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Feb 12 1997 8:00am  
Secretary of State

PROFIT  
CORPORATION  
ANNUAL REPORT  
1997



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham,  
Secretary of State  
DIVISION OF CORPORATIONS

DOCUMENT # P11876 (0)

1. Corporation Name  
NELLCOR PURITAN BENNETT INCORPORATED

Principal Place of Business  
4280 HACIENDA DR  
PLEASANTON CA 94588  
US

Mailing Address  
4280 HACIENDA DR  
PLEASANTON CA 94588-2719  
US

3. Date Incorporated or Qualified 10/22/1986  
3a. Date of Last Report 07/08/1996

4. FEI Number 94-2789249  
Applied For Not Applicable

5. Certificate of Status Desired ☐ \$8.75 Additional Fee Required

6. Election Campaign Financing Trust Fund Contribution ☐ \$5.00 May Be Added to Fees

8. This corporation has liability for intangible tax under s. 199.032, Florida Statutes ☐ Yes ☐ No

2. Principal Place of Business

21 Suite, Apt. #, etc.

22 City & State

23 Zip Country

24

2a. Mailing Address

26 Suite, Apt. #, etc.

27 City & State

28 Zip Country

29 30

9. Name and Address of Current Registered Agent

UNITED STATES CORPORATION COMPANY  
1201 HAYS STREET  
SUITE 105  
TALLAHASSEE FL 32301

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and title if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE CFO  
NAME DOWNEY, MICHAEL P.  
STREET ADDRESS 7216 ST GEORGE LANE  
CITY-ST-ZIP SAN JOSE CA

TITLE PCD  
NAME LARKIN, C RAYMOND  
STREET ADDRESS 100 WARWICK CT  
CITY-ST-ZIP ALAMO CA

TITLE V  
NAME SWEDLOW, MD D  
STREET ADDRESS 1037 MONTEREY AVE  
CITY-ST-ZIP FOSTER CITY CA

TITLE S  
NAME DEBUONO, LAUREEN  
STREET ADDRESS 83 JORDAN AVE  
CITY-ST-ZIP SAN FRANCISCO CA 94118

TITLE V  
NAME MIDDLEMAN, LEE M  
STREET ADDRESS 16 COALMINE VIEW  
CITY-ST-ZIP PORTOLA VALLEY CA

TITLE D  
NAME MCNERNEY, WALTER  
STREET ADDRESS 675 BLACKTHORN RD  
CITY-ST-ZIP WINNETKA IL

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE ☐ Change ☐ Addition  
1.2 NAME  
1.3 STREET ADDRESS  
1.4 CITY-ST-ZIP ☐ Change ☐ Addition

2.1 TITLE ☐ Change ☐ Addition  
2.2 NAME  
2.3 STREET ADDRESS  
2.4 CITY-ST-ZIP

3.1 TITLE ☒ Change ☐ Addition  
3.2 NAME SUP  
3.3 STREET ADDRESS DAVID B. SWEDLOW, M.D.  
3.4 CITY-ST-ZIP 2165 CANYON OAK LANE  
DANVILLE, CA 94526

4.1 TITLE ☐ Change ☐ Addition  
4.2 NAME  
4.3 STREET ADDRESS  
4.4 CITY-ST-ZIP

5.1 TITLE ☐ Change ☐ Addition  
5.2 NAME  
5.3 STREET ADDRESS  
5.4 CITY-ST-ZIP

6.1 TITLE ☐ Change ☐ Addition  
6.2 NAME  
6.3 STREET ADDRESS  
6.4 CITY-ST-ZIP

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation, or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13, changed, or on an attachment with an address.

