

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

PROFIT  
CORPORATION  
ANNUAL REPORT  
1999



FLORIDA DEPARTMENT OF STATE  
Katherine Harris  
Secretary of State  
DIVISION OF CORPORATIONS

FILED  
Jun 09, 1999 8:00 am  
Secretary of State

06-09-1999 90002 049 \*\*\*550.00

DOCUMENT # P16872

1. Corporation Name  
SUNAMERICA SECURITIES, INC.

Principal Place of Business

2800 N CENTRAL AVE  
STE -1000  
PHOENIX AZ 85004  
US

Mailing Address

2800 N CENTRAL AVE  
STE -1900  
PHOENIX AZ 85004  
US

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

11/19/1987

4. FEI Number

86-0273618

Applied For  
Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional  
Fee Required

6. Election Campaign Financing

☐

Trust Fund Contribution

\$5.00 May Be  
Added to Fees

8. This corporation owes the current year Intangible

Personal Property Tax.

☐ Yes

☐ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 2100

23 City & State

24 Zip

Country

2a. Mailing Address

26 Suite, Apt. #, etc.

27 2100

28 City & State

29 Zip

Country

9. Name and Address of Current Registered Agent

THE PRENTICE-HALL CORPORATION SYSTEM INC  
1201 HAYES STREET  
STE - 105  
TALLAHASSEE FL 32301

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE C ☐ DELETE

NAME KRAT, GARY

STREET ADDRESS 733 3RD AVE.

CITY-ST-ZIP NEW YORK NY 10017

TITLE P ☐ DELETE

NAME VINCENT J. ASARO

STREET ADDRESS 2800 N. CENTRAL AVE., STE. 1900 #2100

CITY-ST-ZIP PHOENIX AZ 85004

TITLE ~~DTAS~~ EVP, CFO, T + AS ☐ DELETE

NAME VAEREWYCK, GEORGE J

STREET ADDRESS 2800 N CENTRAL AVE / STE --1900 #2100

CITY-ST-ZIP PHOENIX AZ 85004

TITLE S ☐ DELETE

NAME HARRIS, SUSAN

STREET ADDRESS 1 SUNAMERICA CENTER

CITY-ST-ZIP LOS ANGELES CA 90067

TITLE ~~SVP~~ EVP ☐ DELETE

NAME JAMES CANNON

STREET ADDRESS 2800 N CENTRAL AVE #1900 #2100

CITY-ST-ZIP PHOENIX AZ 85004

TITLE VP ☐ DELETE

NAME LINDA WARFIELD

STREET ADDRESS 2800 N CENTRAL AVE #1900 #2100

CITY-ST-ZIP PHOENIX AZ 85004

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS

1.4 CITY-ST-ZIP

SEE ATTACHED LISTING

2.1 TITLE ☐ Change ☐ Addition

2.2 NAME

2.3 STREET ADDRESS

2.4 CITY-ST-ZIP

3.1 TITLE ☐ Change ☐ Addition

3.2 NAME

3.3 STREET ADDRESS

3.4 CITY-ST-ZIP

4.1 TITLE ☐ Change ☐ Addition

4.2 NAME

4.3 STREET ADDRESS

4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition

5.2 NAME

5.3 STREET ADDRESS

5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition

6.2 NAME

6.3 STREET ADDRESS

6.4 CITY-ST-ZIP

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE: *Rita L. Sonchik* RITA L. SONCHIK

APRIL 26, 1999 (602) 744-3000

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

0552770

CR2E034 (11/98)

571845-90002-49  
Doc# P16872

**SUNAMERICA SECURITIES, INC  
OFFICERS & DIRECTORS LISTING**

NAME	SSN	ADDRESS	OFFICE	DATE
Gary W. Krat	102-40-4870	733 - 3 <sup>rd</sup> Avenue, 4 <sup>th</sup> floor New York, NY 10017	Chairman of the Board	01/28/91
Vincent J. Asaro	374-72-3225	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	President/Director	05/19/92
George J. Vaerewyck	312-52-8452	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Executive Vice President Chief Financial Officer, Treasurer & Assist. Sec. Director	01/28/89
Bridget M. Gaughan	504-60-3146	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Senior Vice President & General Counsel	03/17/95
Susan L. Harris	545-70-3146	1 SunAmerica Center Los Angeles, CA 90067	Secretary	04/02/89
James Cannon	225-66-4960	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Executive Vice President	3/21/96
Lisa Kubica	527-85-0433	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Senior Vice President	5/16/92
James T. Sinyard	417-48-5796	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	07/23/89
Linda Warfield	523-72-0404	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	03/17/96
Rita Sonchik	286-58-6661	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	05/19/92
Juanita Tapia	527-96-5129	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	05/19/92
Jacquelyn Capriotti	317-52-2586	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	05/19/92
Jesus Hernandez	463-08-4802	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	01/05/98
Jeffrey J Peters	068-44-3515	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Vice President	12/01/98
Michael Mazzella	107-58-9721	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	04/01/99
Gregory Brucher	552-92-1012	2800 N. Central Avenue, #2100 Phoenix, AZ 85004-1072	Assist. Vice President	12/01/98