

**Electronic Articles of Incorporation  
For**

P13000014747  
FILED  
February 13, 2013  
Sec. Of State  
jshivers

SECURITIES COMPLIANCE CORP.

The undersigned incorporator, for the purpose of forming a Florida profit corporation, hereby adopts the following Articles of Incorporation:

**Article I**

The name of the corporation is:

SECURITIES COMPLIANCE CORP.

**Article II**

The principal place of business address:

40 WALL STREET  
28TH FLOOR  
NEW YORK, NY. 10005

The mailing address of the corporation is:

62 BLUEBERRY HILL ROAD  
ACCORD, NY. 52 12404

**Article III**

The purpose for which this corporation is organized is:

ANY AND ALL LAWFUL BUSINESS.

**Article IV**

The number of shares the corporation is authorized to issue is:

100,000

**Article V**

The name and Florida street address of the registered agent is:

THOMAS J CRAFT JR.  
5420 NORTH OCEAN BLVD  
SUITE 2102  
SINGER ISLAND, FL. 33404

I certify that I am familiar with and accept the responsibilities of registered agent.

Registered Agent Signature: THOMAS J. CRAFT, JR., LLC

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## **Article VI**

The name and address of the incorporator is:

OFFICE OF RICHARD RUBIN  
40 WALL STREET  
28TH FLOOR  
NEW YORK, NY 12404

Electronic Signature of Incorporator: RICHARD RUBIN

I am the incorporator submitting these Articles of Incorporation and affirm that the facts stated herein are true. I am aware that false information submitted in a document to the Department of State constitutes a third degree felony as provided for in s.817.155, F.S. I understand the requirement to file an annual report between January 1st and May 1st in the calendar year following formation of this corporation and every year thereafter to maintain "active" status.

## **Article VII**

The initial officer(s) and/or director(s) of the corporation is/are:

Title: P  
RICHARD RUBIN PRES  
40 WALL STREET  
NEW YORK, NY. 10005

Title: DIR  
RICHARD RUBIN DIR  
40 WALL STREET, 28TH FLOOR  
NEW YORK, NY. 10005

## **Article VIII**

The effective date for this corporation shall be:

02/13/2013