SIGNATURE:

SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

Date

Daytime Phone #

CR2E034 (9/96)

**NELLCOR PURITAN BENNETT INCORPORATED**  
4280 Hacienda Drive, Pleasanton CA 94588

**OFFICERS AND DIRECTORS**

**OFFICERS**

**C. Raymond Larkin, Jr., President and Chief Executive Officer**

Home: 100 Warwick Court, Alamo, CA 94507

Home Phone: (510) 256-8209

SSN: 204-38-3271

DOB: 06/21/48

Election to office: 11/21/89

**Kenneth E. Bennert, Vice President, Finance**

Home: 1360 Breakwater Way, Brentwood, CA 94513

Home Phone: (510) 634-2615

SSN: 015-40-8489

DOB: 10/11/49

Election to office: 10/19/95

**Boudewijn L. Bollen, Executive Vice President, Sales and Distribution**

Home: Laurillardlaan 10a, 3723 DM Bilthoven

Home Phone: 011-31-302-284-662

DOB: 03/10/46

Election to office: 09/25/91

**Laureen DeBuono**

**Executive Vice President, Human Resources, Corporate Communications,  
General Counsel and Corporate Secretary**

Home: 82 Jordan Avenue, San Francisco, CA 94115

Home Phone: (415) 750-1945

SSN: 056-44-9446

DOB: 07/24/57

Election to office: 04/20/92

**Michael P. Downey, Executive Vice President, Chief Financial Officer  
(and MIS and Facilities)**

Home: 7216 St. George Lane, San Jose, CA 95120

Home Phone: (408) 997-3118

SSN: 552-70-7314

DOB: 07/13/47

Election to office: 01/31/90

**Tom Gaskin, Vice President, Ireland**

Home: 3 Rosedale, Taylors Hill Road, Galway, Ireland

Home Phone: (To Be Provided)

DOB: 1/10/47

Election to Office: 10/19/95

**Elliott Glick, Vice President Manufacturing**

Home: 11616 Timber Lake Drive, San Diego, CA 92131

Home Phone: (619) 549-1271

SSN: 554-60-0494

DOB: 8/2/43

Election to Office: 10/19/95

**Russell D. Hays, Executive Vice President, Hospital Business**

Home: 136 Lark Court, Alamo, CA 94507

Home Phone: (510) 838-0425

SSN: 335-36-1204

DOB: 12/8/44

Election to office: 06/19/95

**David Illingworth, Executive Vice President, Home Care Business**

Home: 70 Panorama Court, Danville, CA 94506

Home Phone: (510) 736-8149

SSN: 262-11-3045

DOB: 10/27/53

Election to office: 01/01/93

**Randy D. Lindholm, Vice President, North America Field Operations**

Home: 5120 Mt. Tam Circle, Pleasanton, CA 94588

Home Phone: (510) 462-0351

SSN: 379-62-2280

DOB: 07/24/55

Election to office: 08/09/96

**Lee M. Middleman, Vice President, Research and Development**

Home: 16 Coalmine View, Portola Valley, CA 94028

Home Phone: (415) 851-0535

SSN: 220-42-7723

DOB: 10/11/44

Election to office: 01/11/95

**Thomas M. Prescott, Vice President, 02 Therapy, Gas and Spirometry**

Home: 15 Panorama Court, Danville, CA 94506

Home Phone: (510) 648-0826

SSN: 350-50-4827

DOB: 05/05/55

Election to office: 08/26/96

**Ernie Ross, Vice President, Aero Systems**

Home: 10327 North Lake Circle, Olathe, KS 66061

Home Phone:

