

**2005 NOT-FOR-PROFIT CORPORATION
ANNUAL REPORT**

FILED

**May 27, 2005 8:00 am
Secretary of State**

04-25-2005 90237 040 ****61.25

DOCUMENT # P18691

1. Entity Name
**NATIONAL ASSOCIATION OF SECURITIES DEALERS,
INC.**



Principal Place of Business
**1735 K STREET N. W.
WASHINGTON, DC 20006**

Mailing Address
**9509 KEY WEST AVE
ATTN: FINANCE/TAXES
ROCKVILLE, MD 20850 US**

DO NOT WRITE IN THIS SPACE

01122005 No Chg-NP

CR2E037 (10/03)

4. FEI Number
53-0088710

Applied For
Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
Fee Required**

6. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION, FL 33324**

**DO NOT WRITE
IN THIS SPACE**

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. I am familiar with, and accept the obligations of registered agent.

SIGNATURE

Signature, typed or printed name of registered agent and (if applicable)

(NOTE: Registered Agent signature required when reinstating)

DATE

**Filing Fee is \$61.25
Due by May 1, 2005**

9. Election Campaign Financing
Trust Fund Contribution. ☐

**\$5.00 May Be
Added to Fees**

10. OFFICERS AND DIRECTORS

TITLE	CCEO
NAME	GLAUBER, ROBERT A
STREET ADDRESS	1735 K STREET, NW
CITY-STATE-ZIP	WASHINGTON, DC 20006
TITLE	EVPC
NAME	DIGANCI, TODD T
STREET ADDRESS	1735 K STREET, NW
CITY-STATE-ZIP	WASHINGTON, DC 20006
TITLE	SV
NAME	SWEENEY, BARBARA Z
STREET ADDRESS	1735 K STREET, NW
CITY-STATE-ZIP	WASHINGTON, DC 20006
TITLE	D
NAME	BAKERINK, M. LARAE
STREET ADDRESS	16835 W. BERANRDO DRIVE, STE 203
CITY-STATE-ZIP	SAN DIEGO, CA 92127
TITLE	D
NAME	ALSOVER, WILLIAM C
STREET ADDRESS	3075 CHARLEVOIX DR SE
CITY-STATE-ZIP	GRAND RAPIDS, MI 49546
TITLE	D
NAME	BACHMANN, JOHN W
STREET ADDRESS	12555 MANCHESTER RD
CITY-STATE-ZIP	SAINT LOUIS, MO 63131

**DO NOT WRITE
IN THIS SPACE**

12. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears in Block 10 or Block 11 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

ROBERT L. WOOD, SVP

4/19/05

(240) 386-5331

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Daytime Phone #

ATTACHMENT

CONFIDENTIAL

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Page 2.
NASD Officers Continued

Gregory B. Raymond	Senior Vice President
Hans-Linhard Reich	Senior Vice President
Mark W. Rippe	Senior Vice President
Carlotta A. Romano	Senior Vice President
Thomas M. Selman	Senior Vice President
Roger B. Sherman	Senior Vice President
Daniel S. Shook	Senior Vice President
James S. Shorris	Senior Vice President
Barbara Z. Sweeney	Senior Vice President & Corporate Secretary
Catherine C. Tighe	Senior Vice President
Robert L. Wood	Senior Vice President
James A. Alaimo	Vice President
Scott C. Anderson	Vice President
Paul P. Andrews	Vice President
Marcia E. Asquith	Vice President
Victor D. Attanasio	Vice President
P. Susan Baumann	Vice President
Richard Berry	Vice President
Elizabeth R. Clancy	Vice President
Nancy A. Condon	Vice President
Timothy G. Coon	Vice President
Gene G. DeMaio	Vice President
John C. DeSaix	Vice President
Stephanie M. Dumont	Vice President
Jean I. Feeney	Vice President & Chief Counsel, Dispute Resolution
Lawrence E. Fitzpatrick	Vice President
John J. Flood	Vice President & Associate General Counsel
Rory C. Flynn	Vice President
Karrie E. Foley	Vice President
John M. Gannon	Vice President
Robert (Len) Gatrell	Vice President
Emily P. Gordy	Vice President
Gary P. Grey	Vice President
Michael P. Hourigan	Vice President & Assistant General Auditor
David M. Jacobs	Vice President
Alton (Chip) Jones	Vice President
John H. Komoroske	Vice President
Robert J. Laggini	Vice President
George H. Larson	Vice President