

FILE NOW: FILING FEE AFTER MAY 1ST IS \$550.00

FILED

Jul 08 1998 8:00am  
Secretary of State

PROFIT CORPORATION ANNUAL REPORT <b>1998</b>		FLORIDA DEPARTMENT OF STATE <b>Sandra B. Mortham</b> Secretary of State DIVISION OF CORPORATIONS
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DOCUMENT # **P11489** (2)

1. Corporation Name

**PRINCIPAL FINANCIAL SECURITIES, INC.**

Principal Place of Business

**711 HIGH ST  
%CREIGHTON LAW DEPT  
DES MOINES IA 50392  
US**

Mailing Address

**711 HIGH ST  
%BETTY CREIGHTON LAW DEPT  
DES MOINES IA 50392  
US**

DO NOT WRITE IN THIS SPACE

3. Date Incorporated or Qualified

**09/19/1986**

4. FEI Number

**75-0839696**

Applied For

Not Applicable

5. Certificate of Status Desired ☐

**\$8.75 Additional  
Fee Required**

6. Election Campaign Financing  
Trust Fund Contribution ☐

**\$5.00 May Be  
Added to Fees**

8. This corporation owes or has paid the current year Intangible  
Personal Property Tax due June 30. ☐ Yes ☐ No

2. Principal Place of Business

21 **77 W. Wacker Dr.**

Suite, Apt. #, etc.

22

City & State

23 **Chicago, IL**

Zip

24 **60601**

Country

25 **USA**

2a. Mailing Address

26 **77 W. Wacker Dr.**

Suite, Apt. #, etc.

27 **24th Floor**

City & State

28 **Chicago, IL**

Zip

29 **60601**

Country

30 **USA**

9. Name and Address of Current Registered Agent

**CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324**

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

**FL**

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature typed or printed of name of registered agent and title, if applicable

(NOTE: Registered Agent signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE **CPCD** ☐ DELETE

NAME **MCGOUGH, GEORGE V**

STREET ADDRESS **711 HIGH ST**

CITY-ST-ZIP **DES MOINES IA**

TITLE **AS** ☒ DELETE

NAME **HOFFMAN, JOYCE N**

STREET ADDRESS **711 HIGH ST**

CITY-ST-ZIP **DES MOINES IA**

TITLE **VD** ☒ DELETE

NAME **WALL, JACK A.**

STREET ADDRESS **711 HIGH ST**

CITY-ST-ZIP **DES MOINES IA**

TITLE **VD** ☒ DELETE

NAME **LARKIN, PAUL L.**

STREET ADDRESS **711 HIGH ST**

CITY-ST-ZIP **DES MOINES IA**

TITLE **VTD** ☒ DELETE

NAME **ANDERSON, EDWARD R**

STREET ADDRESS **711 HIGH ST**

CITY-ST-ZIP **DES MOINES IA**

TITLE **AS** ☒ DELETE

NAME **MICHAEL, KATHLEEN S**

STREET ADDRESS **711 HIGH ST**

CITY-ST-ZIP **DES MOINES IA**

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE **P** ☒ Change ☐ Addition

1.2 NAME

1.3 STREET ADDRESS **1445 Ross Ave.**

1.4 CITY-ST-ZIP **Dallas, TX 75202**

2.1 TITLE **V** ☐ Change ☒ Addition

2.2 NAME

2.3 STREET ADDRESS **Lawrence E. Koehler**

2.4 CITY-ST-ZIP **77 W. Wacker Dr.**

**Chicago, IL 60601**

3.1 TITLE **DVS** ☐ Change ☒ Addition

3.2 NAME

3.3 STREET ADDRESS **Janet L. Reali**

3.4 CITY-ST-ZIP **77 W. Wacker Dr.**

**Chicago, IL 60601**

4.1 TITLE **DVT** ☐ Change ☒ Addition

4.2 NAME

4.3 STREET ADDRESS **Daniel D. Williams**

4.4 CITY-ST-ZIP **77 W. Wacker Dr.**

**Chicago, IL 60601**

5.1 TITLE **V** ☐ Change ☒ Addition

5.2 NAME

5.3 STREET ADDRESS **Arthur J. McGivern**

5.4 CITY-ST-ZIP **77 W. Wacker Dr.**

**Chicago, IL 60601**

6.1 TITLE **D** ☐ Change ☒ Addition

6.2 NAME

6.3 STREET ADDRESS **Stanley R. Fallis**

6.4 CITY-ST-ZIP **77 W. Wacker Dr.**

**Chicago, IL 60601**

14. I hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath. That I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed or on an attachment with an address

SIGNATURE:

*Janet L. Reali*

Janet L. Reali

06-03-98 (312) 574-6000

CR2E034 (10/97)