

FILE NOW: FILING FEE AFTER MAY 1 IS \$155.00

CORPORATION
ANNUAL REPORT
'1995



FLORIDA DEPARTMENT OF STATE
Sandra B. Morham
Secretary of State
DIVISION OF CORPORATIONS

APPROVED
AND
FILED

35 APR 26 AM 9:18

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

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****130.00 ****130.00

DO NOT WRITE IN THIS SPACE

DOCUMENT # P18691

(6)

1. Corporation Name

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

Principal Place of Business

1735 K STREET N.W.
WASHINGTON DC 20006

Mailing Address

9513 KEY WEST AVE.
ROCKVILLE MD 20850

3. Date Incorporated or Qualified

04/04/1988

3a. Date of Last Report

05/01/1994

4. FEI Number

53-0088710

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

7. Nonprofit with IRS 501(c)(3)
Tax Exempt Status

☐

\$68.75 Supplemental
Fee Not Required

8. This corporation has liability for intangible tax under S. 199.032,
Florida Statutes ☐ Yes ☐ No

2. Principal Place of Business

2a. Mailing Address

21

26

Suite, Apt. #, etc.

Suite, Apt. #, etc.

22

27

City & State

City & State

23

28

Zip

Country

Zip

Country

24

29

30

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when re-registering)

DATE

12. see attachments OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE	P
NAME	HARDIMAN, JOSEPH R.
STREET ADDRESS	8 BOWEN MILL ROAD
CITY - ST - ZIP	BALTIMORE MD
TITLE	VT
NAME	ALLEN, JAMES R
STREET ADDRESS	6112 GRANBY RD
CITY - ST - ZIP	ROCKVILLE MD
TITLE	S
NAME	HICKMAN, STEPHEN D
STREET ADDRESS	4405 AMBLER DR.
CITY - ST - ZIP	KENSINGTON MD
TITLE	EV
NAME	JUSTICE, C. RICHARD
STREET ADDRESS	400 MADISON STREET #1809
CITY - ST - ZIP	ALEXANDRIA VA
TITLE	EV
NAME	WALL, JOHN T.
STREET ADDRESS	6601 RADNOR ROAD
CITY - ST - ZIP	BETHESDA MD
TITLE	AT
NAME	RAPPAPORT, STEPHEN I.
STREET ADDRESS	1735 K STREET NW
CITY - ST - ZIP	WASHINGTON DC

11 TITLE	☒ Change ☐ Addition
12 NAME	
13 STREET ADDRESS	1735 K Street, NW
14 CITY - ST - ZIP	Washington, DC 20006
21 TITLE	☒ Change ☐ Addition
22 NAME	
23 STREET ADDRESS	1735 K Street, NW
24 CITY - ST - ZIP	Washington, DC 20006
31 TITLE	☒ Change ☐ Addition
32 NAME	Joan C. Conley
33 STREET ADDRESS	1735 K Street, NW
34 CITY - ST - ZIP	Washington, DC 20006
41 TITLE	☒ Change ☐ Addition
42 NAME	EV
43 STREET ADDRESS	Richard G. Ketchum
44 CITY - ST - ZIP	1735 K Street, NW
51 TITLE	☒ Change ☐ Addition
52 NAME	EV
53 STREET ADDRESS	James P. O'Donnell
54 CITY - ST - ZIP	1735 K Street, NW
61 TITLE	☒ Change ☐ Addition
62 NAME	AT
63 STREET ADDRESS	Stuart M. Fishkin
64 CITY - ST - ZIP	1735 K Street, NW

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption provided in Section 110.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 617, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

Stuart M. Fishkin, Ass't Treas. 4/10/95

(301)590-6950

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Title

Signature/Name

②

Officers

[illegible]

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National Association of Securities Dealers, Inc.

1735 K Street, NW Washington, DC 20006

Board of Governors (Directors)

Leonard Abramson
U.S. Healthcare, Inc.
980 Jolly Road
Blue Bell, PA 19422

Joseph J. Grano, Jr.
Paine Webber Inc.
1200 Harbor Boulevard
Weehawlen, NJ 07087

Robert M. Balentine
Balentine & Company
1100 Peachtree St., NE, Suite 1900
Atlanta, GA 30309-4519

Bruce C. Hackett
Salomon Brothers, Inc.
Seven World Trade Center
New York, NY 10048

David Brooke
J O Hambro & Partners
30 Queen Anne's Gate
London SW1H 9AL
United Kingdom

Joseph R. Hardiman
NASD, Inc.
1735 K Street, NW
Washington, DC 20006

Mary Alice Brophy
Dain Bosworth Incorporated
60 S. 6th Street
Minneapolis, MN 55402

Dennis C. Hensley
J. P. Morgan & Co., Inc.
60 Wall Street, 39th Floor
New York, NY 10260-0060

Parks H. Dalton
Interstate/Johnson Lane
Interstate Tower, 121 W. Trade Street
Charlotte, NC 28202

James S. Holbrook, Jr.
Sterne, Agee & Leach, Inc.
1901 Sixth Avenue, North, Suite 2100
Birmingham, AL 35203

Ian B. Davidson
D. A. Davidson & Company
Davidson Building
Great Falls, MT 59401

Alice T. Kane, Esq.
New York Life Insurance Company
51 Madison Avenue, Room 1301
New York, NY 10010

Richard J. DeAgazio
Boston Capital Services, Inc.
313 Congress Street
Boston, MA 02210

Robert I. Kleinberg
Oppenheimer & Company, Inc.
Oppenheimer Tower
One World Financial Center
New York, NY 10281

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National Association of Securities Dealers, Inc.

1735 K Street, NW Washington, DC 20006

Board of Governors (Directors)

Richard Kramlich
New Enterprise Associates
235 Montgomery Street, Suite 1025
San Francisco, CA 94104

Carl E. Lindros
Santa Barbara Securities, Inc.
200 East Carrillo
Santa Barbara, CA 93101

Richard G. McDermott, Jr.
Chapdelaine & Company
80 Maiden Lane
New York, NY 10038

Alfred E. Osborne, Jr.
John E. Anderson School of
Management
University of California Los Angeles
Los Angeles, CA 90024-1481

Thomas R. Powers
Transamerica Fund Management Co.
1000 Louisiana, Suite 6000
Houston, TX 77002

William H. Richardson
Trubee, Collins & Co.
One M & T Plaza
Buffalo, NY 14203

Bert C. Roberts
MCI Communications Corp.
1801 Pennsylvania Avenue, NW
Washington, DC 20006

John W. Rogers, Jr.
Ariel Capital Management, Inc.
307 N. Michigan Avenue, Suite 1020
Chicago, IL 60601

William A. Rogers
Wayne Hummer & Co.
300 Wacker Drive
Chicago, IL 60606

William R. Rothe
Alex Brown & Sons, Inc.
135 E. Baltimore Street
Baltimore, MD 21212

John E. Schmidt
First Boston Corporation
101 California Street
Suite 4300
San Francisco, CA 94111

Charles R. Schwab
Charles Schwab & Company, Inc.
101 Montgomery Street
San Francisco, CA 94104

Albert A. Sommer, Jr.
Morgan, Lewis & Bockius
1800 M Street, NW
Washington, DC 20036

Madelon DeVoe Talley
876 Park Avenue
New York, NY 10021

Raymond E. Wooldridge
Southwest Securities Group, Inc.
1201 Elm Street, Suite 4300
Dallas, TX 75270