

P190000 SS082

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

(Business Entity Name)

(Document Number)

Certified Copies _____ Certificates of Status _____

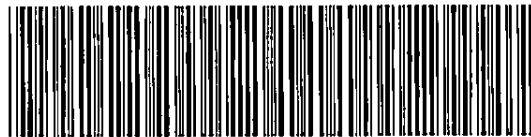
Special Instructions to Filing Officer:

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Office Use Only

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JUL 15 2019



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19 JUL -9 AM 11:42

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CLERK OF STATE
TALLAHASSEE, FLORIDA

CT CORP

3458 Lakeshore Drive, Tallahassee, FL 32312
850-656-4724

Date: 7/9/2019
Acc#I20160000072

en: c DW

Name:	Global Financial Private Capital, Inc.
Document #:	
Order #:	11950015

Certified Copy of Arts & Amend:	<input type="checkbox"/>		
Plain Copy:	<input type="checkbox"/>		
Certificate of Good Standing:	<input type="checkbox"/>		
	<input type="checkbox"/>		
Apostille/Notarial Certification:	<input type="checkbox"/>	Country of Destination:	
		Number of Certs:	

Filing: <input checked="" type="checkbox"/>	Certified: <input checked="" type="checkbox"/>
	Plain: <input type="checkbox"/>
	COGS: <input checked="" type="checkbox"/>

Availability _____
Document _____
Examiner _____
Updater _____
Verifier _____
W.P. Verifier _____
Ref# _____

Amount: \$ 127.50

Thank you!

COVER LETTER

TO: Charter Section
Division of Corporations

SUBJECT: Global Financial Private Capital, Inc.
Name of Resulting Florida Profit Corporation

The enclosed Certificate of Conversion, Articles of Incorporation, and fees are submitted to convert an "Other Business Entity" into a "Florida Profit Corporation" in accordance with s. 607.1115, F.S.

Please return all correspondence concerning this matter to:

Naomi J. McKean

Contact Person

Global Financial Private Capital, Inc.

Firm/Company

1655 Grant Street, 10th Floor

Address

Concord, CA 94520

City, State and Zip Code

legalcompliance@assetmark.com

E-mail address: (to be used for future annual report notification)

For further information concerning this matter, please call:

Michele Morgen at (925) 305-2481

Name of Contact Person

Area Code and Daytime Telephone Number

Enclosed is a check for the following amount:

☐ \$105.00 Filing Fees ☐ \$113.75 Filing Fees ☐ \$113.75 Filing Fees ☒ \$122.50 Filing Fees,
and Certificate of and Certified Copy Certified Copy, and
Status Certificate of Status

STREET ADDRESS:

New Filings Section
Division of Corporations
Clifton Building
2661 Executive Center Circle
Tallahassee, FL 32301

MAILING ADDRESS:

New Filings Section
Division of Corporations
P. O. Box 6327
Tallahassee, FL 32314

Certificate of Conversion
For
"Other Business Entity"
Into
Florida Profit Corporation

This Certificate of Conversion **and attached Articles of Incorporation** are submitted to convert the following **"Other Business Entity"** into a **Florida Profit Corporation** in accordance with s. 607.1115, Florida Statutes.

1. The name of the "Other Business Entity" immediately prior to the filing of this Certificate of Conversion is:

Global Financial Private Capital, LLC

Enter Name of Other Business Entity

2. The "Other Business Entity" is a limited liability company
(Enter entity type. Example: limited liability company, limited partnership,
general partnership, common law or business trust, etc.)

first organized, formed or incorporated under the laws of Florida
(Enter state, or if a non-U.S. entity, the name of the country)

on 06/07/2004
Enter date "Other Business Entity" was first organized, formed or incorporated

3. If the jurisdiction of the "Other Business Entity" was changed, the state or country under the laws of which it is now organized, formed or incorporated:

4. The name of the Florida Profit Corporation as set forth in the **attached Articles of Incorporation**:

Global Financial Private Capital, Inc.

Enter Name of Florida Profit Corporation

5. If not effective on the date of filing, enter the effective date: _____
(The effective date: **Cannot be prior to nor more than 90 days after the date this document is filed by the Florida Department of State.**)

Note: If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be listed as the document's effective date on the Department of State's records.

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19 JUL -9 PM 4:14
DEPARTMENT OF STATE
TALLAHASSEE, FLORIDA

Signed this 8th day of July, 2019.

Required Signature for Florida Profit Corporation:

Signature of Chairman, Vice Chairman, Director, Officer, or, if Directors or Officers have not been selected, an Incorporator: [Signature]
Printed Name: Naomi J. McKean Title: Secretary

Required Signature(s) on behalf of Other Business Entity: [See below for required signature(s).]

