

Division of Corporations

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P18691

Florida Department of State
Division of Corporations
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To:
Division of Corporations
Fax Number : (850)205-0380

From:
Account Name : CORPORATE CREATIONS INTERNATIONAL INC.
Account Number : 110432003053
Phone : (561)694-8107
Fax Number : (561)694-1639

FILED
07 AUG - 7 AM 9:15
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

COR AMND/RESTATE/CORRECT OR O/D RESIGN
NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

RECEIVED
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DIVISION OF CORPORATIONS

Certificate of Status	0
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Page Count	02
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**NOT FOR PROFIT CORPORATION
APPLICATION BY FOREIGN NOT FOR PROFIT CORPORATION TO FILE
AMENDMENT TO APPLICATION FOR CONDUCTING AFFAIRS IN FLORIDA**
(Pursuant to s. 617.1504, F.S.)

**SECTION I
(1-3 MUST BE COMPLETED)**

P18691

(Document Number of Corporation (If known))

1. NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

(Name of corporation as it appears on the records of the Department of State)

2. Delaware

(Incorporated under laws of)

3. 04/04/1988

(Date authorized to conduct affairs in Florida)

SECTION II

(4-8 COMPLETE ONLY THE APPLICABLE CHANGES)

4. If the amendment changes the name of the corporation, when was the change effected under the laws of its jurisdiction of incorporation? 7/30/07

5. Financial Industry Regulatory Authority, Inc.

(Name of corporation after the amendment, adding suffix "corporation," or "incorporated," or appropriate abbreviation, if not contained in new name of the corporation. "Company," or "Co.," may not be used as a corporate suffix by a nonprofit corporation)

6. If the amendment changes the period of duration, indicate new period of duration and the date the change was effected.

(New duration)

(Date)

7. If the amendment changes the jurisdiction of incorporation, indicate new jurisdiction and the date the change was effected.


(New jurisdiction)

(Date)

8. If the purpose which the corporation intends to pursue in Florida has changed, indicate new purpose.

(The corporation is authorized to pursue such purpose in the jurisdiction of its incorporation)

9. Attached is a certificate or document of similar import, evidencing the amendment, authenticated not more than 90 days prior to delivery of the application to the Department of State, by the Secretary of State or other official having custody of corporate records in the jurisdiction under the laws of which it is incorporated.


(Signature of the chairman or vice chairman of the board, president, or other officer - if in the hands of a receiver, trustee, or other court-appointed fiduciary, by that fiduciary)

John J. Flood

(Typed or printed name of the person signing)

**Vice President and
Associate General Counsel**

(Title of person signing)

FILED
07 AUG -7 AM 9:16
SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Delaware

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The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THAT THE SAID "NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.", FILED A RESTATED CERTIFICATE, CHANGING ITS NAME TO "FINANCIAL INDUSTRY REGULATORY AUTHORITY, INC.", THE THIRTIETH DAY OF JULY, A.D. 2007, AT 11:01 O'CLOCK A.M.

0352322 8320

070867126



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5884051

DATE: 07-30-07