

FILE NOW: FILING FEE AFTER MAY 1 IS \$225.00

CORPORATION
ANNUAL REPORT
1995



FLORIDA DEPARTMENT OF STATE
Sandra B. Morham
Secretary of State
DIVISION OF CORPORATIONS

APPROVED
AND
FILED

DOCUMENT # **P11489** (2)

1. Corporation Name

PRINCIPAL FINANCIAL SECURITIES, INC.

95 MAY -1 AM 8:43

SECRETARY OF STATE
TALLAHASSEE, FLORIDA

Principal Place of Business

**1445 ROSS AVE STE 2300 (75202)
P.O. BOX 508
DALLAS TX 75221
US**

Mailing Address

**1445 ROSS AVE STE 2300 (75202)
P.O. BOX 508
DALLAS TX 75221-7508**

DO NOT WRITE IN THIS SPACE

3. Date incorporated or Qualified

09/19/1986

3a. Date of Last Report

05/01/1994

4. FEI Number

75-0839696

Applied For

Not Applicable

5. Certificate of Status Desired

☐

**\$8.75 Additional
Fee Required**

6. Election Campaign Financing

☐

**\$5.00 May Be
Added to Fees**

8. This corporation has liability for intangible tax under S. 199.032,
Florida Statutes ☐ Yes ☒ No

2. Principal Place of Business

21

2a. Mailing Address

26

Suite, Apt. #, etc.

Suite, Apt. #, etc.

22

27

City & State

City & State

23

28

Zip

Country

Zip

Country

24

25

29

30

9. Name and Address of Current Registered Agent

10. Name and Address of New Registered Agent

**CT CORPORATION SYSTEM
1200 S. PINE ISLAND ROAD
PLANTATION FL 33324**

81 Name

82 Street Address (P.O. Box Number is Not Acceptable)

83

84 City

FL

85

Zip Code

11. Pursuant to the provisions of Sections 607.0502 and 607.1508, Florida Statutes, the above-named corporation submits this statement for the purpose of changing its registered office or registered agent, or both, in the State of Florida. Such change was authorized by the corporation's board of directors. I hereby accept the appointment as registered agent. I am familiar with, and accept the obligations of, Section 607.0505, Florida Statutes.

SIGNATURE

12. OFFICERS AND DIRECTORS

13. ADDITIONS/CHANGES TO OFFICERS AND DIRECTORS IN 12

11	CD
NAME	O'TOOLE, THOMAS F.
STREET ADDRESS	1445 ROSS AVE STE 2300
CITY, ST, ZIP	DALLAS TX
11	VB
NAME	MICHELLE, GABRIEL
STREET ADDRESS	1445 ROSS AVE STE 2300
CITY, ST, ZIP	DALLAS TX
11	VD
NAME	REGAN, WILLIAM M.
STREET ADDRESS	1445 ROSS AVE STE 2300
CITY, ST, ZIP	DALLAS TX
11	VD
NAME	WALL, JACK A.
STREET ADDRESS	1445 ROSS AVE STE 2300
CITY, ST, ZIP	DALLAS TX
11	VD
NAME	LARKIN, PAUL L.
STREET ADDRESS	1445 ROSS AVE., SUITE 2300
CITY, ST, ZIP	DALLAS TX
11	VT
NAME	ANDERSON, EDWARD R
STREET ADDRESS	1445 ROSS AVE STE 2300
CITY, ST, ZIP	DALLAS TX

11	C/D/P	☒ Change ☐ Addition
12	NAME	
13	STREET ADDRESS	
14	CITY, ST, ZIP	
21	NAME	☐ Change ☐ Addition
22	NAME	
23	STREET ADDRESS	
24	CITY, ST, ZIP	
31	NAME	☐ Change ☐ Addition
32	NAME	
33	STREET ADDRESS	
34	CITY, ST, ZIP	
41	NAME	☐ Change ☐ Addition
42	NAME	
43	STREET ADDRESS	
44	CITY, ST, ZIP	
51	NAME	☐ Change ☐ Addition
52	NAME	
53	STREET ADDRESS	
54	CITY, ST, ZIP	
61	NAME	☐ Change ☐ Addition
62	NAME	
63	STREET ADDRESS	
64	CITY, ST, ZIP	

