

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

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PROFIT
CORPORATION
ANNUAL REPORT
1996



FLORIDA DEPARTMENT OF STATE
Sandra B. Mortham
Secretary of State
DIVISION OF CORPORATIONS

DOCUMENT # P11489 (2)

1. Corporation Name

PRINCIPAL FINANCIAL SECURITIES, INC.



Principal Place of Business

Mailing Address

1445 ROSS AVE STE 2300 (75202)
P.O. BOX 508
DALLAS TX 75221
US

1445 ROSS AVE STE 2300 (75202)
P.O. BOX 508
DALLAS TX 75221-7508

3. Date Incorporated or Qualified

09/19/1986

3a. Date of Last Report

05/01/1995

2. Principal Place of Business

2a. Mailing Address

21

26

4. FEI Number

75-0839696

Applied For

Not Applicable

Suite, Apt. #, etc.

Suite, Apt. #, etc.

22 City & State

27 City & State

23 Zip

Country

28 Zip

Country

24

25

29

30

5. Certificate of Status Desired

☐

\$8.75 Additional
Fee Required

6. Election Campaign Financing
Trust Fund Contribution

☐

\$5.00 May Be
Added to Fees

8. This corporation has liability for intangible tax under s. 199.032,
Florida Statutes ☐ Yes ☒ No

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85 Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

Signature, typed or printed name of registered agent and the (if applicable)

(NOTE: Registered Agent signature required when registering)

DATE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

TITLE CDP ☒ DELETE
NAME O'TOOLE, THOMAS F.
STREET ADDRESS 1445 ROSS AVE STE 2300
CITY-ST-ZIP DALLAS TX

1.1 TITLE C/P/CEO ☐ Change ☒ Addition
1.2 NAME McGough, George V.
1.3 STREET ADDRESS 6538 Glendora Avenue
1.4 CITY-ST-ZIP Dallas, TX 75230

TITLE VD ☐ DELETE
NAME REGAN, WILLIAM M.
STREET ADDRESS 1445 ROSS AVE STE 2300
CITY-ST-ZIP DALLAS TX

2.1 TITLE ☐ Change ☐ Addition
2.2 NAME
2.3 STREET ADDRESS
2.4 CITY-ST-ZIP

TITLE VD ☐ DELETE
NAME WALL, JACK A.
STREET ADDRESS 1445 ROSS AVE STE 2300
CITY-ST-ZIP DALLAS TX

3.1 TITLE ☐ Change ☐ Addition
3.2 NAME
3.3 STREET ADDRESS
3.4 CITY-ST-ZIP

TITLE VD ☐ DELETE
NAME LARKIN, PAUL L.
STREET ADDRESS 1445 ROSS AVE., SUITE 2300
CITY-ST-ZIP DALLAS TX

4.1 TITLE ☐ Change ☐ Addition
4.2 NAME
4.3 STREET ADDRESS 600001793516
4.4 CITY-ST-ZIP -04/24/96--01095--027
***200.00

TITLE VT ☐ DELETE
NAME ANDERSON, EDWARD R
STREET ADDRESS 1445 ROSS AVE STE 2300
CITY-ST-ZIP DALLAS TX

5.1 TITLE ☐ Change ☒ Addition
5.2 NAME
5.3 STREET ADDRESS
5.4 CITY-ST-ZIP

TITLE ☐ DELETE
NAME
STREET ADDRESS
CITY-ST-ZIP

6.1 TITLE AS/D ☐ Change ☒ Addition
6.2 NAME Michael, Kathleen S.
6.3 STREET ADDRESS 5330 Miller Avenue
6.4 CITY-ST-ZIP Dallas, TX 75206

4-24-96

See Attachment A

14. I do hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 119.07(3)(k), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath; that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes; and that my name appears in Block 12 or Block 13 if changed, or on an attachment with an address.

SIGNATURE:

Thomas F. O'Toole
SIGNATURE AND TYPED OR PRINTED NAME OF SIGNING OFFICER OR DIRECTOR

4/16/96

515-247-5111

Date

Daytime Phone

CR2E034 (12/95)

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Attachment A
Principal Financial Securities, Inc.
Directors and Officers

04-Apr-96

Director

Name, Social Security #, Title and Date Elected	Address
George V. McGough Chairman	6538 Glendora Avenue Dallas TX 75230
Edward Ray Anderson	3035 Shadow Drive West Arlington TX 76006
Robert J. Hayes	650 Westbranch Drive Waukee IA 50263
Regina Karas	3721 Millswood Irving TX 75062
Paul Leo Larkin	5914 Lakehurst Dallas TX 75230
Richard N. Larson	2119 Huntington Pt. Rd. E. Wayzata MN 55391
Kenneth A. Mannino	5340 Windy Creek Rockford IL 61114
Kathleen S. Michael	5330 Miller Avenue Dallas TX 75206
John A. Pickett	4033 McFarlin Dallas TX 75205
William Marion Regan	605 Brookside Drive Dallas TX 75214
Jeffrey Schubert	3873 Echo Brook Lane Dallas TX 75229
Connie Loyce Sirchio	2410 Blue Cypress Richardson TX 75042
David Graham Stone	res: 1701 Skyline Dr; off: 123 N Crockett. Ste 200 Sherman TX 75092

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Dennis L. Telzrow	10118 Caribou Trail Dallas TX 75238
David Wyatt Turner	res: 3600 Edgehill off: 777 Taylor St., Ste 1050 Ft. Worth TX 76116
Jack Arlan Wall	4000 McFarlin Dallas TX 75205

Officer

Name, Social Security #, Title and Date Elected	Address
George V. McGough Chairman, President, and CEO	6538 Glendora Avenue Dallas TX 75230
Paul Leo Larkin Executive Vice President	5914 Lakehurst Dallas TX 75230
William Marion Regan Executive Vice President	605 Brookside Drive Dallas TX 75214
Jack Arlan Wall Executive Vice President	4000 McFarlin Dallas TX 75205
Edward Ray Anderson Executive Vice President and Treasurer	3035 Shadow Drive West Arlington TX 76006
Kathleen S. Michael Assistant Corporate Secretary	5330 Miller Avenue Dallas TX 75206
Mary Louise Bricker Assistant Secretary	920 - 29th Street Des Moines IA 50312
Joyce Nixson Hoffman Assistant Secretary	5834 Pleasant Drive Des Moines IA 50312

Corporation Address

P.O. Box 508
Dallas TX 75221
Phone:

1445 Ross Avenue Suite 2300
Dallas TX 75202
Phone: 214-880-9000