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**Jan 22 1997 8:00am
Secretary of State**

**PROFIT
CORPORATION
ANNUAL REPORT
1997**



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # P16872 (4)

1. Corporation Name
SUNAMERICA SECURITIES, INC.



Principal Place of Business

**2800 N CENTRAL AVE
STE - 1900
PHOENIX AZ 85004
US**

Mailing Address

**2800 N CENTRAL AVE
STE - 1900
PHOENIX AZ 85004-1050
US**

3. Date Incorporated or Qualified
11/19/1987

3a. Date of Last Report
08/12/1996

4. FEI Number
86-0273618

Applied For
Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional
Fee Required**

6. Election Campaign Financing
Trust Fund Contribution ☐

**\$5.00 May Be
Added to Fees**

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes ☐ Yes ☒ No

2. Principal Place of Business

2a. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

**THE PRENTICE-HALL CORPORATION SYSTEM INC
1201 HAYES STREET
STE - 105
TALLAHASSEE FL 32301**

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and filed if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE **D** ☐ DELETE
NAME **KRAT, GARY**
STREET ADDRESS **733 3RD AVE.**
CITY-ST-ZIP **NEW YORK NY**

1.1 TITLE ☐ Change ☐ Addition
1.2 NAME
1.3 STREET ADDRESS
1.4 CITY-ST-ZIP
**See Attached List of
Officers & Directors**

TITLE **PD** ☐ DELETE
NAME **VINCENT J. ASARO**
STREET ADDRESS **2800 N. CENTRAL AVE., STE. 1900**
CITY-ST-ZIP **PHOENIX AZ**

2.1 TITLE ☐ Change ☐ Addition
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP

TITLE **VTSD** ☐ DELETE
NAME **VAEREWYCK, GEORGE J**
STREET ADDRESS **2800 N CENTRAL AVE / STE - 1900**
CITY-ST-ZIP **PHOENIX AZ**

3.1 TITLE ☐ Change ☐ Addition
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP

TITLE **S** ☐ DELETE
NAME **HARRIS, SUSAN**
STREET ADDRESS **1 CENTURY CITY**
CITY-ST-ZIP **CENTURY CITY CA**

4.1 TITLE ☐ Change ☐ Addition
4.2 NAME
4.3 STREET ADDRESS
4.4 CITY-ST-ZIP

TITLE **VPAS** ☒ DELETE
NAME **MARI ANNE IMHOFF**
STREET ADDRESS **2800 N. CENTRAL AVE., SUITE 1900**
CITY-ST-ZIP **PHOENIX AZ**

5.1 TITLE ☐ Change ☐ Addition
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP

TITLE **C** ☐ DELETE
NAME **KRAT, GARY W**
STREET ADDRESS **733 3RD AVE. 4TH FLOOR**
CITY-ST-ZIP **NEW YORK NY 10017**

6.1 TITLE ☐ Change ☐ Addition
6.2 NAME
6.3 STREET ADDRESS
6.4 CITY-ST-ZIP

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE: *Rita L. Sonehik* **Rita L. Sonehik, AVP** 01/07/97 602-222-2900
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

CR2E034 (9/96)

**Officers and Directors
SunAmerica Securities, Inc.**

Name	SSN	Address	Office	Date
Gary W. Krat	102-40-4870	733 - 3rd Avenue, 4th Floor New York, NY 10017	Chairman of the Board	01/28/91
Vincent J. Asaro	374-72-3225	2800 N. Central Avenue, #1900 Phoenix, AZ 85004-1050	President	05/19/92
Joseph M. Tumbler	220-46-8530	1 SunAmerica Center Los Angeles, CA 90067	Director	03/15/95
George J. Vaerewyck	312-52-8452	2800 N. Central Avenue, #1900 Phoenix, AZ 85004-1050	Executive Vice President, Chief Financial Officer, Director, Treasurer and Assistant Secretary	01/28/91
Bridget M. Gaughan	504-60-3146	2800 N. Central Avenue, #1900 Phoenix, AZ 85004-1050	Senior Vice President and General Counsel	03/17/95
Susan L. Harris	545-70-8329	1 SunAmerica Center Los Angeles, CA 90067	Secretary	05/19/92
Lorin M. Fife	557-84-4358	1 SunAmerica Center Los Angeles, CA 90067	Assistant Secretary	04/02/90
James Cannon	225-66-4960	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	First Vice President	03/17/95
Ballard, David	220-74-4248	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Vice President	03/21/96
Ham, JoAnn	092-34-4743	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Vice President	03/21/96
James T. Sinyard	417-48-5796	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Vice President	05/19/92
Lisa Kubica	527-85-0433	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Vice President	05/16/94
Pamela S. Bradshaw	315-74-8780	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Vice President	03/17/95
Linda Warfield	523-72-0404	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Vice President	03/17/96
Rita Sonchik	286-58-6661	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Assistant Vice President	05/19/92
Jenny Tapia	527-96-5129	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Assistant Vice President	05/19/92
Jackie Capriotti	317-52-2586	2800 N. Central Avenue, # 1900 Phoenix, AZ 85004-1050	Assistant Vice President	02/01/93