SSN: 546-88-8734

DOB: 12/9/48

Election to office: 10/19/95

**Kenneth Sumner, Vice President, Regulatory and Clinical  
Affairs and Quality Assurance**

Home: 18 Rima Court, Danville, CA 94526

Home Phone: (510) 820-2483

SSN: 172-34-4298

DOB: 09/29/42

Election to office: 10/24/94

**David B. Swedlow, M.D., Senior Vice President, Medical Affairs, Technology Development**

Home: 2165 Canyon Oak Lane, Danville, CA 94506

Home Phone: (510) 820-3207

SSN: 274-44-0275

DOB: 07/10/46

Election to office: 07/28/87

## **DIRECTORS**

### **Burton A. Dole, Jr.**

Home: 9605 West 191st Street, Bucyrus, KS 66013

SSN: 550-44-9786

DOB: 10/14/37

Appointment to office: 8/25/95

### **Robert J. Glaser, M.D.**

Home: 525 Middlefield Road, Suite 130, Menlo Park, CA 94025

SSN: 491-16-9972

DOB: 09/11/18

Election to office: 06/01/91

### **Frederick M. Grafton**

Home: 588 Euclid Avenue, Berkeley, CA 94708

SSN: 110-20-0941

DOB: 02/09/26

Election to office: 03/30/88

### **Donald L. Hammond**

Home: 12660 Corte Madera Lane, Los Altos Hills, CA 94022

SSN: 524-28-8566

DOB: 08/07/27

Election to office: 01/31/90

### **Risa Lavizzo-Mourey, M.D., MBA**

Institute on Aging

Work: 3615 Chestnut Street, Room 108, Philadelphia, PA

Home: 711 Paper Mill Road, Erdemheim, PA 19038

SSN: 534-64-2557

DOB: 09/25/54

Election to office: 10/19/95

### **C. Raymond Larkin, Jr., President and Chief Executive Officer**

Nellcor Puritan Bennett Incorporated

Home: 100 Warwick Court, Alamo, CA 94507

SSN: 204-38-3271

DOB: 06/21/48

Election to office: 11/21/89

**Thomas A. McDonnell**

DST Systems, Inc.

Work: 1055 Broadway, 9th Floor, Kansas City, MO 64105

Home: 310 West 49th Street, Apt. 1005, Kansas City, MO 64112

SSN: 491-48-4089

DOB: 9/11/45

Appointment to office: 8/25/95

**Walter J. McNerney**

Herman Smith Research Professor of Health Policy

Kellogg Graduate School of Management, Health Services Management

Work: Leverona Hall, 2001 Sheridan Road, Evanston, IL 60208-2007

Home: 675 Blackthorn Road, Winnetka, IL 60093

SSN: 047-14-4657

DOB: 06/08/25

Election to office: 01/01/91

**E. E. van Bronkhorst**

Retired Sr. Vice President and Chief Financial Officer

Hewlett-Packard Company

Work: 3U, 1501 Page Mill Road, Palo Alto, CA 94304

Home: 960 Lassen Drive, Menlo Park, CA 94025

SSN: 549-28-4738

DOB: 02/21/24

Election to office: 03/12/85

## **OFFICERS AND DIRECTORS**

### **OFFICERS**

**C. Raymond Larkin, Jr., President and Chief Executive Officer**

Home: 100 Warwick Court, Alamo, CA 94507

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Ken Bennert, Vice President, Corporate Controller**

Home: 1360 Breakwater Way, Brentwood, CA 94513

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Boudewijn L. Bollen, Vice President and Managing Director, Europe**

Home: Laurillardlaan 10a, 3723 DM Bilthoven, The Netherlands

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Laureen DeBuono, Executive Vice President, Human Resources, Corporate Communications, General Counsel and Corporate Secretary**

Home: 82 Jordan Avenue, San Francisco, CA 94115

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Bob Doyle, Executive Vice President, Worldwide Sales & Distribution**

Home: 6647 Sweetwater Point, Flowery Branch, GA 30542

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Michael P. Downey, Executive Vice President, Chief Financial Officer  
(and MIS and Facilities)**

Home: 7216 St. George Lane, San Jose, CA 95120

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Tom Gaskin, Vice President and Managing Director, Ireland**

Home: 3 Rosedale, Taylors Hill Road, Galway, Ireland

Business: Nellcor Puritan Bennett Incorporated  
New Mervue Industrial Park  
Mervue, Galway, Ireland

**Elliott Glick, Vice President Manufacturing**

Home: 11616 Timber Lake Drive, San Diego, CA 92131

Business: Nellcor Puritan Bennett Incorporated  
2200 Faraday Avenue, Carlsbad, CA 92008-7208

