

# 2000 UNIFORM BUSINESS REPORT (UBR)

DOCUMENT # P16872

1. Entity Name

SUNAMERICA SECURITIES, INC.

**FILED**  
**Jul 26, 2000 8:00 am**  
**Secretary of State**

07-26-2000 90008 037 \*\*\*550.00

Principal Place of Business

2800 N CENTRAL AVE  
 STE ~~4900~~  
 PHOENIX AZ 85004  
 US

Mailing Address

2800 N CENTRAL AVE  
 STE ~~4900~~  
 PHOENIX AZ 85004-1050  
 US

2. Principal Place of Business

Suite, Apt. #, etc.  
 2100

City & State

Zip

Country

3. Mailing Address

Suite, Apt. #, etc.  
 2100

City & State

Zip

Country



DO NOT WRITE IN THIS SPACE

4. FEI Number

86-0273618

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

THE PRENTICE-HALL CORPORATION SYSTEM INC  
 1201 HAYES STREET  
 STE - 105  
 TALLAHASSEE FL 32301

Name

Street Address (P.O. Box Number is Not Acceptable)

City

FL

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
 Tax filing requirement and elects to do so.  
 (See criteria on back) ☐

**FILE NOW!!! FEE IS \$150.00**  
**After MAY 1, 2000 Fee will be \$550.00**  
**Make Check Payable to Department of State**

10. Election Campaign Financing  
 Trust Fund Contribution. ☐

**\$5.00** May Be  
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE **C** ☐ Delete  
 NAME **KRAT, GARY**  
 STREET ADDRESS **733 3RD AVE.**  
 CITY-ST-ZIP **NEW YORK NY 10017**

TITLE ☐ Change ☐ Addition  
 NAME **SEE ATTACHED LISTING**  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **P** ☐ Delete  
 NAME **VINCENT J. ASARO**  
 STREET ADDRESS **2800 N. CENTRAL AVE., STE. ~~4900~~ 2100**  
 CITY-ST-ZIP **PHOENIX AZ 85004**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **DTAS** ☐ Delete  
 NAME **VAEREWYCK, GEORGE J**  
 STREET ADDRESS **2800 N CENTRAL AVE / STE - ~~4900~~ 2100**  
 CITY-ST-ZIP **PHOENIX AZ 85004**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **S** ☒ Delete  
 NAME **HARRIS, SUSAN**  
 STREET ADDRESS **1 SUNAMERICA CENTER**  
 CITY-ST-ZIP **LOS ANGELES CA 90067**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **SVP EVP** ☐ Delete  
 NAME **JAMES CANNON**  
 STREET ADDRESS **2800 N CENTRAL AVE ~~#1900~~ 2100**  
 CITY-ST-ZIP **PHOENIX AZ 85004**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

TITLE **VP** ☐ Delete  
 NAME **LINDA WARFIELD**  
 STREET ADDRESS **2800 N CENTRAL AVE ~~#1900~~ 2100**  
 CITY-ST-ZIP **PHOENIX AZ 85004**

TITLE ☐ Change ☐ Addition  
 NAME  
 STREET ADDRESS  
 CITY-ST-ZIP

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*Rita L. Sonchik*  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Rita L. Sonchik

7/20/00

Date

(602) 744-3025

Daytime Phone #

CR25014 (1/99)

A0069767  
P16872

**SUNAMERICA SECURITIES, INC  
OFFICERS & DIRECTORS LISTING**

NAME	SSN	ADDRESS	OFFICE	DATE
Gary W. Krat	102-40-4870	733 - 3 <sup>rd</sup> Avenue, 4 <sup>th</sup> floor New York, NY 10017	Chairman of the Board	01/28/91
Vincent J. Asaro	374-72-3225	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	President/Director	05/19/92
George J. Vaerewyck	312-52-8452	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Executive Vice President Chief Financial Officer, Treasurer & Assist. Sec. Director	01/28/89
James Cannon	225-66-4960	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Executive Vice President	3/21/96
Bridget M. Gaughan	504-60-3146	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Senior Vice President & General Counsel	03/17/95
Lisa Kubica	527-85-0433	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Senior Vice President	5/16/92
James T. Sinyard	417-48-5796	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	07/23/89
Linda Warfield	523-72-0404	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	03/17/96
Rita Sonchik	286-58-6661	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	05/19/92
Jacquelyn Capriotti	317-52-2586	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	05/19/92
Jeffrey J Peters	068-44-3515	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	12/01/98
Margo Spencer	276-56-5762	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	4/16/00
Juanita Tapia	527-96-5129	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	05/19/92
Gregory Brucher	552-92-1012	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	12/01/98
Kim Richardson	485-80-2848	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	7/20/99
Kelli Stiles	491-82-8915	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	9/20/99