See Attachment A

14. I hereby certify that the information supplied with this filing is voluntarily furnished and does not qualify for the exemption stated in Section 199.03(6)(b), Florida Statutes. I further certify that the information indicated on this annual report or supplemental annual report is true and accurate and that my signature shall have the same legal effect as if made under oath that I am an officer or director of the corporation or the receiver or trustee empowered to execute this report as required by Chapter 607, Florida Statutes, and that my name appears in Block 12 or Block 13, this report, or in an attachment with an address.

SIGNATURE:

Brian J. Hurst

Brian J. Hurst, Senior Vice President, Sec'y & General Counsel

4-19-95

515/247-5111

P/1489

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ATTACHMENT A
Principal Financial Securities, Inc.

Directors

<u>Name</u>	<u>Business Address</u>	<u>Residential Address</u>
Thomas F. O'Toole	1445 Ross Ave., Ste. 2300 Dallas, TX 75202	5047 Radbrook Place, Dallas, TX 75220
William M. Regan	1445 Ross Ave., Ste. 2300 Dallas, TX 75202	605 Brookside Dr., Dallas, TX 75214
Jack A. Wall	1445 Ross Ave., Ste. 2300 Dallas, TX 75202	4000 McFarlin, Dallas, TX 75205
Edward R. Anderson	1445 Ross Ave., Ste. 2300 Dallas, TX 75202	3035 Shadow Dr. W. Arlington, TX 76006
Paul L. Larkin	1445 Ross Ave., Ste. 2300 Dallas, TX 75202	5914 Lakehurst Dallas, TX 75230
Warren B. Idsal	1445 Ross Ave., Ste. 2300 Dallas, TX 75202	4519 Westway Ave. Dallas, TX 75205
Sherry L. Griffin	302 N. Canyon Carlsbad, NM 88230	802 Elma Dr. Carlsbad, NM 88220
Robert E. McIntyre	1445 Ross Ave. Ste. 2300 Dallas, TX 75202	1003 Green Valley Ln. Duncanville, TX 75137
Jeffrey Schubert	1445 Ross Ave., Ste. 2300 Dallas, TX 75202	3873 Echo Brook Ln. Dallas, TX 75229
Dennis L. Telzrow	1445 Ross Ave. Ste. 2300 Dallas, TX 75202	10118 Caribou Trail Dallas, TX 75238
David W. Turner	777 Taylor St., Ste. 1050 Ft. Worth, TX 76116	3600 Edgehill Ft. Worth, TX 76116
Robert J. Hayes	801 Grand Ave., Ste. 2860 Des Moines, IA 50309	650 Westbranch Dr. Waukee, IA 50263
Connie L. Sirchio	1445 Ross Ave., Ste. 2300 Dallas, TX 75202	2410 Blue Cypress Richardson, TX 75042

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David G. Stone	123 N. Crockett, Ste. 200 Sherman, TX	1701 Skyline Dr. Sherman, TX 75092
Alex M. Ingardona	2 N. LaSalle Street Chicago, IL 60602	153 Hawkins Circle Wheaton, IL 60187
James F. McGhee	2 N. LaSalle Street Chicago, IL 60602	8914 West 123rd St. Palos Park, IL 60464

Officers

<u>Title</u>	<u>Name</u>	<u>Residential Address</u>
Chairman, President & CEO	Thomas F. O'Toole	5047 Radbrook Place, Dallas, TX 75220
Executive Vice President	William M. Regan	605 Brookside Dr., Dallas, TX 75214
Executive Vice President	Jack A. Wall	4000 McFarlin, Dallas, TX 75205
Executive Vice President	Edward R. Anderson	3035 Shadow Dr. W. Arlington, TX 76006
Executive Vice President	Paul L. Larkin	5914 Lakehurst Dallas, TX 75230
Senior Vice President, Sec'y & General Counsel	Brian J. Hurst	6890 Avalon, Dallas, TX 75214

Business Address: 1445 Ross Ave., Ste. 2300
Dallas, TX 75202