

P18851

(Requestor's Name)

(Address)

(Address)

(City/State/Zip/Phone #)

☐ PICK-UP

☐ WAIT

☐ MAIL

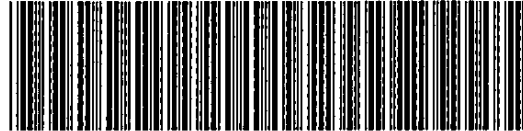
(Business Entity Name)

(Document Number)

Certified Copies \_\_\_\_\_ Certificates of Status \_\_\_\_\_

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SECRETARY OF STATE  
TALLAHASSEE FLORIDA

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## ***Harrington Center***

October 28, 2006

Florida Department of State  
Registration Section  
Division of Corporations  
409 E. Gaines St.  
Tallahassee, FL 32399

Attn: Document Filing Support Unit

RE: AllianceBernstein Investments Research and Management, Inc.  
Name change to AllianceBernstein Investments, Inc.

Authority No: P18851  
FEIN: 13-3191825

To Whom It May Concern:

Please process this application and issue an amended certificate of authority to conduct business in your state.

Forward the new certificate of authority to:

AllianceBernstein Investments, Inc.  
Attention: Marie M. Vogel  
1345 Avenues of the Americas  
New York, NY 10105

If any questions regarding this submission, please contact Barbara Harrington at 845.229.6842.

Thank you.

Sincerely,



Barbara Harrington  
Compliance Consultant

Enc: DELAWARE Certificate of Good Standing w/in 90 Days  
Check for \$43.75 – SOS Amendment Filing Fee

CC: ABIRM – Marie M. Vogel

## COVER LETTER

**TO:** Amendment Section  
Division of Corporations

**SUBJECT:** AllianceBernstein Investment Research and Management, Inc.  
(Name of Corporation)

**DOCUMENT NUMBER:** P18851

The enclosed Amendment and fee are submitted for filing.

Please return all correspondence concerning this matter to the following:

Marie M. Vogel

(Name of Contact Person)

AllianceBernstein Investments, Inc.

(Firm/Company)

1345 Avenue of the Americas

(Address)

New York, NY 10105

(City/State and Zip Code)

For further information concerning this matter, please call:

Barbara Harrington

(Name of Contact Person)

at ( 845 ) 229-6842

(Area Code & Daytime Telephone Number)

Enclosed is a check for the following amount:

☐

\$35.00 Filing Fee

☒

\$43.75 Filing Fee &  
Certificate of Status

☐

\$43.75 Filing Fee &  
Certified Copy  
(Additional copy is  
enclosed)

☐

\$52.50 Filing Fee,  
Certificate of Status &  
Certified Copy  
(Additional copy is  
enclosed)

**Mailing Address:**

Amendment Section  
Division of Corporations  
P.O. Box 6327  
Tallahassee, FL 32314

**Street Address:**

Amendment Section  
Division of Corporations  
Clifton Building  
2661 Executive Center Circle  
Tallahassee, FL 32301

**PROFIT CORPORATION**  
**APPLICATION BY FOREIGN PROFIT CORPORATION TO FILE AMENDMENT TO**  
**APPLICATION FOR AUTHORIZATION TO TRANSACT BUSINESS IN FLORIDA**  
(Pursuant to s. 607.1504, F.S.)

**SECTION I**  
**(1-3 MUST BE COMPLETED)**

P18851

(Document number of corporation (if known))

1. AllianceBernstein Investment Research and Management, Inc.  
(Name of corporation as it appears on the records of the Department of State)
2. Delaware 3. 04/15/1988  
(Incorporated under laws of) (Date authorized to do business in Florida)

**SECTION II**  
**(4-7 COMPLETE ONLY THE APPLICABLE CHANGES)**

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 02/24/06

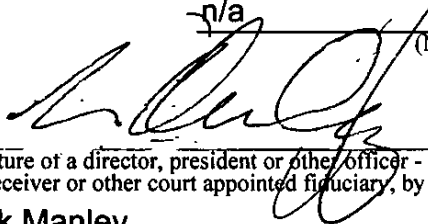
5. AllianceBernstein Investments, Inc.  
(Name of corporation after the amendment, adding suffix "corporation," "company," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation)
- n/a  
(If new name is unavailable in Florida, enter alternate corporate name adopted for the purpose of transacting business in Florida)

6. If the amendment changes the period of duration, indicate new period of duration.

n/a  
(New duration)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction.

n/a  
(New jurisdiction)

  
(Signature of a director, president or other officer - if in the hands of a receiver or other court appointed fiduciary, by that fiduciary)

Mark Manley

(Typed or printed name of person signing)

Secretary

(Title of person signing)

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TALLAHASSEE FLORIDA

# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "ALLIANCEBERNSTEIN INVESTMENTS, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE NINTH DAY OF AUGUST, A.D. 2006.



2021259 8300

060736205

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 4962763

DATE: 08-09-06