigo Trail Grant, MN 55115	400 Robert Street North St. Paul, MN 55101
Loyall E. Wilson Vice President and Chief Compliance Officer	380-58-7264	1368670	191 83rd Ave. NE Apt 309 Fridley, MN 55432	400 Robert Street North St. Paul, MN 55101
Margaret P. Milosevich Secretary and Treasurer	392-70-8864	1493467	2601 Wexford Hights Lane New Brighton, MN 55112	400 Robert Street North St. Paul, MN 55101
David Vasos Vice President	481-70-3321	1060243	2500 Overlook Drive Bloomington, MN 55431	2701 University Avenue SE Minneapolis, MN 55414
Ann C. Elliott Vice President	482-68-4173	1304730	625 W. Wilkins Street Stillwater, MN 55082	2701 University Avenue SE Minneapolis, MN 55414
Carol M. Arel Treasurer	474-82-1463	3274516	7750 Greenwood Dr. Moundsview, MN 55112	400 Robert Street North St. Paul, MN 55101
Eric J. Bentley Treasurer	473-66-3760	4061997	1655 Eleanor Avenue St. Paul, MN 55116	400 Robert Street North St. Paul, MN 55101
Thomas L. Clark Assistant Secretary and Assistant Treasurer	472-54-1754	47905	W10546 880th Avenue River Falls, WI 54022	400 Robert Street North St. Paul, MN 55101

## Directors

Phillip C. Richards Director	174-30-8631	375618	8301 N. Golf Drive Paradise Valley, AZ 85253	2701 University Avenue SE Minneapolis, MN 55414
Gregg N. Stevens Director	470-88-8785	1280291	16688 Interlachen Blvd. Lakeville, MN 55044	2701 University Avenue SE Minneapolis, MN 55414
Robert E. Hunstad	469-42-9796	1480417	1650 Blackhawk Cove Eagan, MN 55122	400 Robert Street North St. Paul, MN 55101
Michael T. Kelleit Director	474-48-1377	1300726	1479 Clamar Ave. Roseville, MN 55113	400 Robert Street North St. Paul, MN 55101

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