Signature: [Signature]

Printed Name: Naomi J. McKean Title: Secretary

Signature: _____

Printed Name: _____ Title: _____

Signature: _____

Printed Name: _____ Title: _____

Signature: _____

Printed Name: _____ Title: _____

Signature: _____

Printed Name: _____ Title: _____

Signature: _____

Printed Name: _____ Title: _____

If Florida General Partnership or Limited Liability Partnership:

Signature of one General Partner.

If Florida Limited Partnership or Limited Liability Limited Partnership:

Signatures of ALL General Partners.

If Florida Limited Liability Company:

Signature of a Member or Authorized Representative.

All others:

Signature of an authorized person.

Fees:

Certificate of Conversion:	\$35.00
Fees for Florida Articles of Incorporation:	\$70.00
Certified Copy:	\$8.75 (Optional)
Certificate of Status:	\$8.75 (Optional)

FILED
19 JUL -9 PM 4:14
CLERK OF CIRCUIT COURT
IN ALABAMA
TALLAHASSEE, FLORIDA

ARTICLES OF INCORPORATION
In compliance with Chapter 607 and/or Chapter 621, F.S. (Profit)

ARTICLE I NAME Global Financial Private Capital, Inc.
The name of the corporation shall be: _____

ARTICLE II PRINCIPAL OFFICE

Principal street address
501 N. Cattlemen Road, Suite 106
Sarasota, FL 34232

Mailing address, if different is:
1655 Grant Street, 10th Floor
Concord, CA 94520

ARTICLE III PURPOSE

The purpose for which the corporation is organized is: _____
The nature of the business or purposes to be conducted or promoted by the Corporation is to engage in any lawful act or activity for which corporations may be organized under the Florida Business Corporations Act.

ARTICLE IV SHARES 100
The number of shares of stock is: _____

ARTICLE V INITIAL OFFICERS AND/OR DIRECTORS

Name and Title:	Charles G. Goldman, Director	Name and Title:	Gary G. Zyla, Director
Address	1655 Grant Street, 10th Floor	Address:	1655 Grant Street, 10th Floor
	Concord, CA 94520		Concord, CA 94520

Name and Title:	Charles G. Goldman, CEO	Name and Title:	Gary G. Zyla, EVP & Treasurer
Address	1655 Grant Street, 10th Floor	Address:	1655 Grant Street, 10th Floor
	Concord, CA 94520		Concord, CA 94520

Name and Title:	Michael Abelson, EVP	Name and Title:	Ted F. Angus, EVP
Address	1655 Grant Street, 10th Floor	Address:	1655 Grant Street, 10th Floor
	Concord, CA 94520		Concord, CA 94520

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CLERK OF SUPERIOR COURT
COUNTY OF CONTRA COSTA
CALIFORNIA

Name and Title: Tom McCarthy IV, SVP

Address 1655 Grant Street, 10th Floor
Concord, CA 94520

Name and Title: Raygar Khailany, SVP

Address: 1655 Grant Street, 10th Floor
Concord, CA 94520

ARTICLE VI REGISTERED AGENT

The name and Florida street address (P.O. Box NOT acceptable) of the registered agent is:

Name: C T Corporation System

Address: 1200 South Pine Island Road
Plantation, FL 33324

ARTICLE VII INCORPORATOR

The name and address of the Incorporator is:

Name: Naomi J. McKean

Address: 1655 Grant Street, 10th Floor,
Concord, CA 94520

ARTICLE VIII EFFECTIVE DATE:

Effective date, if other than the date of filing: 07/12/2019 (OPTIONAL)

(If an effective date is listed, the date must be specific and cannot be more than five days prior or 90 days after the filing.)

Note: If the date inserted in this block does not meet the applicable statutory filing requirements, this date will not be listed as the document's effective date on the Department of State's records.

Having been named as registered agent to accept service of process for the above stated corporation at the place designated in this certificate, I am familiar with and accept the appointment as registered agent and agree to act in this capacity

C T Corporation System

By:

Required Signature/Registered Agent

07/08/2019

Date

I submit this document and affirm that the facts stated herein are true. I am aware that the false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S.

Required Signature/Incorporator
Naomi J. McKean, Secretary

07/08/2019

Date

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CLERK OF THE COURT
STATE OF FLORIDA

Global Financial Private Capital, Inc.
Directors & Officers

Director's Name	Title
Charles G. Goldman	Director
Gary G. Zyla	Director

Officer's Name	Title
Charles G. Goldman	Chief Executive Officer
Gary G. Zyla	Executive Vice President & Treasurer
Michael Abelson	Executive Vice President
Ted F. Angus	Executive Vice President
Tom McCarthy IV	Senior Vice President
Raygar Khailany	Senior Vice President
Naomi J. McKean	Secretary
Lewis (Buck) Dellarco	Senior Vice President, Chief Compliance Officer