**Russell D. Hays, Executive Vice President, Hospital Business**

Home: 158 Copper Ridge Road, San Ramon, CA 94583

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**David Illingworth, Vice President of the Americas Field Operations**

Home: 70 Panorama Court, Danville, CA 94506

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Tom Jones, Vice President and General Manager, 02 Therapy & Medical Gas**

Home: 8206 Maple Lane, Prairie Village, KS 66208

Business: Nellcor Puritan Bennett Incorporated  
9401 Indian Creek Parkway, Overland Park, KS 66210-2007

**John Morrow, Executive Vice President, President Home Health Care Business**

Home: 10231 Catalina, Overland Park, KS 66207

Business: Nellcor Puritan Bennett Incorporated  
9401 Indian Creek Parkway, Overland Park, KS 66210-2007

**Lee M. Middleman, Vice President, Product Development**

Home: 16 Coalmine View, Portola Valley, CA 94028

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Ernie Ross, Vice President and General Manager Aero Systems**

Home: 10327 North Lake Circle, Olathe, KS 66061

Business: Puritan-Bennett  
Aero Systems Co.  
9728 Pflumm Road, Lenexa, KS 66215-4061

**Kenneth Sumner, Vice President, Regulatory and Clinical  
Affairs and Quality Assurance**

Home: 120 Shoreline Circle, #448, San Ramon, CA 94583

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**David B. Swedlow, M.D., Vice President, Corporate Technology**

Home: 2165 Canyon Oak Lane, Danville, CA 94506

Business: Nellcor Puritan Bennett Incorporated  
4280 Hacienda Drive, Pleasanton, CA 94588

**Mark Wagner, Vice President and General Manager, Sleep & Respiratory Support**

Home: 10636 Purdey Road, Eden Prairie, MN 55347

Business: Nellcor Puritan Bennett Incorporated  
10252 Valley View Road, Eden Prairie, MN 55344-3544

## **DIRECTORS**

### **Burton A. Dole, Jr.**

Home: 9605 West 191st Street, Bucyrus, KS 66013

Business: Nellcor Puritan Bennett Incorporated  
9401 Indian Creek Parkway, Overland Park, CA 66210-2007

### **Robert J. Glaser, M.D.**

Home: One Elm Place, Atherton, CA 94027

Business: Lucile P. Markey Charitable Trust  
525 Middlefield Road, Suite 130, Menlo Park, CA 94025

### **Frederick M. Grafton**

Home: 588 Euclid Avenue, Berkeley, CA 94708

Business: Entrepreneurial Strategies  
588 Euclid Avenue, Berkeley, CA 94708

### **Donald L. Hammond**

Home: 12660 Corte Madera Lane, Los Altos Hills, CA 94022

Business: N/A

### **Risa Lavizzo-Mourey, M.D., MBA**

Home: 711 Paper Mill Road, Erdemheim, PA 19038

Business: Institute on Aging  
3615 Chestnut Street, Room 108, Philadelphia, PA 19107

### **C. Raymond Larkin, Jr., President and Chief Executive Officer**

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Business: Nellcor Puritan Bennett Incorporated  
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### **Thomas A. McDonnell, President, Vice Chairman/CEO**

Home: 10 West 49th Street, Apt. 1005, Kansas City, MO 64112

Business: DST Systems, Inc.  
1055 Broadway, 9th Floor, Kansas City, MO 64105

### **Walter J. McNerney**

Home: 675 Blackthorn Road, Winnetka, IL 60093

Business: Herman Smith Research Professor of Health Policy  
Kellogg Graduate School of Management, Health Services  
Management Leverona Hall  
2001 Sheridan Road, Evanston, IL 60208-2007

**E. E. van Bronkhorst**

**Retired Sr. Vice President and Chief Financial Officer**

Home: 960 Lassen Drive, Menlo Park, CA 94025

Business: Hewlett-Packard Company  
3U, 1501 Page Mill Road, Palo Alto, CA 94304