

# 2002 UNIFORM BUSINESS REPORT (UBR)

**FILED**  
**May 29, 2002 8:00 am**  
**Secretary of State**  
 05-29-2002 90687 036 \*\*\*150.00

**DOCUMENT # P15368**

1. Entity Name  
**RJO SECURITIES, INC.**

Principal Place of Business

**222 S. RIVERSIDE PLAZA  
 CHICAGO IL 60606**

**9th Floor**

Mailing Address

**222 S. RIVERSIDE PLAZA  
 CHICAGO IL 60606**

**9th Floor**

2. Principal Place of Business

3. Mailing Address

Suite, Apt. #, etc.

Suite, Apt. #, etc.

City & State

City & State

Zip

Country

Zip

Country

4. FEI Number

**36-2403612**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75** Additional  
 Fee Required

6. Name and Address of Current Registered Agent

7. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM  
 1200 S. PINE ISLAND ROAD  
 PLANTATION FL 33324**

Name

Street Address (P.O. Box Number is Not Acceptable)

City

**FL**

Zip Code

8. The above named entity submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable.

(NOTE: Registered Agent signature required when reinstating)

DATE

9. This corporation is eligible to satisfy its Intangible  
 Tax filing requirement and elects to do so.  
 (See criteria on back)

**FILE NOW!!! FEE IS \$150.00  
 After May 1, 2002 Fee will be \$550.00  
 Make Check Payable to Department of State**

10. Election Campaign Financing  
 Trust Fund Contribution. ☐

**\$5.00** May Be  
 Added to Fees

11. OFFICERS AND DIRECTORS

12. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 11

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>P O'BRIEN, ROBERT J JR. 1824 TRAILS EDGE DRIVE NORTHBROOK IL 60062</b>	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>VPS ROHRS, GEORGE H JR. 312 JACKSON AVE GLENCOE IL 60022</b>	<input checked="" type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>CFO CORCORAN, GERALD F 6625 NO. LEMAI LINCOLNWOOD IL</b>	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>POC POWELL, ROBERT J 5 MAYFLOWER RD. VERNON HILLS IL 60061</b>	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>D O'BRIEN, ROBERT J SR. 915 PINE TREE LANE WINNETKA IL 60093</b>	<input type="checkbox"/> Delete
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<b>D O'BRIEN, JOHN W 60 HAZEL STREET GLENCOE IL 60-0222</b>	<input type="checkbox"/> Delete

TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition
TITLE NAME STREET ADDRESS CITY-ST-ZIP	<input type="checkbox"/> Change <input type="checkbox"/> Addition

13. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this report or supplemental report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 11 or Block 12 if changed, or on an attachment with an address, with all other like empowered.

SIGNATURE:

*[Signature]*  
 SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/01)

*Attachment*  
*# P15368*  
*116465*

RJO SECURITIES  
222 S. RIVERSIDE PLAZA  
9<sup>TH</sup> FLOOR  
CHICAGO, IL 60606

May 22, 2002

Division of Corporations  
Uniform Business Report Filings  
P.O. Box 1500  
Tallahassee, FL 32302-1500

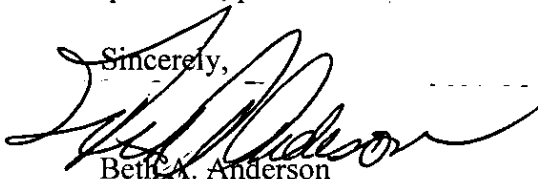
RE: 2002 Uniform Business Report for RJO Securities

To Whom It May Concern:

Enclosed please find our completed 2002 annual report along with a check in the amount of \$150.00. We are requesting consideration be given regarding waiving the \$400.00 late fine. According to our records this renewal form was received late by RJO and the individual to whom it was directed was out of the office for an extended period of time. In order to avoid this situation in the future, we have made changes internally and again ask that this \$400.00 fee be waived.

Thank you in advance for your consideration to this matter. If you should have any questions, please feel free to call me at 312-373-5215.

Sincerely,



Beth A. Anderson  
VP of Compliance

Enclosure