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FILED  
May 06 1997 8:00am  
Secretary of State

PROFIT  
CORPORATION  
ANNUAL REPORT  
1997



FLORIDA DEPARTMENT OF STATE  
Sandra B. Mortham  
Secretary of State  
DIVISION OF CORPORATIONS

DOCUMENT # P11489 (2)

1. Corporation Name  
PRINCIPAL FINANCIAL SECURITIES, INC.

Principal Place of Business

1445 ROSS AVE STE 2300 (75202)  
P.O. BOX 508  
DALLAS TX 75221  
US

Mailing Address

1445 ROSS AVE STE 2300 (75202)  
P.O. BOX 508  
DALLAS TX 75221-0508



2. Principal Place of Business

21 711 High Street  
Suite, Apt. #, etc.

22 c/o Creighton, Law Dept.  
City & State

23 Des Moines, IA  
Zip

24 50392

2a. Mailing Address

26 711 High Street  
Suite, Apt. #, etc.

27 c/o Betty Creighton, Law Dept.  
City & State

28 Des Moines, IA  
Zip

29 50392

Country

30

3. Date Incorporated or Qualified

09/19/1986

3a. Date of Last Report

04/24/1996

4. FEI Number

75-0839696

Applied For

Not Applicable

5. Certificate of Status Desired

☐

\$8.75 Additional  
Fee Required

6. Election Campaign Financing

☐

\$5.00 May Be  
Added to Fees

7. This corporation has liability for intangible tax under s. 199.032,  
Florida Statutes ☐ Yes ☒ No

9. Name and Address of Current Registered Agent

CT CORPORATION SYSTEM  
1200 S. PINE ISLAND ROAD  
PLANTATION FL 33324

10. Name and Address of New Registered Agent

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and fee, if applicable

(NOTE: Registered Agent Signature required when reinstating)

DATE

12. OFFICERS AND DIRECTORS

TITLE	NAME	STREET ADDRESS	CITY-ST-ZIP	DELETE
CPC	MCGOUGH, GEORGE V	6538 GLENDORA AVENUE	DALLAS TX 75230	<input type="checkbox"/>
AS	HOFFMAN, JOYCE N	5834 PLEASANT DRIVE	DES MOINES IA 50312	<input type="checkbox"/>
VD	WALL, JACK A.	1445 ROSS AVE STE 2300	DALLAS TX	<input type="checkbox"/>
VD	LARKIN, PAUL L.	1445 ROSS AVE., SUITE 2300	DALLAS TX	<input type="checkbox"/>
D	ANDERSON, EDWARD R	1445 ROSS AVE STE 2300	DALLAS TX	<input type="checkbox"/>
ASD	MICHAEL, KATHLEEN S	5330 MILLER AVENUE	DALLAS TX 75206	<input type="checkbox"/>

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

1.1 TITLE	1.2 NAME	1.3 STREET ADDRESS	1.4 CITY-ST-ZIP	Change	Addition
CPC/D		711 High Street	Des Moines, IA 50392	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		711 High Street	Des Moines, IA 50392	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		711 High Street	Des Moines, IA 50392	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		711 High Street	Des Moines, IA 50392	<input checked="" type="checkbox"/>	<input type="checkbox"/>
		711 High Street	Des Moines, IA 50392	<input checked="" type="checkbox"/>	<input type="checkbox"/>
VIT/D		711 High Street	Des Moines, IA 50392	<input checked="" type="checkbox"/>	<input type="checkbox"/>
AS		711 High Street	Des Moines, IA 50392 (See attachment A)	<input checked="" type="checkbox"/>	<input type="checkbox"/>

14. I do hereby certify that the information supplied with this filing does not qualify for the exemption stated in Section 119.07(3)(i), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

*Joyce N. Hoff*  
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR  
Assistant Secretary

4-22-97

Date

515-247-5111

Daytime Phone #

0494616

CR2E034 (9/96)