

2001 UNIFORM BUSINESS REPORT (UBR)**FILED**
Apr 26, 2001 8:00 am
Secretary of State

04-26-2001 90074 010 ***150.00

DOCUMENT# P16872

1. Entity Name

SUNAMERICA SECURITIES, INC.

Principal Place of Business

**2800 N CENTRAL AVE
2100
PHOENIX AZ 85004
US**

Mailing Address

**2800 N CENTRAL AVE
2100
PHOENIX AZ 85004
US**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number **86-0273618**

Applied For

Not Applicable

5. Certificate of Status Desired ☐**\$8.75** Additional
Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**THE PRENTICE-HALL CORPORATION SYSTEM INC
1201 HAYES STREET
STE - 105
TALLAHASSEE FL 32301**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible
Tax filing requirement and elects to do so.
(See criteria on back) ☐**FILE NOW!!! FEE IS \$150.00
After MAY 1, 2001 Fee will be \$550.00
Make Check Payable to Department of State**10. Election Campaign Financing
Trust Fund Contribution. ☐**\$5.00** May Be
Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE	C	<input checked="" type="checkbox"/> Delete
NAME	KRAT, GARY	
STREET ADDRESS	733 3RD AVE.	
CITY-ST-ZIP	NEW YORK NY 10017	
TITLE	P	<input type="checkbox"/> Delete
NAME	VINCENT J. ASARO	
STREET ADDRESS	2800 N CENTRAL AVE, STE 2100	
CITY-ST-ZIP	PHOENIX AZ 85004	
TITLE	DTAS	<input type="checkbox"/> Delete
NAME	VAEREWYCK, GEORGE J	
STREET ADDRESS	2800 N CENTRAL AVE STE 2100	
CITY-ST-ZIP	PHOENIX AZ 85004	
TITLE	S	<input type="checkbox"/> Delete
NAME	HARRIS, SUSAN	
STREET ADDRESS	1 SUNAMERICA CENTER	
CITY-ST-ZIP	LOS ANGELES CA 90067	
TITLE	SVP	<input checked="" type="checkbox"/> Delete
NAME	JAMES CANNON	
STREET ADDRESS	2800 N CENTRAL AVE 2100	
CITY-ST-ZIP	PHOENIX AZ 85004	
TITLE	VP	<input checked="" type="checkbox"/> Delete
NAME	LINDA WARFIELD	
STREET ADDRESS	2800 N CENTRAL AVE 2100	
CITY-ST-ZIP	PHOENIX AZ 85004	

TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	SEE ATTACHED LISTING	
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME		
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	SEE ATTACHED LISTING	
STREET ADDRESS		
CITY-ST-ZIP		
TITLE		<input type="checkbox"/> Change <input type="checkbox"/> Addition
NAME	SEE ATTACHED LISTING	
STREET ADDRESS		
CITY-ST-ZIP		

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Rita L. Sonchik***Rita L. Sonchik 4/18/01 (602)744-3020**

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (10/00)

**SUNAMERICA SECURITIES, INC
OFFICERS & DIRECTORS LISTING**

*Attachment
Dols. # P16872*

NAME	SSN	ADDRESS	OFFICE	DATE
<u>DIRECTORS</u>				
Vincent J. Asaro	374-72-3225	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	President, Chief Executive Officer & Chairman	03/15/01
Kevin J. Hart	181-48-2678	21650 Oxford Street Woodland Hills, CA 91367	Director	01/02/01
Bridget M. Gaughan	504-60-3146	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Senior Vice President, General Counsel, Secretary & Chief Compliance Officer	01/02/01
Stephen E. Rothstein			Director	
George J. Vaerewyck	312-52-8452	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Executive Vice President Chief Financial Officer, Treasurer & Assist. Secretary	01/28/89
<u>OFFICERS</u>				
Vincent J. Asaro	374-72-3225	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	President, Chief Executive Officer	03/15/01
George J. Vaerewyck	312-52-8452	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Executive Vice President Chief Financial Officer, Treasurer & Assist. Secretary	01/28/89
Bridget M. Gaughan	504-60-3146	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Senior Vice President, General Counsel, Secretary & Chief Compliance Officer	01/02/01
Lisa S. Kubica	527-85-0433	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Senior Vice President	5/16/92
Jeffrey J Peters	068-44-3515	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Senior Vice President	12/01/98
James T. Sinyard	417-48-5796	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	07/23/89
Rita L. Sonchik	286-58-6661	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	05/19/92
Margo R. Spencer	276-56-5762	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	04/16/00
Ralph O. Stauffer	526-21-0150	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	08/01/00
Kim A. Richardson	485-80-2848	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	07/20/99
Juanita B. Tapia	527-96-5129	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	05/19/92
Jeffrey F. Vivacqua	361-72-1841	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	09/01/00
Michelle M. Thetford	369-96-7409	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	01/01/01